

# INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

## **Schedule Number: N1-483-93-015**

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

### Description:

Item 1 was to be retained for "life of function plus 10 years or when no longer needed to conduct OTS business, whichever is later. As OTS has been out of business since 2011, retention period has been met. Records can be assumed to have been destroyed.

Item 2 was to be destroyed immediately. Disposition is assumed to have taken place.

The Office of Thrift Supervision (OTS) regulated Federal and State-chartered savings institutions. Created by the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA), the OTS replaced the Federal Home Loan Bank Board as the primary regulator of federal- and state-chartered savings and loan institutions belonging to the Savings Association Insurance Fund (SAIF).

Section 312 of the Dodd-Frank Wall Street Reform and Consumer Protection Act mandated merger of OTS with the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corp. (FDIC), the Federal Reserve Board, and the Consumer Financial Protection Bureau (CFPB) as of 21 July 2011. The OTS ceased to exist on 19 October 2011.

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> <i>(See Instructions on reverse)</i>		<b>LEAVE BLANK (NARA use only)</b>	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER <i>NI-483-93-15</i>	
1 FROM (Agency or establishment) Office of Thrift Supervision/Department of Treasury		DATE RECEIVED <i>3-29-93</i>	
2 MAJOR SUBDIVISION Policy		NOTIFICATION TO AGENCY  In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
3 MINOR SUBDIVISION			
4 NAME OF PERSON WITH WHOM TO CONFER  John Price	5. TELEPHONE  (202) 906-5745	DATE <i>12-16-98</i>	ARCHIVIST OF THE UNITED STATES <i>[Signature]</i>

**6. AGENCY CERTIFICATION**  
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required;  is attached; or  has been requested.

DATE <i>3/23/93</i>	SIGNATURE OF AGENCY REPRESENTATIVE <i>Colleen Deine</i>	TITLE Director Directives Management Division
------------------------	--	---

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	<p>Regulatory Action Data Systems</p> <p style="text-align: center;">See Attached</p> <p style="text-align: right; margin-top: 20px;"><i>DEC 22 1998 MDV</i> <i>copy to: agency, NWMD, NWME</i> <i>NR</i></p>		

OFFICE OF THRIFT SUPERVISION (OTS)  
REGULATORY ACTION DATA SYSTEM

ITEM                    DESCRIPTION OF ITEM

The Regulatory Action Data (RAD) System is the automated source of agency information concerning enforcement activities initiated against individuals and/or institutions. Input is made in the OTS regional offices. Some of the records were created by the supervisory offices of the Federal Home Loan Banks (FHLBs) (private organizations which became part of the OTS under the Financial Institutions Reform, Recovery, and Enforcement Act of 1989) and the Office of Regulatory Activities (ORA). The OTS is the successor to ORA and the supervisory offices of the FHLBs. All records are on magnetic tape and electronic disks unless otherwise noted.

- 1. REGULATORY ACTION DATA  
(1987 to present)

DISPOSITION: Temporary. Cut-off at the end of the calendar year. ~~Destroy 15 years after cutoff~~ DESTROY AFTER

Privacy Act Considerations: Yes  
Sensitive Information: Yes  
Volume: Less than one cubic foot.  
Annual Growth: Negligible  
Media: Electronic

*Life of function plus 10 years,  
or when no longer needed to  
conduct OTS business, whichever is  
later.*

*MTP  
11/17/98*

*MAX  
10/19/98*

- 2. SUPERVISORY ACTION SYSTEM DATA ..  
(1987 to January 1992)

This file contains data fields which were dropped from the OTS' regulatory action system as being either insignificant in nature or tracked elsewhere.

DISPOSITION: Destroy immediately.  
Privacy Act Considerations: Yes  
Sensitive Information: Yes  
Volume: Less than one cubic foot.  
Media: electronic

*MAX  
10/17/98*

~~3. REGULATORY ACTION DATA SYSTEM ACTIVITY REPORTS~~

~~(1992 to present)~~

~~(These are ad hoc workload and enforcement action tracking reports used in the ongoing support of monitoring and quality control activities. The reports can be recreated from the database at any time.)~~

~~DISPOSITION: Temporary.  
Destroy when no longer needed.  
Privacy Act Considerations: Yes  
Sensitive Information: Yes  
Volume: Less than one cubic foot.  
Annual Growth: Negligible~~

*Withdrawn.  
12/10/98  
Mary L. Brown*