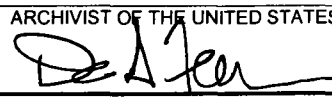
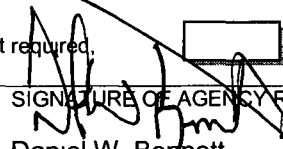


| REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse) | | LEAVE BLANK (NARA use only) | |
|--|--|---|--|
| TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML) 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001 | | JOB NUMBER N1-58-10-9 | |
| 1 FROM (Agency or establishment) Department of the Treasury | | DATE RECEIVED 12/1/09 | |
| 2 MAJOR SUBDIVISION Internal Revenue Service (IRS) | | NOTIFICATION TO AGENCY In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10 | |
| 3 MINOR SUBDIVISION Small Business/Self-Employed (SB/SE) Operating Division | | | |
| 4 NAME OF PERSON WITH WHOM TO CONFER Stephanie Griffith (Records POC) Debra Sippio (BU POC)(847-918-4877) | | 5 TELEPHONE (202) 435-6317 | DATE 12/1/09 |
| 5 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>2</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, <input checked="" type="checkbox"/> is not required, <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested | | ARCHIVIST OF THE UNITED STATES  | |
| DATE 24 November 2009 | SIGNATURE OF AGENCY REPRESENTATIVE  Daniel W Bennett | | TITLE IRS Records Officer National Office, OS A RE L Washington, DC 20224 |
| 7 ITEM NO | 8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION | 9 GRS OR SUPERSEDED JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
| | Update to IRM 1.15.23 for Tax Administration – Examination, Item 72 Compliance Initiative Project Files Internal Revenue Form 13502: Compliance Initiative Project Authorization - Part One Internal Revenue Form 13498: Compliance Initiative Project Authorization - Part Two Internal Revenue Form 13497: Compliance Initiative Project Termination Report See attached. Background: | | |

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

DO NOT WRITE IN THESE SPACES (NARA use only)

JOB NUMBER

N1-58-10-

Compliance Initiative Projects (CIPS) are conducted by Headquarter and Field Offices within the Small Business/Self Employed (SB/SE) Operating Division, Large and Midsize Business Division (LMSB) and Campus Compliance Services. CIPS are used to gather taxpayer information within a certain group to identify potential areas of noncompliance for the purpose of correcting the noncompliance. Data may be collected through internal or external means to include, but not limited to, taxpayer education, research, examination or collection activities. The fundamental principles of CIPS include safeguarding taxpayer privacy and protecting taxpayers against unauthorized disclosure of confidential information or unauthorized compliance contacts; improving voluntary compliance; using data driven business decisions as the basis for expending resources, and; ensuring authorization by all functions whose resources will be significantly impacted. The item below describes the forms used to initiate and terminate CIPS.

1

IRM 1.15.23, Item 72
Compliance Initiative Project Files

Included are IRS Forms 13502, Compliance Initiative Project Authorization - Part One, 13498, Compliance Initiative Project Authorization – Part Two, and 13497, Compliance Initiative Project Termination Report that are used by IRS employees to authorize and terminate Compliance Initiative Projects for the purpose of correcting taxpayer noncompliance issues.

Disposition:

Cut off at the end of each FY.

Destroy 3 years after termination.