

REQUEST FOR RECORDS DISPOSITION AUTHORITY		JOB NUMBER <i>NI-483-08-1</i>	
To: NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		Date received <i>10-30-07</i>	
1. FROM (Agency or establishment) Office of Thrift Supervision		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
2. MAJOR SUBDIVISION			
3. MINOR SUBDIVISION			
4. NAME OF PERSON WITH WHOM TO CONFER Kathy Collins	5. TELEPHONE NUMBER (202) 906-7514	DATE <i>2/27/08</i>	ARCHIVIST OF THE UNITED STATES <i>[Signature]</i>
6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>16</u> page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,			
<input checked="" type="checkbox"/> is not required <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested.			
DATE October 30, 2007	SIGNATURE OF AGENCY REPRESENTATIVE <i>Kathy Collins</i>		TITLE Manager, Records Management
7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	This schedule applies to all formats and media in which records are created and maintained at the Office of Thrift Supervision (OTS), including paper, microfilm, and electronic records.		
1	Commercial Tenants/Office Space Files	483-91-1/1	
2	Senior Deputy Director and Chief Operating Officer		
	a. Operational Files	483-91-1/2	
	b. Subject Files – 1985 to Present	483-91-1/3	
3	Utility Consumption Files	483-91-4/3	
4	Building Maintenance and Administration Subject Files	483-91-4/4	
5	Workman's Compensation Files	483-92-1/1	
	(See Continuation Sheets)		
	NOTE: Authorization to destroy temporary records is subject to prior approval of OTS Counsel.		

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(Continuation Sheet)

<u>Item No.</u>	<u>Description of Item and Proposed Disposition</u>	<u>GRS or Superseded Job Citation</u>
6	Administrative Files	483-92-1/2
7	Health and Wellness Program	483-92-1/3
8	Merit Increase Bonus and Incentive Awards Program	483-92-1/4
9	Congressional Response	483-92-1/5
10	Employee Locator	483-92-1/7
11	Division Subject Files	483-92-2/1
12	Correspondence Files	483-92-3/1
13	Exhibits for OTS Programs	483-92-3/2
14	Program Announcements	483-93-3/3
15	Outgoing Correspondence Files	483-92-4/1
16	Subject Files	483-92-4/2
17	Information, Technology & Finance (ITF) Policies and Procedures	483-92-9/2
18	Data Processing/Management Information Systems (DP/IRB) Budget Planning Files	483-92-9/3
19	Investment Review Board (IRB) Policies and Procedures	483-92-9/4
20	DP Security Program Files	483-92-9/5
21	Disaster Recovery Plan	483-92-9/6
22	Five-Year DP Plan	483-92-9/7
23	Service/Trouble and Operators Logs	483-92-9/9
24	Non-Congressional Controlled Correspondence	483-93-3/1
25	Congressional Requests for Documents	483-93-3/4
26	Congressional Hearings and Testimony Files	483-93-3/5
27	Department of Treasury Correspondence	483-93-3/6

(Continuation Sheet)

<u>Item No.</u>	<u>Description of Item and Proposed Disposition</u>	<u>GRS or Superseded Job Citation</u>
28	Signature File for the Director of External Affairs	483-93-3/8
29	Director's Reference Correspondence ("FYI") Files	483-93-3/10
30	Correspondence Files – External Affairs	483-93-4/1
31	Signature Files for Regional Operations	483-93-6/1
32	Subject Files (Regional Operations)	483-93-6/2
33	Quality Assurance Program Files	483-93-6/4
34	IG Audit/Investigation Files	483-93-6/6
35	GAO Records	483-93-6/7
36	Regional Managers Group Meetings	483-9-6/10
37	Director's Weekly Report	483-93-7/1
38	Examinations, Supervision and Consumer Protection	
	a. Subject Files	483-93-8/1c
	b. Supporting Materials	483-93-9/1b
39	Home Owners' Loan Corporation Case Files	483-93-8/2
40	Publications – Supporting/Background Information	483-93-8/6b 483-93-9/5b
41	Handbooks – Working Papers and Background Information	483-93-8/7c 483-93-9/3c
42	Federal Financial Institutions Examination Council (FFIEC) Subcommittee Records – Member Papers	483-93-8/8b
43	Chron Files (Supervision Policy)	483-93-9/7
44	Holding Company Course Files	483-93-11/1
45	Inter-Agency Meeting/Committee Files	483-93-11/1
46	Thrift Edit Comment Sheets/Reports/Correspondence	483-93-24/5
47	Accounting Transmittal Forms	483-92-7/7

