

REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse)		LEAVE BLANK (NARA use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER N1-483-92-5	DATE RECEIVED 1-8-92
1. FROM (Agency or establishment) Office of Thrift Supervision		NOTIFICATION TO AGENCY	
2. MAJOR SUBDIVISION Office of Chief Counsel		In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
3. MINOR SUBDIVISION			
4. NAME OF PERSON WITH WHOM TO CONFER Colleen Devine	5. TELEPHONE (202) 906-6025	DATE	ARCHIVIST OF THE UNITED STATES WITHDRAWN

6. AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

DATE 12/19/91	SIGNATURE OF AGENCY REPRESENTATIVE Colleen M. Devine	TITLE Director, DMD
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7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	See attached.		WITHDRAWN

**OFFICE OF THRIFT SUPERVISION
OFFICE OF CHIEF COUNSEL - ENFORCEMENT**

All documents covered on this schedule pertain to the Office of Thrift Supervision (OTS), Office of Chief Counsel. Activities include conducting informal inquiries, investigations, and formal examinations to develop the factual basis for enforcement actions; litigating enforcement actions in hearings, trials, and appeals throughout the country on behalf of OTS; and negotiating resolutions of various types of enforcement actions, including supervisory agreements, cease and desist orders, capital directives, civil money penalties and removal and prohibition orders. The files are arranged alphabetically, chronologically and by Institution name. Some of these records existed under the Federal Home Loan Bank Board. (NOTE: OTS is the successor agency to the Federal Home Loan Bank Board). OTS continues to maintain and use these records. Rate of accumulation for all the files on this schedule is 40 cubic feet per year.

This schedule supersedes schedules NC1-195-77-17 and NC1-195-78-2.

Item No.	Description
1.	<p>Subject Files. Files consists of issues relevant to the enforcement of the statutes and regulations. Such as: cease and desist orders, supervisory agreements, opinions and memorandum of law.</p> <p>Volume: 165 cubic feet</p> <p>Cut off: Life of Function</p> <p>Disposition: Permanent. Retain in office for life of function then transfer to National Archives.</p> <p>Privacy Act: Yes</p> <p>Inclusive Dates: 1966 - Present</p>
2.	<p>Association Files. These are files of institutions involved in enforcement actions and consist of the memoranda requesting action, interim and final reports, court actions, correspondence and other information relating to the events of an enforcement proceeding.</p> <p>Volume: 15 cubic feet</p> <p>Cut off: When Case Closes</p> <p>Disposition: Permanent. In office 2 years after case closes. Transfer to FRC for 8 years. Transfer to National Archives when 10 years old.</p> <p>Privacy Act: Yes</p> <p>Inclusive Dates: 1975 - Present</p>

3. **Enforcement Investigation and Litigation Files** - These files document investigations and may include the examination report, transcripts of depositions, criminal referral forms, and correspondence.

Volume: 75 cubic feet

Cut off: When Case Closes

Disposition: Permanent. In office for 2 years after case closes. Transfer to FRC for 8 years. Transfer to National Archives when 10 years old.

Privacy Act: Yes

Inclusive Dates: 1975 - Present

4. **Enforcement Review Committee Files** - Files contain documentation of actions sent to the Committee for their review and action. Includes documents executed by the Committee.

Volume: 9 cubic feet

Cut off: Annually

Disposition: Permanent. In office 5 years, then transfer to FRC for 5 years. Transfer to National Archives when records are 10 years old.

Privacy Act: Yes

Inclusive Dates: 1987 - Present