REQUEST FOR RECORDS DISPOSITION AUTHORITY
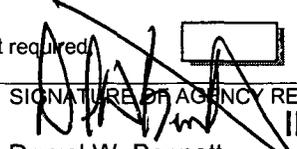*(See Instructions on reverse)*

TO   NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML)
     8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001

1 FROM (Agency or establishment) Department of the Treasury	NOTIFICATION TO AGENCY
2 MAJOR SUBDIVISION Internal Revenue Service	In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10
3 MINOR SUBDIVISION Wage and Investment, Customer Account Services, Submissions Processing, Electronic Processing Branch	

4 NAME OF PERSON WITH WHOM TO CONFER	5 TELEPHONE	DATE	ARCHIVIST OF THE UNITED STATES
Daniel W Bennett, IRS Records Officer	202-435-6337		
Horace H Holden	202-283-3240	1ᵗ Aug 10	

5   AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached __2__ pages(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

█████ is not required   ☐ is attached, or   ☐ has been requested

DATE	SIGNATURE OF AGENCY REPRESENTATIVE	TITLE IRS Records Officer
7/22/2009	Daniel W Bennett IRS Records Officer	National Office, OS A RE SC Washington, DC 20224

7 ITEM NO	8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	RCS 1.15.18 for Records Control Schedule for the Enterprise Computing Center – Detroit (DCC) **Future Updates:** • **Adds new Item 63 for the *Prior Year Earned Income Option (PYEIO) System*** **Item 63 Prior Year Earned Income Option (PYEIO) System Sub-Items** • **a. Inputs b. Outputs c. Data d. Systems Documentation** **RCS 1.15.35 Records Control Schedule for Tax Administration – Systems (Electronic)** **a.) Add new pointer to Item 63 in RCS 1.15.18** **The records are owned by the Wage and Investment, Customer Account Services, Submissions Processing, Electronic Processing Branch and processed by the Detroit Computing Center (DCC).** ***See the attached***		

	REQUEST FOR RECORDS DISPOSITION AUTHORITY *(See Instructions on reverse)*	AVE BLANK (NARA use only) JOB NUMBER **N1-058-09-**	

Background:

The Katrina Legislation permitted qualified individuals to calculate their earned income tax credit (EITC) and additional child tax credit (CTC) for Tax Year 2005 using their 2004 earned income amount   The Prior Year Earned Income Option (PYEIO) application provided the ability for taxpayers to compute earned income tax credit (EITC) and additional child tax credit (CTC) for Tax Year 2005 by providing the earned income dollar amount claimed in 2004   Taxpayers accessed the PYEIO application by navigating from links on the IRS home page, http //www.irs gov   The PYEIO application authenticated user input "shared secrets," (Taxpayer Identification Number (TIN) and Date of Birth (DOB)) and account eligibility before any account information was disclosed or further use of the application was allowed   Eligibility for the calculation was determined by the zip code of the address of record being in the defined disaster areas or by the account being previously identified as an account of a disaster victim by normal IRS procedures   PYEIO was retired at the end of the 2005 Processing Year (January, 2006)

IRM
1 15 18,
Item 63

Prior Year Earned Income Option (PYEIO) System

NEW

Description:

Legislation passed by Congress in the aftermath of Hurricanes Katrina and Rita permitted qualified individuals to calculate their earned income tax credit (EITC) and additional child tax credit (CTC) for Tax Year 2005 using their 2004 earned income amount   The Prior Year Earned Income Option (PYEIO) application provided the ability for taxpayers to compute earned income tax credit (EITC) and additional child tax credit (CTC) for Tax Year 2005 by using the earned income dollar amount claimed in 2004   PYEIO was retired in January, 2006

Note – At the time of this publication all records related to Hurricane Katrina and Hurricane Rita are under a legal hold which precludes their destruction. Before implementing this schedule, Systems Owners should check with either General Counsel or the Servicewide Records and Information Program regarding the status of the Hurricane Katrina Records Freeze.

A.   Inputs:

Inputs to the Prior Year Earned Income Option (PYEIO) System were manual   This information was provided by the Integrated Data Retrieval System (IDRS) Central Files On-Line (CFOL) through the Customer Communications Interactive Processor (CCIP) interface   This application extracted the TIN, File Source, Tin Type, DOB of Primary Taxpayer and the Earned Income Amount for 2004 from the Customer Communications Interactive Processor (CCIP)

By matching the Taxpayer entered TIN and DOB of an account which has been identified as a disaster victim account, CCIP received its corresponding data from Corporate Files On Line (IDRS CFOL) using Command Codes SCAP IRTF (Standard CFOL Access Protocol Individual Return Transaction File) and SCAP IMF (Standard CFOL Individual Return Transaction File)

Disposition TEMPORARY Delete/destroy any cached input files and other data immediately

Note – Official records of CFOL, SCAP IRTF, and SCAPIMF are appropriately scheduled under IRS Records Control Schedules 18 and 29

GRS 20.2

B.   System Data:

Contents of the Prior Year Earned Income Option (PYEIO) System include, but are not limited to the following Taxpayer Identification Number (TIN), File Source, Tin Type, Date of Birth of Primary Taxpayer and the Earned Income Amount for 2004 from the Customer Communications Interactive Processor (CCIP) PYEIO also stores Management Information system (MIS) data related to the taxpayer's use of the application (e g , how many hits encountered, how many taxpayers were successfully submitted, whether an installment agreement was received, the earned income amount, and what links were followed)

Disposition TEMPORARY The System records were cut off at the end of the 2005 Processing Year Delete/destroy 6 years after cutoff

C.   Outputs:

Outputs from the Prior Year Earned Income Option (PYEIO) System include various Management Information System (MIS) reports

GRS 20.5

Disposition Disposition TEMPORARY Delete/destroy when no longer needed for operational purposes

D.   System Documentation

System Documentation for the Prior Year Earned Income Option (PYEIO) System consists of codebooks, records layout, Job Aid, and other related materials

Disposition TEMPORARY Delete/destroy 5 years after System was retired (on, or after, January 15, 2011).

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PREVIOUS EDITION NOT USABLE

STANDARD FORM 115 (REV. 3-91)
Prescribed by NARA
36 CFR 1228