REQUEST FOR RECORDS DISPOSITION AUTHORITY	LEAVE BLANK (NARA use only)
(See Instructions on reverse)	JOB NUMBER N1-058-09-*97*
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML) 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001	DATE RECEIVED *9/14/09*

1 FROM (Agency or establishment) Department of the Treasury	NOTIFICATION TO AGENCY
2 MAJOR SUBDIVISION Internal Revenue Service	In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10
3 MINOR SUBDIVISION Office of the Commissioner, Research, Analysis and Statistics (RAS), Statistics of Income (SOI) Division	

4 NAME OF PERSON WITH WHOM TO CONFER	5 TELEPHONE	DATE	ARCHIVIST OF THE UNITED STATES
Daniel W Bennett, IRS Records Officer	202-435-6337		**WITHDRAWN**
Michael R Leszcz	202-874-0266		
David P Paris	202-874-0378		

5 AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached __3__ pages(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

▮ is not required	▮ is attached, or	▮ has been requested

DATE *9/9/2009*	SIGNATURE OF AGENCY REPRESENTATIVE Daniel W Bennett IRS Records Officer	TITLE IRS Records Officer National Office, OS A RE SC Washington, DC 20224

7 ITEM NO	8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	RCS 1.15.19 for Records Control Schedule for the Enterprise Computing Center – Martinsburg (ECC - MTB) **Future Updates:** • Adds new Item 80 for *Statistics of Income Distributed Processing System (SOI DPS)* Item 80 Statistics of Income Distributed Processing System (SOI DPS) **Sub-Items** • a Inputs b. Outputs c Data d Systems Documentation **RCS 1.15.35 Records Control Schedule for Tax Administration – Systems (Electronic)** a.) Add new pointer to Item 80 in RCS 1 15 19 The records are owned by the Office of the Commissioner, Research, Analysis and Statistics (RAS), Statistics of Income (SOI) Division and processed by the Martinsburg Computing Center (ECC-MTB) *See the attached*		

Background.

The Revenue Act of 1916 mandated the annual publication of statistics related to "the operations of the Internal Revenue Laws" as they affect

>   Individuals,
>   All forms of businesses,
>   Estates,
>   Nonprofit organizations,
>   Trusts,
>   Investments abroad, and
>   Foreign investments in the United States

Statistics of Income Distributed Processing System (SOI-DP) fulfills this function by collecting and processing data so that they become informative and by sharing information about how the tax system works with other government agencies and the general public

SOI publication types include traditional print sources, internet web site with files and data, CD-ROMs all of which can be distributed in either softcopy or hardcopy format

The information the SOI Office gathers, analyzes, and publishes is used by a variety of Federal agencies, academics, researchers, and the public for analysis of tax policy, project tax revenues, and estimates the overall impact of tax law changes and their effects on tax collections   The primary clients of the Office are the Office of Tax Analysis (OTA) in the Secretary of the Treasury's Office and the Congressional Joint Committee on Taxation (JCT) – each of whom is entitled to receive detailed tax return files Most other agencies and individuals can only access data in the aggregate to protect individual privacy as described in Section 6103 of the Internal Revenue Code   Additional Clients include the Department of Commerce's Bureau of Economic Analysis, the Federal Reserve Board, the General Accounting Office, the Social Security Administration, and the Health Care Financing Administration, and tax practitioners, policy researchers, demographers, economic analysts, consultants, business associations, State and local Governments, universities, public libraries, and the media

*Note - Data in the SOI DPS is required for studies and publication of statistical data for legislation relating to taxation Almost all records of this system are processing records and considered permanent (with the exception of those which are intermediate and administrative) Specification of these records and their corresponding permanent retentions are found in IRM 1 15 25, Records Control for the Statistics Division dated January 1, 2006 Most of the time no procedures are necessary for eliminating the data, as they are kept indefinitely However, when data are no longer needed, the procedures for eliminating data from SOI and SOI-DPS follow OMB Circular A-130 requirements as described in General Records Schedule 20 (IRM 1 15 3, Chapter 20), The Records Management Program, IRM 1 15 1, and IRM 1 15 2, Types of Records and their Life Cycles