This schedule applies to all formats and media in which records are created and maintained at the Office of Thrift Supervision (OTS), including paper, microfilm, and electronic records.

1. COMMERCIAL TENANTS/OFFICE SPACE FILES

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: These records include leases and correspondence of the commercial tenants and for the office space leased by the agency.

DISPOSITION: Temporary. Cut off at the end of the contract. Destroy 2 years after cutoff. (Supersedes Job No. N1-483-91-1/1)

2. SENIOR DEPUTY DIRECTOR AND CHIEF OPERATING OFFICER (COO) – SUBJECT FILES/OPERATIONAL FILES

MAJOR SUBDIVISION: Senior Deputy Director and COO

- a. ~~Subject Files – 1985 to Present.~~ Correspondence, including inter-agency agreements with other government agencies, including OMB. OMB correspondence is of a non-budgetary nature.

Superseded by:

N1-483-09-2/1A
DATE (MM/DD/YYYY):

10/19/2010

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 15 years after cutoff. (Supersedes Job No. N1-483-91-1/3)

- b. ~~Operational Files.~~ These files document the FHLBB and OTS interaction with other government agencies on routine administrative operational matters. Includes memoranda of understanding, inter-agency agreements and OMB correspondence of a non-budgetary nature.

Superseded by:

N1-483-09-2/1B
DATE (MM/DD/YYYY)

10/19/2010

DISPOSITION: Temporary. Cut off at the end of the calendar year or term of agreement. Destroy 3 years after cutoff. (Supersedes Job No. N1-483-91-1/2)

3. UTILITY CONSUMPTION FILES

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: Records of utility consumption reports.

DISPOSITION: Temporary. Cut off files every 5 calendar years. Destroy 20 years after cutoff. (Supersedes Job No. N1-483-91-4/3)

4. BUILDING MAINTENANCE AND ADMINISTRATION SUBJECT FILES

Superseded by:
N1-483-09-2/1B
DATE (MM/DD/YYYY)
10/19/2010

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: Subject files on contracts relating to the building, including preventive maintenance on electrical, plumbing, etc.

DISPOSITION: Cut off at the end of the calendar year. Destroy 6 years after cutoff. (Supersedes Job No. N1-483-91-4/4)

5. WORKMAN'S COMPENSATION FILES

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: Files contain Continuation of Pay reports sent to the Office of Worker's Compensation. The reports contain the quarterly figures on continuance of pay, the number of people, the number of workdays and the total costs of workman compensation.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 3 years after cutoff. (Supersedes Job No. N1-483-92-1/1)

6. ADMINISTRATIVE FILES

Superseded by:
N1-483-09-2/1B
DATE (MM/DD/YYYY):
10/19/2010

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: Files contain general administrative correspondence on compensation and benefits, reports and Human Resource Division programs.

DISPOSITION: Temporary. Cut off when revised or superseded. Destroy 2 years after cutoff. (Supersedes Job No. N1-83-92-1/2)

7. HEALTH AND WELLNESS PROGRAM

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: Files contain information on exercise class enrollments, schedules of classes, lunch-time seminars, information on nutrition, publicity and resource materials, Bond drives, CFC drives and Blood drives.

