

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-483-93-013

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 12/09/2022

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Items 2 and 3 remain active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1 was superseded by GRS 5. 2 item 020 (DAA-GRS-2017-0003-0002).

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408	
1 FROM (Agency or establishment) Office of Thrift Supervision/Department of Treasury	
2 MAJOR SUBDIVISION Policy	
3 MINOR SUBDIVISION	
4 NAME OF PERSON WITH WHOM TO CONFER John Price	5. TELEPHONE (202) 906-5745

LEAVE BLANK (NARA use only)	
JOB NUMBER N1-483-93-13	
DATE RECEIVED 3-29-93	
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
DATE 10/28/94	ARCHIVIST OF THE UNITED STATES Ralph C. Blewett

6. AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

DATE 3/23/93	SIGNATURE OF AGENCY REPRESENTATIVE Colleen Devine	TITLE Director Directives Management Division
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7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	Regulatory Plan See Attached		

Copies sent to NNDW, NAST, NSX @ 11/15/94

OFFICE OF THRIFT SUPERVISION
REGULATORY PLAN/PROFILE DATA SYSTEM

ITEM DESCRIPTION OF ITEM

The Regulatory Plan and Profile Data System (PDS) are electronic monitoring tools used by the OTS to enhance supervision and oversight of thrift institutions.

A Regulatory Plan is created for each institution, except conservatorships, within 30 days after transmission of the final Report of Examination to the institution. Thereafter, Regulatory Plans should be updated after each examination and between exams when information received indicates a need to update the plan. Additionally, each plan should be reviewed quarterly in conjunction with off-site monitoring activities, to determine if it is necessary to update it.

The Profile Data System (PDS) provides information to the Supervisory Profile section of the Regulatory Plan.

All records are on magnetic tape and electronic disks unless otherwise noted.

1. REGULATORY PLAN AND PDS ACTIVITY REPORTS
 (1992 to present)

(These are ad hoc workload and enforcement action tracking reports requested by the user which are used in ongoing support of monitoring and quality control activities. The reports can be recreated from the database at any time.)

DISPOSITION: Temporary. Destroy when no longer needed.

Privacy Act Considerations: Yes

Sensitive Information: Yes

Volume: Less than one cubic foot.

Annual Growth: Negligible

2. REGULATORY PLAN
(1990 to present)

DISPOSITION: Temporary.
Retain on disk/magnetic tape until revised or superseded during the life of the institution. When an institution becomes inactive, retain the most recent Regulatory Plan for 5 years then destroy.

Privacy Act Considerations: Yes
Sensitive Information: Yes
Volume: Less than one cubic foot.
Annual Growth: Negligible

3. PDS DATA
(1990 to present)

This data is part of the Examination Data System (EDS) database and contains current reference information (such as phone numbers, CFO names, etc.) for each thrift institution.

DISPOSITION: Temporary. Destroy when revised/superseded. When an institution becomes inactive, retain the most recent data for 15 years then destroy.

Privacy Act Considerations: Yes
Sensitive Information: Yes
Volume: Less than one cubic foot.
Annual Growth: Negligible