
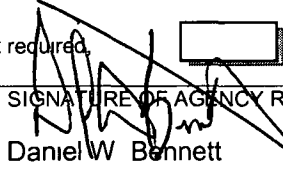


REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse)		LEAVE BLANK (NARA use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML) 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001		JOB NUMBER <b>N1-58-11-12</b>	
1 FROM (Agency or establishment) <b>Department of the Treasury</b>		DATE RECEIVED <b>3-1-11</b>	
2 MAJOR SUBDIVISION <b>Internal Revenue Service (IRS)</b>		NOTIFICATION TO AGENCY	
3 MINOR SUBDIVISION <b>Wage &amp; Investment</b>		In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10	
4 NAME OF PERSON WITH WHOM TO CONFER <b>Stephanie Griffith Janeen Gibson</b>	5 TELEPHONE <b>(703)565-3120 202 283 3990</b>	DATE <b>11 Sept 12</b>	ARCHIVIST OF THE UNITED STATES 
5 AGENCY CERTIFICATION  I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>3</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,  <input checked="" type="checkbox"/> is not required, <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested			
DATE <b>2/23/2011</b>	SIGNATURE OF AGENCY REPRESENTATIVE  <b>Daniel W. Bennett</b>		TITLE <b>IRS Records Officer National Office, OS A RE L RIM Washington, DC 20224</b>
7 ITEM NO	8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	<b>1.15.27, Records Control Schedule for Compliance Research</b>  <b>Updates:</b> <b>Add new item 56 Risk Based Scoring System (RBSS)</b>  <b>Add new:</b> <b>A. Inputs B. System Data C. Outputs D. System Documentation</b>		

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**Background**

The Risk Based Reporting System is designed to aid Reporting Compliance in scoring and ranking tax returns based upon information received. The ranking and scoring is to match potentially improperly filed returns to the most appropriate treatment and to identify those returns that can be handled through less burdensome and lower-cost activities. The system also is used to ensure that employees do not improperly view their own tax return information in the database.

**A. Inputs**

Tax information is received into RBSS from other IRS systems such as the Integrated Production Model (IPM), Earned Income Tax Credit (EITC), Data Master File 1 (DM1),

**Disposition: Recordkeeping copies of this data are appropriately scheduled under other IRS authorities for specific systems and/or sources providing input.**

**B. System Data**

1 Tax Information in the RBSS includes name, Social Security Numbers (SSNs), deductions and all other relevant tax return information

**Disposition: TEMPORARY. Delete/Destroy 3 years after processing year.**

2 The database also houses data tables against which scripts are run to score tax returns so they can be appropriately handled. The tables include initial inventory selection data of high scoring returns. The system data is comprised of the following models

a Charitable Contributions – identifies returns with a deduction for cash contributions

b Non-EITC DUP TIN (Duplicate Taxpayer Identification Number)- includes taxpayers who have claimed a dependent that has been claimed on another taxpayer's tax return for the same tax year. These cases involve dependents who have been duplicated between 2 to 4 times

c. 10% IRA – The 10% premature distributions additional tax/penalty is an income tax which is assessed on the Individual Master File and taken into

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NEW

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account when the total corrected income tax is determined by the tax examiner Returns included in this program should all have taxable premature distributions from qualified retirement plans, Individual Retirement Accounts (IRA), or annuity contracts

**Disposition: TEMPORARY. Delete/Destroy 7 years after processing year.**

3 The RBSS stores a table including a list of restricted Taxpayer Identification Numbers (TINs) and the UserID of the user attempting to login

**Disposition: TEMPORARY. Delete/Destroy at the end of each user session.**

**C. Outputs**

1 High scoring returns/cases open for examination are sent to the Ad Hoc TC 424 System

2 Audit data files are sent to Security Audit and Automation System (SAAS)

**Disposition: TEMPORARY. Recordkeeping copies of information are appropriately scheduled under the specific systems to which they are transferred.**

3 Ad Hoc reports generated from system data

**Disposition: TEMPORARY. Delete/Destroy when no longer needed for legal, audit or other operational purposes.**

**D. System Documentation:**

Owners Manual, User Manual, Data Dictionary, Software Design Description, Software Requirements, et al

**Disposition: TEMPORARY. Delete/Destroy when superseded or 5 years after the system is terminated, whichever is sooner.**

GRS 20.12  
GRS 20.16