DISPOSITION: Temporary. Cut off at the end of the calendar year or when information is no longer needed. Destroy 2 years after cutoff. (Supersedes Job No. N1-483-92-1/3)

8. MERIT INCREASE, BONUS AND INCENTIVE AWARDS PROGRAM

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: Files contain administrative correspondence of awards nominations, budgets, initial formulation data regarding performance level ratings and calculations for increases, periodic reports and final bonuses calculations.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 3 years after cutoff. (Supersedes Job No. N1-483-92-1/4)

9. CONGRESSIONAL RESPONSE

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: Files contain congressional inquiries regarding their constituent's application for a position at OTS.

DISPOSITION: Temporary. Cut off every 3 years. Destroy 3 years after cutoff. (Supersedes Job No. N1-483-92-1/5)

10. EMPLOYEE LOCATOR

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: Files contain the name, current address, telephone number of employees and name, address and telephone number of another person in case of emergency.

DISPOSITION: Temporary. Cut off upon termination/separation from agency plus 6 months. Destroy upon cutoff. (Supersedes Job No. N1-483-92-1/7)

11. DIVISION SUBJECT FILES

MAJOR SUBDIVISION: ~~Information, Technology and Finance~~

DESCRIPTION: These are case files of various management studies and projects completed by Records Management.

Superseded by:
N1-483-09-2/18
DATE (MM/DD/YYYY):
10/19/2010

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job No. N1-483-92-2/1)~~

12. CORRESPONDENCE FILES

MAJOR SUBDIVISION: Director's Office

DESCRIPTION: Files consist of copies of all incoming/outgoing correspondence and background information of outside vendors, which could provide services for Office of Equality and Workplace Principles (OEWP) programs.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 3 years after cutoff. (Supersedes Job No. N1-483-92-3/1)

13. EXHIBITS FOR OTS PROGRAMS

MAJOR SUBDIVISION: Director's Office, Office of Equality & Workplace Principles (OEWP)

DESCRIPTION: Files consist of posters and visual aids for display in the OTS lobby for programs sponsored by OEWP.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 2 years after cutoff. (Supersedes Job No. N1-483-92-3/2)

14. PROGRAM ANNOUNCEMENTS

MAJOR SUBDIVISION: Director's Office, Office of Equality & Workplace Principles

DESCRIPTION: Records consist of posters, flyers and announcements relating to OTS programs and outside agency programs including Hispanic Heritage Week, National Secretary Week, Black History Month, National Association of Urban Bankers, the NAACP Convention and other minority programs.

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job No. N1-483-92-3/3)

15. OUTGOING CORRESPONDENCE

MAJOR SUBDIVISION: Human Resources and Administrative Services

DESCRIPTION: Files consist of outgoing correspondence generated by the Human Resources and Management System.

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 3 years after cutoff. (Supersedes Job No. N1-483-92-4/1)~~

~~16. SUBJECT FILES~~

~~MAJOR SUBDIVISION: Human Resources and Administrative Services~~

~~DESCRIPTION: Files consist of information regarding programs, meetings, committees, reports and other activities/functions sponsored by OTS.~~

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job No. N1-483-92-4/2)~~

~~Superseded by:~~

~~N1-483-09-2/18~~

~~DATE (MM/DD/YY)~~

~~10/19/2010~~

~~17. INFORMATION, TECHNOLOGY AND FINANCE (ITF)
POLICIES AND PROCEDURES~~

~~MAJOR SUBDIVISION: Information, Technology and Finance~~

~~DESCRIPTION: Internal OTS policies used in the systems development and maintenance process.~~

~~DISPOSITION: Temporary. Cut off when revised or superseded. Destroy upon cutoff. (Supersedes Job No. N1-483-92-9/2)~~

