# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

## Schedule Number: N1-483-93-013

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 12/09/2022

### **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Items 2 and 3 remain active.

### SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1 was superseded by GRS 5. 2 item 020 (DAA-GRS-2017-0003-0002).

DATE NACLARCHIVES and RECORDS ADMINISTRATION (NIR)    DATE RECEIVED 329743      TFROM (Agency or satablishmen)    OUTFICE      OPTICE    Thrift Supervision/Department of Treasury      a MADOF SUBDIVISION    If accodures with the provision of 4 If accodures with the provision of 4 If accodures with the approximation of 4 If accodures with the attempt of the approximation on approximation of the approximation of 4 If accodure of the approximation of a Interformer or will not be needed after the retention periods specified; and that written corners from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies.      It is not required;    Is attached; or    In as been requested.      DATE    SIGNATURE OF Adency REPRESENTATIVE Directore    TITLE Directore Directores Managem ent Division      Agencies.    OUTER AND PROPOSED DISPOSITION    SUBSCHIPTION OF ITEM AND PROPOSED DISPOSITION    SUBSCHIPTION SUBSCHIPTION OF ITEM AND PROPOSED DISPOSITION      Agencies.    See Attached    If accodure of Adency Representation Disposition    If accodure of Adency Representation Disposition      Agencies.    See Attached    If accodure of Adency Representation Dispos	REQUEST FOR RECORDS DISPOSITION AUTHORITY			JOB NUMBER 83-93-13		
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3 MINOR SUBDIVISION    Interacting the standard deposition      4 NAME OF PERSON WITH WHOM TO CONFER 5. TELEPHONE    (202) 906–5745      John Price    (202) 906–5745      Interacting the standard deposition of its records and that the records proposed for disposal on the attached 2 page(s) are not now meded for the business of this agency or will not be needed after the retention pendods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies.      X    is not required;    is attached; or    has been requested.      DATE    SignATURE OF AdENCY REPRESENTATIVE    Director      JA3/93    Collean Daron    Supersector    Takes OR Director      JA3/93    SignATURE OF AdENCY REPRESENTATIVE    Director      JA3/93    Supersector    Takes OR Director      JOB CITATION    Supersector    Takes OR Director      Supersector    Supersector    Takes OR Director      JOB CITATION    Supersector    Takes OR Director      See Attached    See Attached    See Attached    See ON Director      See Attached    See Attached    See Attached    Standard point 119 (GEV. 3.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.				U.S.C. 3303a the disposition request, including amendments, is approved excent		
John Price    (202) 906-5745    U/22/M    WMCCBLMML      6. AGENCY CERTFICATION    Interply certify that I an authorized to act for this agency in matters pertaining to the disposition of its records proposed for disposal on the attached 2.    Darge(s) are not now needed for the business of this agency in matters pertaining to the disposition of its records proposed for disposal on the attached 2.      A lis not required;    is attached; or    In the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies.      DATE    SignATURE OF AGENCY REPRESENTATIVE    Director      JA3/93    Colleen Device    Title 8      PARM    a. o DESCRIPTION OF ITEM AND PROPOSED DISPOSITION    9.000 GUATION      TEM    a. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION    9.000 GUATION      Regulatory Plan    See Attached    Title 8 of the GAO Manual FORM 113 (CEV. 22)      No.    No.    NO.    NO.    See Attached	3 MINOR SUBDIVISION			for items that may be mar	ked disposition	
John Price    (202) 906-5745    U/22/M    WMCCBLMML      6. AGENCY CERTFICATION    Interply certify that I an authorized to act for this agency in matters pertaining to the disposition of its records proposed for disposal on the attached 2.    Darge(s) are not now needed for the business of this agency in matters pertaining to the disposition of its records proposed for disposal on the attached 2.      A lis not required;    is attached; or    In the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies.      DATE    SignATURE OF AGENCY REPRESENTATIVE    Director      JA3/93    Colleen Device    Title 8      PARM    a. o DESCRIPTION OF ITEM AND PROPOSED DISPOSITION    9.000 GUATION      TEM    a. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION    9.000 GUATION      Regulatory Plan    See Attached    Title 8 of the GAO Manual FORM 113 (CEV. 22)      No.    No.    NO.    NO.    See Attached	4 NAME OF REBSON WITH WHOM TO	ONEER & TELERHONE			THE UNITED STATES	
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See Attached	ITEM 8. DESCRIPTION OF ITEM A	AND PROPOSED DISPOS	TION		TAKEN (NARA USE ONLY)	
115-109 NIN 7540-00-634-4064 STANDARD FORM 115 (REV. 3-9						
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#### OFFICE OF THRIFT SUPERVISION REGULATORY PLAN/PROFILE DATA SYSTEM

#### ITEM DESCRIPTION OF ITEM

The Regulatory Plan and Profile Data System (PDS) are electronic monitoring tools used by the OTS to enhance supervision and oversight of thrift institutions.

A Regulatory Plan is created for each institution, except conservatorships, within 30 days after transmission of the final Report of Examination to the institution. Thereafter, Regulatory Plans should be updated after each examination and between exams when information received indicates a need to update the plan. Additionally, each plan should be reviewed quarterly in conjunction with off-site monitoring activities, to determine if it is necessary to update it.

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The Profile Data System (PDS) provides information to the Supervisory Profile section of the Regulatory Plan.

All records are on magnetic tape and electronic disks unless otherwise noted.

## 1. REGULATORY PLAN AND PDS ACTIVITY REPORTS (1992 to present)

(These are ad hoc workload and enforcement action tracking reports requested by the user which are used in ongoing support of monitoring and quality control activities. The reports can be recreated from the database at any time.)

DISPOSITION: Temporary. Destroy when no longer needed. Privacy Act Considerations: Yes Sensitive Information: Yes Volume: Less than one cubic foot. Annual Growth: Negligible 2. REGULATORY PLAN (1990 to present)

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DISPOSITION: Temporary. Retain on disk/magnetic tape until revised or superseded during the life of the institution. When an institution becomes inactive, retain the most recent Regulatory Plan for 5 years then destroy. Privacy Act Considerations: Yes Sensitive Information: Yes Volume: Less than one cubic foot. Annual Growth: Negligible

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3. PDS DATA (1990 to present)

This data is part of the Examination Data System (EDS) database and contains current reference information (such as phone numbers, CFO names, etc.) for each thrift institution.

DISPOSITION: Temporary. Destroy when revised/superseded. When an institution becomes inactive, retain the most recent data for 15 years then destroy. Privacy Act Considerations: Yes Sensitive Information: Yes Volume: Less than one cubic foot. Annual Growth: Negligible