REQUEST FOR RECORDS DISPOSITION AUTHORITY *(See Instructions on reverse)*	LEAVE BLANK (NARA use only) JOB NUMBER N1-058-10-7
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML) 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001	DATE RECEIVED 10/1/09
1 FROM (Agency or establishment) Department of the Treasury	NOTIFICATION TO AGENCY
2 MAJOR SUBDIVISION Internal Revenue Service	In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10
3 MINOR SUBDIVISION Office of the Commissioner, Research, Analysis, and Statistics, Research, Research Databases Section	
4 NAME OF PERSON WITH WHOM TO CONFER Daniel W Bennett, IRS Records Officer Jeffrey S Butler 5 TELEPHONE 202-435-6337 202-874-4277	DATE 30 NOV 10 ARCHIVIST OF THE UNITED STATES

5 AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 2 pages(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

[redacted] is not required; [redacted] is attached, or [redacted] has been requested

DATE	SIGNATURE OF AGENCY REPRESENTATIVE	TITLE
9/28/2009	Daniel W Bennett IRS Records Officer	IRS Records Officer National Office, OS A RE SC Washington, DC 20224

7 ITEM NO	8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	RCS 1.15.27 for Records Control Schedule for Compliance Research **Future Updates** • **Adds new Item 54 for the *Compliance Data Warehouse (CDW)*** **Item 54 Compliance Data Warehouse (CDW)** **Sub-Items** • **a. Inputs b. Outputs c Data d. Systems Documentation** **RCS 1.15.35 Records Control Schedule for Tax Administration – Systems (Electronic)** **a) Add new pointer to Item 54 in RCS 1 15.27.** **The records are owned by the Office of the Commissioner, Research, Analysis, and Statistics, Research Databases Section** ***See the attached***		

REQUEST FOR RECORDS DISPOSITION AUTHORITY *(See Instructions on reverse)*		AVE BLANK (NARA use only) JOB NUMBER **N1-058-09-**	
	Background: *The Office of the Commissioner, Research, Analysis, and Statistics (RAS) Division provides strategic service-wide research, analysis, studies, and support to internal and external stakeholders, including Internal Revenue Service Senior Management Team, Operating Division research and operational organizations, Other NHQ support units, External stakeholders, including Treasury, OMB, Congress and other tax administration agencies* *RAS conducts strategic research and analysis and research not limited to a single operating division but critical to the Service, overall This includes various types of research, econometric modeling, forecasting, compliance studies, analysis of proposed legislative initiatives, and strategic program evaluations, and provides taxpayer data to the Treasury Department's Office of Tax Analysis (OTA) and other agencies through the Statistics of Income Division* *CDW offers a broad range of databases to research analysts that can be easily accessed through a variety of third-party tools Besides delivering data, CDW also provides tools, training, and computing services to the Research community to support projects, analysis, and studies related to tax administration, enforcement, and customer care* *Using high-performance technology and best practices in data warehousing, CDW is specifically designed to meet the unique needs of research analysts throughout the IRS Whether a research question is ad-hoc in nature, or involves the use of advanced quantitative methods, CDW provides the solutions needed to quickly and efficiently get analytical results* *While used primarily by research analysts in W&I, SB/SE, LMSB, TE/GE, and CI, employees in the CFO, TAS, and other organizations use CDW as well In the face of the diverse and changing set of research requirements that help drive solutions in the CDW environment, our core values remain the same to provide high quality data and information services to support research and analysis throughout the IRS* *CDW is owned and managed by the Office of the Commissioner, Research, Analysis, and Statistics Division*		
IRM 1 15 27, Item 54	**Compliance Data Warehouse (CDW)** **Description** The Compliance Data Warehouse (CDW) provides access to a wide variety of tax return, enforcement, compliance, and other data to support the query and analysis needs of the Research community CDW provides a range of solutions to users, including data integration, computing services, data transfer, and educational services CDW captures data from multiple production systems, migrating the data to the CDW environment, transforming, standardizing, and augmenting the data, and organizing the data in a way that is conducive to analysis	NEW	

A. Inputs

The Compliance Data Warehouse (CDW) receives inputs from the Audit Information Management System (AIMS), Automated Under-reporter (AUR), Accounts Receivable Dollar Inventory (ARDI), Business Master File (BMF), Business Returns Transaction File (BRTF), Exam Operational Automation Database (EOAD), Enforcement Revenue Information System (ERIS), Individual Master File (IMF), Individual Returns Transaction File (IRTF), Information Returns Master File (IRMF), and the National Research Program (NRP)

GRS 20 2

Disposition TEMPORARY Delete/destroy any cached input files and data immediately following validation of receipt by the system

B System Data

Contents of the Compliance Data Warehouse (CDW) consist of information on taxpayers, tax preparers, financial institutions, or legal entities (e g , Power of Attorney), and various tax return characteristics

Disposition TEMPORARY Cut off at end of the Processing Year Delete/destroy 10 years after processing year or when no longer needed for operational purposes, whichever is later

C. Outputs

Outputs from the Compliance Data Warehouse (CDW) consist of archived database updates, which occur on a monthly, quarterly, and annual basis Research analysts query and analyze these data to produce reports, tables, and other statistical information

exception to GRS 20.5

Disposition TEMPORARY Delete/destroy 10 years after data update, or when no longer needed for operational purposes, whichever is later

D System Documentation

System Documentation for the Compliance Data Warehouse (CDW) consists of codebooks, records layout, user guide, and other related materials

exception to GRS 20.11

Disposition TEMPORARY Delete/destroy when superseded or 5 years after the system is terminated, whichever is sooner