~~Superseded by:~~

~~N1-483-09-2/38~~

~~DATE (MM/DD/YYYY)~~

~~10/19/2010~~

~~18. DATA PROCESSING/MANAGEMENT INFORMATION
SYSTEMS (DP/IRB) BUDGET PLANNING FILES~~

~~MAJOR SUBDIVISION: Information, Technology and Finance~~

~~DESCRIPTION: Correspondence, background data and reports from OTS offices that feed into the creation of the OTS DP/IRB budget.~~

~~DISPOSITION: Temporary. Cut off at the end of the budget preparation cycle. Destroy 5 years after cutoff. (Supersedes Job No. N1-483-92-9/3)~~

~~Superseded by:~~

~~N1-483-09-2/18~~

~~DATE (MM/DD/YYYY)~~

~~10/19/2010~~

~~19. INVESTMENT REVIEW BOARD COMMITTEE FILES~~

~~MAJOR SUBDIVISION: Information, Technology and Finance~~

~~DESCRIPTION: Correspondence, meeting minutes and reports of the OTS Investment Review Board Committee.~~

~~Superseded by:~~

~~N1-483-09-2/18~~

~~DATE (MM/DD/YYYY)~~

~~10/19/2010~~

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job No. N1-483-92-9/4)~~

~~20. DP SECURITY PROGRAM FILES~~

~~MAJOR SUBDIVISION: Information, Technology and Finance~~

~~DESCRIPTION: Correspondence and reports describing OTS' management of DP security and implementation of the Computer Security Act of 1987.~~

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job No. N1-483-92-9/5)~~

~~Superseded by:
N1-483-09-2/1B
DATE (MM/DD/YYYY):
10/19/2010~~

~~21. DISASTER RECOVERY PLAN~~

~~MAJOR SUBDIVISION: Information, Technology and Finance~~

~~DESCRIPTION: Correspondence and reports describing OTS' DP disaster recovery plans.~~

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy when records are revised, superseded or obsolete. (Supersedes Job No. N1-483-92-9/6)~~

~~Superseded by:
N1-483-09-2/1B
DATE (MM/DD/YYYY):
10/19/2010~~

~~22. FIVE-YEAR DP PLAN~~

~~MAJOR SUBDIVISION: Information, Technology and Finance~~

~~DESCRIPTION: Correspondence, plan and reports describing OTS' plan for meeting its information technology needs.~~

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 7 years after cutoff. (Supersedes Job No. N1-483-92-9/7)~~

~~Superseded by:
N1-483-09-2/1B
DATE (MM/DD/YYYY):
10/19/2010~~

23. SERVICE/TROUBLE AND OPERATORS LOGS

MAJOR SUBDIVISION: Information, Technology and Finance

DESCRIPTION: Records that document personnel on duty, service calls, maintenance activities, equipment problems, etc., in OTS computer rooms.

DISPOSITION: Temporary. Cut off twice a year. Destroy 6 months after cutoff. (Supersedes Job No. 483-92-9/9)

~~24. NON-CONGRESSIONAL CONTROLLED CORRESPONDENCE~~

~~MAJOR SUBDIVISION: External Affairs~~

~~DESCRIPTION: Files contain incoming and outgoing letters and other documentation relating to OTS.~~

~~DISPOSITION: Temporary. Cut off at the end of the Director's term. Destroy 12 years after cutoff. (Supersedes Job No. N1-483-93-3/1)~~

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

~~25. CONGRESSIONAL REQUESTS FOR DOCUMENTS~~

~~MAJOR SUBDIVISION: External Affairs~~

~~DESCRIPTION: These files contain copies of documents produced in response to Congressional investigative oversight requests, Congressional subpoenas, and document production requests.~~

~~DISPOSITION: Temporary. Cut off at the end of each Congress. Destroy 10 years after cutoff. (Supersedes Job No. N1-483-93-3/4)~~

