

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-483-93-016

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

Item 1 was accessioned by NARA: National Archives Identifier 572662.

Item 2a was superseded by N1-483-07-001, item 25.

Item 2b is presumed to have been destroyed.

Item 3 is presumed to have been destroyed.

The Office of Thrift Supervision (OTS) regulated Federal and State-chartered savings institutions. Created by the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA), the OTS replaced the Federal Home Loan Bank Board as the primary regulator of federal- and state-chartered savings and loan institutions belonging to the Savings Association Insurance Fund (SAIF).

Section 312 of the Dodd-Frank Wall Street Reform and Consumer Protection Act mandated merger of OTS with the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corp. (FDIC), the Federal Reserve Board, and the Consumer Financial Protection Bureau (CFPB) as of 21 July 2011. The OTS ceased to exist on 19 October 2011.

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK (NARA use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER <i>N1-483-93-16</i>	DATE RECEIVED <i>3-29-93</i>
1 FROM (Agency or establishment) Office of Thrift Supervision/Department of Treasury		NOTIFICATION TO AGENCY	
2 MAJOR SUBDIVISION Supervisory Operations		In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
3 MINOR SUBDIVISION			
4 NAME OF PERSON WITH WHOM TO CONFER Kathy Willard	5 TELEPHONE (202) 906-6789	DATE <i>5-5-94</i>	ARCHIVIST OF THE UNITED STATES <i>Cindy Hulcamp Peters</i>

6 AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

DATE <i>3/19/93</i>	SIGNATURE OF AGENCY REPRESENTATIVE <i>Colleen Devine</i>	TITLE Director Directives Management Division
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7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	Universe System See Attached		

All changes to this proposed schedule have been approved by:

<i>Paul W. Morris</i> NARA appraiser	<i>4/6/94</i> date	<i>Colleen Devine</i> Agency representative	<i>3/30/94</i> date
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Copies not to NNU, NNT, NSX, NCF, NIA @ 5/17/94

Office of Thrift Supervision
Universe System

ITEM DESCRIPTION

The Universe system database collects and stores information pertaining to the corporate structure of financial institutions regulated by the Office of Thrift Supervision (OTS) which is the successor agency to the Federal Home Loan Bank Board (FHLBB). Other electronic systems access the Universe for retrieval or verification of data. Information from this system is provided to the National Technical Information Services (NTIS) on a quarterly basis. The NTIS receives data tapes of Merger History Files and Transfer of Assets Files information extracted from the Universe system database. The annual rate of growth per year is one magnetic tape.

1. Universe System Master File

The OTS-regulated information in this system is identified by docket number. Data is manually entered on a daily basis consisting of information extracted from approved applications consummated by financial institutions. These applications may be for the following transactions: mergers, sale or purchase of a branch office, and amendment of a charter provision and/or conversion. Other information stored by this system relate to Resolution Trust Corporation (RTC) and OTS Director's Orders.

DISPOSITION: Permanent. At the end of each calendar year transfer a "snapshot" of the master file, ownership of the data and a copy of the system documentation to the National Archives. The "snapshot" will consist of three magnetic files: 1) the Universe tape; 2) the Merger History tape; and 3) the Acquired Assets/Sale of Facility/Transfer of Deposits tape. Retain a copy of the master file in the office for the life of the function then destroy.

Inclusive Dates: 1934 to Present
Sensitive Information: No
Privacy: No
Media: Disk File and Magnetic Tape
Volume: 3 magnetic tapes
Annual Growth: 3 magnetic tapes

2. Universe System Reports

This system generates various reports that provide information such as: active institutions and charter conversions for institutions during a specific time period, merged institutions, liquidated and withdrawn institutions, the history of an institution and significant information regarding financial institutions. These reports can be recreated at any time.

a. Master Reports

(Active Members by Incorporation Date, Institution Name; Active Members by Docket; Active Members by Institution Name, City; Active Members by State, City; Number of Active Member Institutions by Charter Types by State; List of Mergers, Liquidations and Member Withdrawals; and Membership Listing by Docket)

DISPOSITION: Permanent. Cut off at the end of each calendar year and immediately transfer to the Federal Records Center. Transfer to the National Archives in 5-year blocks when oldest records are 5 years old. First transfer to occur in 1996.
Inclusive Dates: 1992 to Present
Sensitive Information: No
Privacy: No
Media: Paper
Volume: 1 cubic foot
Annual Growth: 1 cubic foot

b. Ad Hoc Reports

DISPOSITION: Temporary. Retain in office until no longer needed.
Inclusive Dates: 1992 to Present
Sensitive Information: No
Privacy: No
Media: Paper
Volume: 1 cubic foot

3. Universe Transaction Files

This is a working database that allows for the review of information that is entered into the Universe System Master File.

DISPOSITION: Temporary. Retain in office for 3 years. Destroy when records are 3 years old.
Inclusive Dates: 1990 to Present
Sensitive Information: No
Privacy: No
Media: Disk File or Magnetic Tape
Volume: 1 magnetic tape