

REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse)		LEAVE BLANK (NARA use only)	
		JOB NUMBER N1-058-10-5	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML) 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001		DATE RECEIVED 10/1/09	
1 FROM (Agency or establishment) Department of the Treasury		NOTIFICATION TO AGENCY	
2 MAJOR SUBDIVISION Internal Revenue Service		In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10	
3 MINOR SUBDIVISION Office of the Commissioner, Research, Analysis, and Statistics, Research, Research Databases Section			
4 NAME OF PERSON WITH WHOM TO CONFER Daniel W Bennett, IRS Records Officer Jeffrey S Butler		5 TELEPHONE 202-435-6337 202-874-4277	DATE 10/1/09
5 AGENCY CERTIFICATION		ARCHIVIST OF THE UNITED STATES [Signature]	
<p>I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>2</u> pages(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,</p> <p><input type="checkbox"/> is not required, <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested</p>			
DATE 9/28/2009	SIGNATURE OF AGENCY REPRESENTATIVE [Signature] Daniel W Bennett IRS Records Officer		TITLE IRS Records Officer National Office, OS A RE SC Washington, DC 20224
7 ITEM NO	8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	<p>RCS 1.15.27 for Records Control Schedule for Compliance Research</p> <p>Future Updates:</p> <ul style="list-style-type: none"> • Adds new Item 55 for the Enforcement Revenue Information System (ERIS) <p>Item 55 Enforcement Revenue Information System (ERIS) Sub-Items</p> <ul style="list-style-type: none"> • a Inputs b Outputs c Data d. Systems Documentation <p>RCS 1.15.35 Records Control Schedule for Tax Administration – Systems (Electronic)</p> <p>a.) Add new pointer to Item 55 in RCS 1.15 27.</p> <p>The records are owned by the Office of the Commissioner, Research, Analysis, and Statistics, Research Databases Section.</p> <p>See the attached</p>		

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Background

The Office of the Commissioner, Research, Analysis, and Statistics (RAS) Division provides strategic service-wide research, analysis, studies, and support to internal and external stakeholders, including Internal Revenue Service Senior Management Team, Operating Division research and operational organizations, Other NHQ support units, External stakeholders, including Treasury, OMB, Congress and other tax administration agencies

RAS conducts strategic research and analysis and research not limited to a single operating division but critical to the Service, overall This includes various types of research, econometric modeling, forecasting, compliance studies, analysis of proposed legislative initiatives, and strategic program evaluations, and provides taxpayer data to the Treasury Department's Office of Tax Analysis (OTA) and other agencies through the Statistics of Income Division

The Enforcement Information System (ERIS) provides official IRS statistics on enforcement revenue collections for the Commissioner, Chief Financial Officer, Department of Treasury, and Congress ERIS gathers data from across the IRS, collecting information about enforcement revenue and the associated costs It processes more than 3 billion transactions and generates more than 105 million summary records annually The system tracks a case from start to finish, incorporating information from various enforcement functions

ERIS allows the IRS to report revenue and cost information to its overseers, the Treasury Department, the Office of Management and Budget, the Government Accountability Office and U S Congress

ERIS is owned and managed by the Office of the Commissioner, Research, Analysis, and Statistics Division

Enforcement Revenue Information System (ERIS)

NEW

Description

The Enforcement Revenue Information System (ERIS) matches debits and credits over the life of an enforcement action, and generates information on revenue collections for a given tax assessment To do so, it integrates data from such functional areas as Examination, Appeals, Collection, and Under-reporter

The ERIS Data Store (DS) contains Information from numerous systems throughout the IRS for enforcement revenue analysis that spans multiple functions and years

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Item 55

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A. Inputs.

The Enforcement Revenue Information System (ERIS) receives inputs from the Audit Information Management System (AIMS), Automated Under-reporter (AUR), Integrated Collection System (ICS), Business Master File (BMF), Business Returns Transaction File (BRTF), Individual Master File (IMF), and Individual Returns Transaction File (IRTF)

Disposition TEMPORARY Delete/destroy any cached input files and data immediately following validation of receipt by the system

GR 2012

B System Data:

Contents of the Enforcement Revenue Information System (ERIS) consist of case files on taxpayers, tax preparers, financial institutions, or legal entities (e.g., Power of Attorney), and the various enforcement actions and/or characteristics of the case

Disposition TEMPORARY Cut off when enforcement case is closed Delete/destroy 20 years after cutoff or when no longer needed for operational purposes, whichever is later

C. Outputs

Outputs from the Enforcement Revenue Information System (ERIS) consist of archived database updates, which occur on a monthly basis. Research analysts query and analyze these data to produce reports, tables, and other statistical information regarding enforcement actions

Disposition TEMPORARY Delete/destroy 20 years after data update, or when no longer needed for operational purposes, whichever is later

D. System Documentation

System Documentation for the Enforcement Revenue Information System (ERIS) consists of codebooks, records layout, user guide, Federal Information Management Security Act (FISMA) reports on system security, and other related materials

Disposition TEMPORARY Delete/destroy when superseded or 5 years after the system is terminated, whichever is sooner