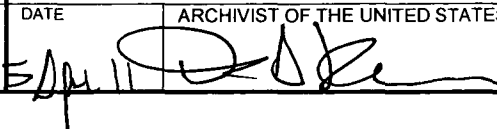
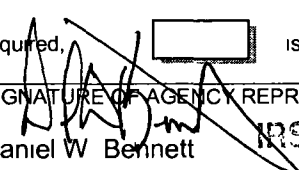


REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK (NARA use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML) 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001		JOB NUMBER N1-58-10- 19	
1 FROM (Agency or establishment) Department of the Treasury		DATE RECEIVED 4/19/10	
2 MAJOR SUBDIVISION Internal Revenue Service (IRS)		NOTIFICATION TO AGENCY	
3 MINOR SUBDIVISION Small Business/Self-Employed (SB/SE) HQ BSA Policy		In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10	
4 NAME OF PERSON WITH WHOM TO CONFER Stephanie Griffith	5 TELEPHONE (703)565-3120	DATE	ARCHIVIST OF THE UNITED STATES
5 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>3</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, <input checked="" type="checkbox"/> is not required, <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested			
DATE 4/13/2010	SIGNATURE OF AGENCY REPRESENTATIVE  Daniel W Bennett	TITLE Chief, Records and Info Magmt National Office, OS A RE L Washington, DC 20224	
7 ITEM NO	8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	RCS 1.15.28, Item 240 Records Control Schedule for Collection Form 5104, Report of Apparent Violation of Financial Recordkeeping and Reporting Regulations		

REQUEST FOR RECORDS . POSITION AUTHORITY
(See Instructions on reverse)

LEAVE BLANK (NARA use only)

JOB NUMBER

N1-58-10-

Background:

Form 5104, Report of Apparent Violation of Financial Recordkeeping and Reporting Regulations, is completed when apparent violations warrant a referral to FinCEN for civil penalty determination. The forms are part of a case file held by ECC-Detroit and scheduled under NARA job number N1-058-07-11. The forms described in this schedule are copies of the original 5104 form held outside of the FinCEN case file by BSA/Policy.

Form 5104

Form ⁵¹⁰⁴~~5401~~, Report of Apparent Violation of Financial Recordkeeping and Reporting Regulations, collects information such as Name of referred entity, type of referred entity (i.e. individual, sole proprietorship, partnership, corporation, etc.), address, phone number, type of violation, date entity was notified that it was covered under 31 CFR 103, time frame of previous compliance examination periods, date closed, date of prior referral, referring examiner, date of current referral, and signature of Territory Manager.

Disposition:

Cut off annually, Destroy 1 year after case is closed.