

REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse)		LEAVE BLANK (NARA use only)		
		JOB NUMBER N1-58-10-4		
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML) 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001		DATE RECEIVED 10/7/10		
1 FROM (Agency or establishment) Department of the Treasury		NOTIFICATION TO AGENCY		
2 MAJOR SUBDIVISION Internal Revenue Service (IRS)		In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10		
3 MINOR SUBDIVISION Wage & Investment (W&I)				
4 NAME OF PERSON WITH WHOM TO CONFER Stephanie Griffith Sharon Lasley BU POC		5 TELEPHONE (703)565-3120 (859) 669-5543	DATE 17 July 12	ARCHIVIST OF THE UNITED STATES 
5 AGENCY CERTIFICATION  I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>2</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,  <input checked="" type="checkbox"/> is not required, <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested				
DATE 9/27/2010	SIGNATURE OF AGENCY REPRESENTATIVE  Daniel W. Bennett IRS Records Officer		TITLE IRS Records Officer National Office, OS A RE RIM Washington, DC 20224	
7 ITEM NO	8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)	
	<b>1.15.29, Records Control Schedule for Submission Processing Campus Records</b>  <b>Updates:</b> <b>Add new item 432 Electronic Tax Administration Research and Analysis System (ETARAS)</b>  <b>Add new:</b> <b>A. Inputs B. System Data C. Outputs D. System Documentation</b>			

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**Background**

*The Electronic Tax Administration Research Analysis System (ETARAS) captures processing information from electronically filed returns for research and analysis. Returns include 1040s, 1041s, 1065s, 1120s, 940 and 941s. The system is used to give e-file program owners, managers, and executives information about electronic filing. The system is used by e-file analysts who have a need to conduct research about various electronic filing initiatives or who wish to measure the success of their program.*

*ETARAS provides e-file information to a variety of customers such as IRS Commissioner's Office, Assistants to the IRS Commissioner, Treasury Inspector General for Tax Administration (TIGTA), GAO, Disclosure Office, Distribution Channel Management (DCM), and Electronic Filing Marketing Database.*

**A. Inputs**

Information input into ETARAS is output data from different major IRS systems such as Electronic Filing System (ELF), Electronic Filing System-1041 (EFS-1041), Electronic Filing of 94XML Returns, Modernized E-File (MeF), and Error Resolution System (ERS). Reports from these systems become source data for ETARAS. ETARAS also receives information from tax forms such as the 1040 series tax returns, copies of Third Party Data Store (TPDS) tables, copies of the refund files transmitted to Financial Management Service (FMS), as well as information on 940, 941, 1041, 1065, 990, 720, 2290, 8849, and 1120 series returns. Processing information collected includes error codes, reject data, and software used to file the return.

**Disposition: TEMPORARY. Delete when data has been entered into the master file or database and verified, or when no longer required to support reconstruction of or serve as backup to, a master file or database, whichever is later.**

GRS 20, Item 2(b)

**B. System Data**

The ETARAS system holds line level data (one row of data for each tax return) for all electronically filed tax returns including SSN, Spouse SSN, Adjusted Gross Income (AGI), Filing Status, Refund Amount, Zip Code, State, Earned Income Tax Credit (EITC) amounts. The system also provides characteristic record data of the electronic transmission such as

<p>Electronic Transmitter Identification Number (ETIN), Preparer EFIN, Preparer Employer Identification Number (EIN), and type of form used to transmit ETARAS consolidates its data into accepted and rejected databases</p> <p>1 Overall table data used to perform research</p> <p><b>Disposition: TEMPORARY. Delete/Destroy when 7 years old.</b></p> <p>2 Certain fields that receive little or no activity and have no research value</p> <p><b>Disposition. TEMPORARY. Delete/Destroy when 3 years old.</b></p> <p><b>C. Outputs</b></p> <p>1 Security and system logs are extracted from the server on a daily basis and stored in a dedicated security repository The logs include audit account logon events success/failure, audit account management success/failure, audit directory service access failure, audit object access failure, audit policy change success/failure, audit privilege use failure audit system events success/failure</p> <p><b>Disposition: TEMPORARY. Delete/Destroy when 6 years old.</b></p> <p><del>2 Ad hoc reports</del></p> <p><del><b>Disposition: TEMPORARY. Delete/Destroy when no longer needed for administrative, legal, audit, or other operational purposes.</b></del></p> <p><b>D. System Documentation:</b>          Owners Manual, User Manual, Data Dictionary, Software Design Description, Software Requirements, et al</p> <p><b>Disposition: TEMPORARY. Delete/Destroy when superseded or 5 years after the system is terminated, whichever is sooner.</b></p>	<p><b>NEW</b></p> <p><b>NEW</b></p> <p><b>NEW</b></p> <p><b>NEW</b></p> <p><b>GRS 20, ITEM 4</b></p> <p><i>NEW exception to GRS 20 11</i></p>	
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