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

~~26. CONGRESSIONAL HEARINGS AND TESTIMONY FILES~~

~~MAJOR SUBDIVISION: External Affairs~~

~~DESCRIPTION: A series of files that contain testimony and statements presented by the OTS Director, designated staff, and other witnesses on matters of interest to the OTS.~~

~~DISPOSITION: Temporary. Cut off at the end of each Congress. Destroy 4 years after cutoff. (Supersedes Job No. N1-483-93-3/5)~~

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

~~27. DEPARTMENT OF TREASURY CORRESPONDENCE~~

~~MAJOR SUBDIVISION: External Affairs~~

~~DESCRIPTION: Files contain incoming and outgoing correspondence with the Department of Treasury and may include supporting documentation.~~

~~DISPOSITION: Temporary. Retain in office for 2 years after end of the OTS Director's term. Transfer to FRC for 10 years. Destroy 12 years after cutoff. (Supersedes Job No. N1-483-93-3/6)~~

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

28. SIGNATURE FILE FOR THE MANAGING DIRECTOR OF EXTERNAL AFFAIRS

MAJOR SUBDIVISION: External Affairs

DESCRIPTION: This file contains correspondence signed by the Managing Director of External Affairs.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job No. N1-483-93-3/8)

Superseded by:

N1-483-09-2/18

DATE (MM/DD/YYYY)

10/19/2010

29. DIRECTOR'S REFERENCE CORRESPONDENCE ("FYI") FILES

MAJOR SUBDIVISION: External Affairs

DESCRIPTION: These files contain incoming correspondence and reports forwarded to the OTS Director as background information.

DISPOSITION: Temporary. Cut off at the end of the Director's term. Destroy 10 years after cutoff. (Supersedes Job No. N1-483-93-3/10)

30. CORRESPONDENCE FILES

MAJOR SUBDIVISION: External Affairs

DESCRIPTION: This file contains the original and/or copies of documents signed by the Managing Director of External Affairs, with attached incoming and/or outgoing correspondence.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 10 years after cutoff. (Supersedes Job No. N1-483-93-4/1)

Superseded by:

N1-483-09-2/18

DATE (MM/DD/YYYY)

10/19/2010

31. SIGNATURE FILES FOR REGIONAL OPERATIONS

MAJOR SUBDIVISION: Senior Deputy Director and Chief Operating Officer (COO)

DESCRIPTION: This series contains copies of all documents signed by the Senior Deputy Director and COO for Regional Operations.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 20 years after cutoff. (Supersedes Job No. N1-483-93-6/1)

Superseded by:

N1-483-09-2/18

DATE (MM/DD/YYYY)

10/19/2010

~~32. SUBJECT FILES~~

~~MAJOR SUBDIVISION: Senior Deputy Director and Chief Operating Officer (COO)~~

~~DESCRIPTION: These files consist of documents relating to a variety of subjects of interest to the Deputy Director. Examples are: Examinations for all thrift-related institutions, applications restructuring, capital plans, institution files, restructuring of the industry, and other policy issues.~~

~~DISPOSITION: Temporary. Cut off upon termination/separation of Senior Deputy Director and COO. Transfer records to the FRC. Destroy records 10 years after cutoff. (Supersedes Job No. N1-483-93-6/2)~~

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

~~33. QUALITY ASSURANCE PROGRAM FILES~~

~~MAJOR SUBDIVISION: Senior Deputy Director and Chief Operating Officer (COO)~~

~~DESCRIPTION: These files contain correspondence, notes, reports and special reviews on the regional quality assurance programs and how the regional offices satisfy national program standards relating to the examination and supervision of thrift institutions.~~

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job No. N1-483-93-6/4)~~

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

~~34. IG AUDIT/INVESTIGATION FILES~~

~~MAJOR SUBDIVISION: Senior Deputy Director and Chief Operating Officer (COO)~~

~~DESCRIPTION: These files consist of the individual audit files (initial entrance meetings, interim and final reports, agency response and follow-up), general IG audit correspondence (correspondence with IG which does not pertain to a specific audit plan), and notes and pertinent information relating to Treasury IG investigations.~~

