REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML)
8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001

1 FROM (Agency or establishment)
Department of the Treasury

2 MAJOR SUBDIVISION
Internal Revenue Service

3 MINOR SUBDIVISION
Office of the Commissioner, Research, Analysis, and Statistics, Research, Research Databases Section

4 NAME OF PERSON WITH WHOM TO CONFER
Daniel W Bennett, IRS Records Officer
Jeffrey S Butler

5 AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached ___ pages(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

☐ is not required. ☐ is attached, or ☐ has been requested

6 DATE
9/28/2009

7 ITEM NO. DESCRIPTION OF ITEM OF PROPOSED DISPOSITION
8 RCS 1.15.27 for Records Control Schedule for Compliance Research

Future Updates

- Adds new Item 54 for the Compliance Data Warehouse (CDW)

Item 54 Compliance Data Warehouse (CDW)
Sub-Items

RCS 1.15.35 Records Control Schedule for Tax Administration – Systems (Electronic)

a) Add new pointer to Item 54 in RCS 1 15.27.

The records are owned by the Office of the Commissioner, Research, Analysis, and Statistics, Research Databases Section

See the attached
Background:

The Office of the Commissioner, Research, Analysis, and Statistics (RAS) Division provides strategic service-wide research, analysis, studies, and support to internal and external stakeholders, including Internal Revenue Service Senior Management Team, Operating Division research and operational organizations, Other NHQ support units, External stakeholders, including Treasury, OMB, Congress and other tax administration agencies

RAS conducts strategic research and analysis and research not limited to a single operating division but critical to the Service, overall. This includes various types of research, econometric modeling, forecasting, compliance studies, analysis of proposed legislative initiatives, and strategic program evaluations, and provides taxpayer data to the Treasury Department's Office of Tax Analysis (OTA) and other agencies through the Statistics of Income Division

CDW offers a broad range of databases to research analysts that can be easily accessed through a variety of third-party tools. Besides delivering data, CDW also provides tools, training, and computing services to the Research community to support projects, analysis, and studies related to tax administration, enforcement, and customer care.

Using high-performance technology and best practices in data warehousing, CDW is specifically designed to meet the unique needs of research analysts throughout the IRS. Whether a research question is ad-hoc in nature, or involves the use of advanced quantitative methods, CDW provides the solutions needed to quickly and efficiently get analytical results.

While used primarily by research analysts in W&I, SB/SE, LMSB, TE/GE, and CI, employees in the CFO, TAS, and other organizations use CDW as well. In the face of the diverse and changing set of research requirements that help drive solutions in the CDW environment, our core values remain the same to provide high quality data and information services to support research and analysis throughout the IRS.

CDW is owned and managed by the Office of the Commissioner, Research, Analysis, and Statistics Division

Compliance Data Warehouse (CDW)

Description

The Compliance Data Warehouse (CDW) provides access to a wide variety of tax return, enforcement, compliance, and other data to support the query and analysis needs of the Research community. CDW provides a range of solutions to users, including data integration, computing services, data transfer, and educational services. CDW captures data from multiple production systems, migrating the data to the CDW environment, transforming, standardizing, and augmenting the data, and organizing the data in a way that is conducive to analysis.
A. Inputs:
The Compliance Data Warehouse (CDW) receives inputs from the Audit Information Management System (AIMS), Automated Under-reporter (AUR), Accounts Receivable Dollar Inventory (ARDI), Business Master File (BMF), Business Returns Transaction File (BRTF), Exam Operational Automation Database (EOAD), Enforcement Revenue Information System (ERIS), Individual Master File (IMF), Individual Returns Transaction File (IRTF), Information Returns Master File (IRMF), and the National Research Program (NRP).

Disposition TEMPORARY Delete/destroy any cached input files and data immediately following validation of receipt by the system.

B. System Data:
Contents of the Compliance Data Warehouse (CDW) consist of information on taxpayers, tax preparers, financial institutions, or legal entities (e.g., Power of Attorney), and various tax return characteristics.

Disposition TEMPORARY Cut off at end of the Processing Year. Delete/destroy 10 years after processing year or when no longer needed for operational purposes, whichever is later.

C. Outputs:
Outputs from the Compliance Data Warehouse (CDW) consist of archived database updates, which occur on a monthly, quarterly, and annual basis. Research analysts query and analyze these data to produce reports, tables, and other statistical information.

Disposition TEMPORARY Delete/destroy 10 years after data update, or when no longer needed for operational purposes, whichever is later.

D. System Documentation:
System Documentation for the Compliance Data Warehouse (CDW) consists of codebooks, records layout, user guide, and other related materials.

Disposition TEMPORARY Delete/destroy when superseded or 5 years after the system is terminated, whichever is sooner.