

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-483-92-008

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

Item 1, if any records still survive, was superseded by GRS 4.1, item 010 (DAA-GRS-2013-0002-0016).

Item 2 was superseded by N1-483-06-003, item 1.

Item 3, if any records still survive, was superseded by GRS 5.2, item 010 (DAA-GRS-2017-0003-0002).

The Office of Thrift Supervision (OTS) regulated Federal and State-chartered savings institutions. Created by the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA), the OTS replaced the Federal Home Loan Bank Board as the primary regulator of federal- and state-chartered savings and loan institutions belonging to the Savings Association Insurance Fund (SAIF).

Section 312 of the Dodd-Frank Wall Street Reform and Consumer Protection Act mandated merger of OTS with the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corp. (FDIC), the Federal Reserve Board, and the Consumer Financial Protection Bureau (CFPB) as of 21 July 2011. The OTS ceased to exist on 19 October 2011.

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK (NARA use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER NI-483-92-8	DATE RECEIVED MAR 29 1993
1 FROM (Agency or establishment) Office of Thrift Supervision/Department of Treasury		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
2 MAJOR SUBDIVISION Policy			
3 MINOR SUBDIVISION			
4 NAME OF PERSON WITH WHOM TO CONFER Gilda Morse	5. TELEPHONE (202) 906-6238	DATE 5-20-94	ARCHIVIST OF THE UNITED STATES <i>Cathy Huskamp Petusi</i>

6 AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

DATE 3/19/93	SIGNATURE OF AGENCY REPRESENTATIVE <i>Colleen Devine</i>	TITLE Director Directives Management Division
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7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	Consumer Complaint See Attached		

Copies sent to Agency NIST, NIST, NSA @ 5/22/95

OFFICE OF THRIFT SUPERVISION
CONSUMER COMPLAINT SYSTEM

ITEM DESCRIPTION OF ITEM

Under the Federal Trade Commission Act, the Office of Thrift Supervision, as successor to the Federal Home Loan Bank Board, is required to have a Consumer Affairs program to resolve disputes between the consumer and the thrift institution. The Consumer Complaint database tracks the status of the complaint investigation and resolution, and is used to generate annual and ad hoc reports in response to Congressional and other inquiries. The information is also used to assess the institution's compliance with consumer protection laws and regulations. Most of the consumer complaints are filed with the regional offices and are scheduled on the Regional Records Retention schedule.

1. Consumer Complaint Tracking Database

This database tracks the status of consumer complaints from the date received through resolution. It contains data relating to the case number, complainant name, dates of processing, type of complaint and the disposition. It may contain supervisory information about the thrift and personal information regarding the complainant.

DISPOSITION:

Temporary. Cut off at the end of the calendar year in which the case is closed. Destroy 6 years after cut-off.

Privacy Act Considerations: Yes
Sensitive: Yes
Media: Disk File and Magnetic Tapes
Inclusive Dates: 1981 - Present

2. Consumer Complaints

These are a limited number of specific complaints which are being handled through Washington in conjunction with the regions.

DISPOSITION:

Temporary. Cut off at the end of the calendar year in which the case is closed. Transfer to the Federal Records Center one year after cut-off. Destroy 6 years after cut-off.

Privacy Act Considerations: Yes
Sensitive: Yes
Media: Paper
Inclusive Dates: 1986 - Present

3. Reports - Consumer Complaints System Outputs

This system generates detailed reports which summarize the types of complaints received, the types of resolutions, or the status of the complaints. These reports are generated on an ad hoc basis and reflect the specifics of the request for a report.

DISPOSITION:

Temporary. Destroy when no longer needed.

Privacy Act Considerations: Yes
Sensitive: Yes
Media: Paper
Inclusive Dates: 1992 - Present