Schedule Number: N1-101-97-003

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Explanation / Description:
N1-101-97-003 was superseded by N1-101-05-001 / 2.4/a/1 and N1-101-05-001 / 2.4/a/2

Date Reported: 02/24/2020
REQUEST FOR RECORDS DISPOSITION AUTHORITY

To NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NAR)
WASHINGTON, DC 20408

1 FROM (Agency or establishment)
Office of the Comptroller of the Currency

2 MAJOR SUBDIVISION
Department of Treasury

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER
Marjorie Gustafson

5 TELEPHONE
(202) 874-4722

6 AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO manual for Guidance of Federal Agencies,

☐ is not required, ☐ is attached, or ☐ has been requested

DATE 5/1/97
SIGNATURE OF AGENCY REPRESENTATIVE
Marjorie Gustafson
TITLE Assoc. Director, Administrative Operations

7 Item No
8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION

1 2.4 Bank Examination Working Papers
Documentation of the procedures followed and the conclusions reached during the examination of a national bank, EDP servicer, or federal branch or agency. These papers include, but are not necessarily limited to, the examination and verification programs, memoranda, schedules, analyses prepared or obtained by examiners to support the information and conclusions contained in the related supervisory activity.

Disposition:

a. Active Banks and EDP Servicers.
(1) Full scope examinations.

Cut off at the end of the examination. Retain the working papers for a minimum of three years.
of completed examination activities. Destroy when no longer needed for supervisory, including legal and enforcement purposes.

(2) Specialty examinations.

Cut off at the end of the examination. Retain the working papers for the last two completed examinations and until they are a minimum of three years old. Destroy when no longer needed for supervisory, including legal and enforcement purposes.

Note: OCC files should contain three or more years of examination activities.

2.4 b, c, and d were previously approved - NARA Job #N1-101-94-1.