Schedule Number: NC1-237-78-05

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

This schedule is superseded by N1-237-92-004.

Date Reported: 08/27/2020
REQUEST FOR RECONSIDERATION AUTHORITY
(See Instructions on reverse)

TO: GENERAL SERVICES ADMINISTRATION, NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, D.C. 20408

1. FROM (AGENCY OR ESTABLISHMENT)
   Department of Transportation

2. MAJOR SUBDIVISION
   Federal Aviation Administration

3. MINOR SUBDIVISION
   Regional Headquarters

4. NAME OF PERSON WITH WHOM TO CONFERENCE
   Mrs. Fae Screws

5. TEL EXT
   426-8735

6. CERTIFICATE OF AGENCY REPRESENTATIVE
   I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

☐ A Request for immediate disposal.

☒ B Request for disposal after a specified period of time or request for permanent retention.

C. DATE
   6-26-78
   [Signature: Fae Screws]

D. SIGNATURE OF AGENCY REPRESENTATIVE
   FAA, RMO

E. TITLE

<table>
<thead>
<tr>
<th>ITEM NO</th>
<th>DESCRIPTION OF ITEM</th>
<th>ACTION TAKEN</th>
</tr>
</thead>
<tbody>
<tr>
<td>86.</td>
<td>Legal enforcement files. Case files relating to legal actions taken with respect to alleged violations of civil air safety regulations, consisting of violation reports, technical analyses, evidentiary materials, sanction documents, and related correspondence.</td>
<td>NCI 237-77-3 (Item 86)</td>
</tr>
</tbody>
</table>

   (a) Cases forwarded to and processed by the Washington Headquarters legal office. Transfer to the Federal Records Center two years after settlement. Federal Records Center destroy ten years after settlement.

   (b) All others. Transfer to the Federal Records Center two years after settlement. Federal Records Center destroy ten years after settlement.

Justification: In the revision of FAA Records Schedules no provisions were made for the regional offices to transfer their inactive enforcement files to a FRC. The ten year retention proposed was the policy in the past and is vital since some of the cases are recalled for responses to FOIA requests and as violation history for future enforcement actions.

3 items

STANDARD FORM 115
Revised April, 1975
Prescribed by General Services Administration
FPMR (41 CFR) 101-114