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| IRM
1 15 19,
Item 80 | **Statistics of Income Distributed Processing System (SOI DPS)**

Description:

The Statistics of Income Distributed Processing System (SOI DPS) is designed to facilitate the collection, production and publication of statistical data for use by customers in the formulation and measurement of legislation relating to taxation as required under IRC 6108 This statistical data is based on tax and information returns, most of which are filed annually

A.   Inputs:

The Statistics of Income Distributed Processing System (SOI DPS) data are extracted from almost every line of the tax returns designated for Statistics of Income (SOI) studies The data are perfected and become part of micro-data files on which the SOI estimates are based   Data is also obtained from taxpayers, some employees' returns may meet the criteria for inclusion in SOI samples

SOI data originates from the following IRS systems Service Center Recognition/Image Processing System (SCRIPS) provides SOI-DPS with K1 images and data files from SCRIPS at the Cincinnati and Ogden hosting and editing sites Tax Return Database (TRDB) provides SOI-DPS with data files from TRDB at the Cincinnati and Ogden hosting and editing sites Modernized Tax Return Database (MTRDB) provides SOI-DPS with data files from MTRDB at the Ogden hosting and editing site Master File provides SOI-DPS data files from the Master File at the Cincinnati and Ogden hosting and editing sites Taxpayer data are extracted from the Individual and Business Master File System for sampled returns representing virtually every type of tax and information return filed with the IRS   Paper returns or images of scanned returns may be used in this process when required

Disposition TEMPORARY Delete/destroy 90 days after successful entry and verification

B.   System Data·

System data in the Statistics of Income Distributed Processing System (SOI DPS) is perfected in the application and becomes part of micro data files on which the SOI publications and assessments are based

Disposition PERMANENT   Cut-off at the end of each processing year   Transfer to National Archives 30 years after cut-off | NEW |

C   Outputs

The Statistics of Income Distributed Processing System (SOI DPS) outputs from the SOI System include the following high-level summarized inventories

Business Tax Statistics   Corporations, International, Partnerships, Nonfarm Sole Proprietorships, S Corporation, etc ,

Charitable & Exempt Organization Statistics   Charities, Exempt Organization Master File, Private Foundations, Trusts, Tax-Exempt Bonds, etc ,

Individual Tax Statistics   Filing Season Statistics, Estate & Gift Tax, Individual Income Tax, International, Personal Wealth, etc ,

Products, Publications, and Papers   SOI Bulletins, IRS Data Books, Conference Papers, SOI Paper Series, Historical Data Tables, etc ,

IRS Operations, Budget & Compliance   Issuing Refunds, Collecting Revenue, Enforcing Laws, Assisting Taxpayers, etc ,

Statistics by Form   706, 709, 990-PF, 990-T, 1040, 1041, 1065, 1118, 1120, 5471, 5472, 8038, etc ,

Statistics of Income   About SOI, Careers with SOI, Dissemination Policy, SOI Services, SOI Studies, Federal Statistics, etc ,

Additional Information   Tax Statistics @ a Glance, Join SOI Tax Stats E-Mail List, Payments for SOI Data, etc

Disposition   TEMPORARY   Delete/destroy cached publications files when no longer needed for operational purposes

*Note – SOI DPS outputs are retained in the recipient electronic publications system as the official records   These are PERMANENT records

D   System Documentation

System Documentation for the Statistics of Income Distributed Processing System (SOI DPS) consists of codebooks, records layout, User Guide, and other related materials

Disposition   TEMPORARY   Delete/destroy when superseded or 5 years after the system is terminated, whichever is sooner

WITHDRAW

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