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 10 years after cutoff. (Supersedes Job No. N1-483-93-6/6)~~

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

~~35. GAO RECORDS~~

~~MAJOR SUBDIVISION: Senior Deputy Director and Chief Operating Officer (COO)~~

~~DESCRIPTION: Documentation of the GAO audits of OTS, FHLBB and Office of Regulatory Affairs, including, but not limited to, the opening conference, requests for information, interim reports, and draft reports.~~

Superseded by:

N1-483-09-2/18
DATE (MM/DD/YYYY)

10/19/2010

~~DISPOSITION: Temporary. Cut off at the close of the contract or case, one year after final report is issued. Destroy 10 years after cutoff. (Supersedes Job No. N1-483-93-6/7)~~

36. REGIONAL MANAGERS GROUP MEETINGS

MAJOR SUBDIVISION: Senior Deputy Director and Chief Operating Officer (COO)

DESCRIPTION: Records documenting the meetings of the Regional Managers Group, includes agendas, information distributed at meetings and informal notes by OTS staff.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 10 years after cutoff. (Supersedes Job No. N1-483-93-6/10)

37. DIRECTOR'S WEEKLY REPORT

~~**MAJOR SUBDIVISION:** Director~~

~~**DESCRIPTION:** Series consists of reports from OTS staff to the Director and Senior Deputy Director and COO of OTS detailing the current status of various projects and topics.~~

Superseded by:

N1-483-09-2/1A
DATE (MM/DD/YYYY):

10/19/2010

~~**DISPOSITION:** Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job No. N1-483-93-7/1)~~

38. EXAMINATION, SUPERVISION AND CONSUMER PROTECTION – SUBJECT FILES – SUPPORTING INFORMATION (OTS RECORDS)

~~**MAJOR SUBDIVISION:** Examination, Supervision and Consumer Protection~~

Superseded by:

N1-483-09-2/1B
DATE (MM/DD/YYYY):

10/19/2010

~~**DESCRIPTION:** Documents include reports, memos, letters and issue papers that set forth policy development and interpretation, communications and oversight regarding examination and supervisory policies, procedures and standards.~~

~~Included is research information and special projects resulting from requests and questions posed by agency officials and other government enterprises.~~

~~Programs and projects include but are not limited to: Regulatory Programs; Credit Risk Policy; Affiliates Policy; Supervision; Interest Rate Risk; Capital Markets, Capital Issues; Consumer Affairs; Compliance; EDP; and, Trust Programs.~~

~~Supporting background information, working papers and other material pertaining solely to Policy's administrative functions.~~

~~DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job Nos. N1-483-93-8/1e and 483-93-9/1b)~~

39. HOME OWNERS' LOAN CORPORATION CASE FILES

MAJOR SUBDIVISION: Chief Counsel

DESCRIPTION: Correspondence and forms relating to the release of home mortgages from the 1930s and 1940s.

DISPOSITION: Temporary. Cut off at the end of the calendar year in which paperwork is completed and the release granted. Destroy 3 years after cutoff. (Supersedes Job No. N1-483-93-8/2)

40. PUBLICATIONS – SUPPORTING/BACKGROUND INFORMATION (OTS RECORDS)

MAJOR SUBDIVISION: Office of Thrift Supervision (Agency-wide)

DESCRIPTION: One copy each of FHLBB and OTS publications which include but are not limited to Summary of Savings Accounts by Geographic Area; Savings and Home Finance Source Books; Trends in the Savings and Loan Field; OTS Corporate Directory and Holding Companies; Special Reports; Research Papers; Invited Papers; and, OTS Weekly Paper Series.

