

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK (NARA use only)	
TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER	N1-399-97-1
1. FROM (Agency or establishment) Department of Transportation		DATE RECEIVED	9-17-97
2. MAJOR SUBDIVISION Federal Railroad Administration		NOTIFICATION TO AGENCY	
3. MINOR SUBDIVISION		In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
4. NAME OF PERSON WITH WHOM TO CONFER	5. TELEPHONE	DATE	ARCHIVIST OF THE UNITED STATES
		11-19-97	John W. Paul

6. AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached ___ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

DATE	SIGNATURE OF AGENCY REPRESENTATIVE	TITLE
9/17/97	<i>Shirley J. Brooks</i>	Records Officer

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	See Attached Pages		

FEDERAL RAILROAD ADMINISTRATION PROGRAM FILES

1. Office of National Freight Assistance Program Files

This series documents financial assistance to railroads pursuant to the Railroad Revitalization and Regulatory Reform Act. This Act established a loan program to railroads and a grant programs to states. Both 49 U.S. C. 22106(e) and 49 CFR 18.32 (e) implementing the Uniform Administrative requirements for grants and cooperative agreements to states and local governments established by the Office of Management and Budget, require states to repay the fair market value of the track materials used in rehabilitation projects if the lines are abandoned or sold.

1A. Grant Project files

Official copies of memoranda, correspondence, applications, agreements, financial reports, audits, projects and other documents relating to the financial assistance to railroads under the (4R Act). This program provides assistance for planning and rehabilitation of rail freight lines. Arranged by state. (NC1-399-78-3/9).

Temporary. Cut-off closed projects at the end of fiscal year. Transfer closed projects to FRC when three years old. Destroy when 25 years old.

1B. State Plans

State Rail Plans and amendments submitted by states that document the state's railroad status, current active rails and carriers, maps of rails lines and the State's Rail Freight Assistance Program activities, initiatives and projects.

Permanent. Cut-off closed files annually. Transfer to FRC when three years old. Transfer to the National Archives when 10 years old. (NC1-399-78-3/17).

Arrangement: alphabetical by state

Current Volume: 26 cubic feet

Annual Accumulation: 1.5 cubic feet.

1C. Loans

Loan case files between FRA and individual rail corporations that document certain railroad projects.

Temporary. Cut-off closed files annually. Transfer to FRC when three years old. Destroy when 25 years old. (NC1-399-78-3/9).

2. FRA Directives

This series contain agencywide policy statements for the management and operation of FRA. The files are arranged by internal file code and contain a copy of the final order; original, internal concurrences, and superseded changes.

Permanent. Cut-off closed files annually. Transfer to FRC when three years old. Transfer to the National Archives when 10 years old.

Arrangement: numerical by internal code

Volume: 6 cubic feet.

Annual Accumulation: 2 cubic feet

3. FRA Administrator, Deputy Administrator and Executive Secretariat Files

The series contains high level correspondence, memoranda, policy statements, travel and briefing documentation, itineraries, executive staff meetings minutes that document FRA policies and initiatives. Files document FRA contact with local, state, other Department of Transportation administrations, Federal and international railroad organizations.

Permanent. Cut-off closed files annually. Transfer to FRC when three years old. Transfer to the National Archives when 10 years old.

Arranged: by subject

Volume: 15 cubic feet

Annual Accumulation: 3 cubic feet.