Supporting/background information and working papers.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job Nos. N1-483-93-8/6b and 483-93-9/5b)

41. HANDBOOKS – WORKING PAPERS AND BACKGROUND INFORMATION

MAJOR SUBDIVISION: Office of Thrift Supervision (Agency-wide)

DESCRIPTION: Included are drafts of chapters, field staff's comments, issue and working papers, format changes, updates and historic copies of the handbooks. Background information, revisions and all documentation concerning regulations, policy and procedures.

Handbooks include but are not limited to: the Compliance Laws and Regulations; the Compliance Self-Assessment; the Trust; the EDP; the Holding Company; and Thrift Activities.

Superseded by:

N1-483-09-2/38
DATE (MM/DD/YYYY)

10/19/2010

Working papers and background information.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 5 years after cutoff. (Supersedes Job Nos. N1-483-93-8/7c and N1-483-93-9/3c)

42. FEDERAL FINANCIAL INSTITUTIONS EXAMINATIONS COUNCIL (FFIEC) SUBCOMMITTEE RECORDS – MEMBER WORKING PAPERS/SUBJECT FILES

MAJOR SUBDIVISION: Examination, Supervision and Consumer Protection

DESCRIPTION: Meeting minutes and documentation regarding issues brought before committees. Committees include but are not limited to the Accounting Subcommittee and the Appraisal Subcommittee of the FFIEC.

Members working papers and subject files.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 10 years after cutoff. (Supersedes Job No. N1-483-93-8/8b)

43. CHRON FILES

MAJOR SUBDIVISION: Examination, Supervision and Consumer Protection

DESCRIPTION: Copies of letters, memos and Program/Project/Subject file documents.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 3 years after cutoff. (Supersedes Job No. N1-483-93-9/7)

Superseded by:

N1-483-09-2/1B
DATE (MM/DD/YYYY):

10/19/2010

44. HOLDING COMPANY COURSE FILES

MAJOR SUBDIVISION: Examination, Supervision and Consumer Protection

DESCRIPTION: Documents include instructor guides, participant guides and case study materials.

DISPOSITION: Temporary. Cut off at the end of the calendar year. Destroy 10 years after cutoff. (Supersedes Job No. N1-483-93-9/8)

Superseded by:

N1-483-09-2/1A
DATE (MM/DD/YYYY):

10/19/2010

45. INTER-AGENCY MEETING/COMMITTEE FILES

MAJOR SUBDIVISION: Director's Office

Superseded by:

N1-483-09-2/1A
DATE (MM/DD/YYYY):

10/19/2010

DESCRIPTION: Files contain agendas, minutes, etc. of inter-agency committee/boards/task forces in which the Director or his immediate staff participate.

DISPOSITION: Temporary. Cut off files at the end of the Director's tenure. Destroy 10 years after cutoff. (Supersedes Job No. N1-483-93-11/1)

46. THRIFT EDIT COMMENT SHEETS/REPORTS/CORRESPONDENCE

MAJOR SUBDIVISION: Information, Technology and Finance

DESCRIPTION: These records document the changes made by FHLBB/OTS personnel to the thrift institutions' data. These records reflect conversations with the thrifts about their filings. Over time these records may indicate whether a thrift has a significant reporting problem.

Superseded by:
N1-483-04-2/18
DATE (MM/DD/YYYY)

10/19/2010

DISPOSITION: Temporary. Cut off at the end of the processing cycle. Transfer records to the Federal Records Center 6 months after cutoff. Destroy 11 years and 6 months after cutoff. (Supersedes Job No. N1-483-93-24/5)

47. ACCOUNTING TRANSMITTAL FORMS

Superseded by:
N1-483-04-2/1
DATE (MM/DD/YYYY)

MAJOR SUBDIVISION: Information, Technology and Finance

DESCRIPTION: Transmittal forms received from other government agencies that use OTS accounting services.

DISPOSITION: Temporary. Cut off at the end of the fiscal year, and destroy 1 year after cutoff. (Supersedes Job No. N1-483-92-7/7)