

Request for Records Disposition Authority

Records Schedule Number

DAA-0416-2017-0001

Schedule Status

Approved

Agency or Establishment

National Highway Traffic Safety Administration

Record Group / Scheduling Group

Records of the National Highway Traffic Safety Administration

Records Schedule applies to

Major Subdivsion

Major Subdivision

Office of Vehicle Safety Compliance (OVSC)

Schedule Subject

This schedule supplements and alters National Highway Traffic Safety Administration (NHTSA) schedule N1-416-11-3 for OVSC by adding two items, and reducing the retention period for N1-416-11-3, Item 10, Vehicle Statements of conformity from 25 years to 5 years.

Internal agency concurrences will

be provided

No

Background Information

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items		Number of Withdrawn Disposition Items
3	0	3	0

GAO Approval



Outline of Records Schedule Items for DAA-0416-2017-0001

Sequence Number	
1	Vehicle statements of conformity (49 CFR, Part 592) for vehicles NOT certified as conforming to the Canadian Motor Vehicle Safety Standards (CMVSS). Disposition Authority Number: DAA-0416-2017-0001-0001
2	Inconsequential Noncompliance Petition (49 CFR Part 556) records Disposition Authority Number: DAA-0416-2017-0001-0002
3	Vehicle statements of conformity (49 CFR Part 592). Disposition Authority Number: DAA-0416-2017-0001-0003



Records Schedule Items

Sequence Nu	mber
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1

2

Vehicle statements of conformity (49 CFR, Part 592) for vehicles NOT certified as conforming to the Canadian Motor Vehicle Safety Standards (CMVSS).

Disposition Authority Number

DAA-0416-2017-0001-0001

Files contain correspondence with registered importers, manufacturers, test and/or inspection results, and internal documentation. The files may also contain research studies, meeting notes, images, and other related investigative material.

Final Disposition

Temporary

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? No

Disposition Instruction

Cutoff Instruction

Cutoff files at the end of the FY.

Retention Period

Destroy 10 year(s) after cut-off, or when no longer

needed for business needs.

Additional Information

GAO Approval

Not Required

Inconsequential Noncompliance Petition (49 CFR Part 556) records

Disposition Authority Number

DAA-0416-2017-0001-0002

Files contain correspondence with manufacturers, test and/or inspection results, and internal documentation. The files may also contain research studies, meeting notes, images, and other related investigative material.

Final Disposition

Temporary

Item Status

Active

Is this item media neutral?

Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? Yes

3

Do any of the records covered by this item exist as structured

electronic data?

No

Disposition Instruction

Cutoff Instruction Cutoff at the end of the FY.

Retention Period Destroy 15 year(s) after cut-off.

Additional Information

GAO Approval Not Required

Vehicle statements of conformity (49 CFR Part 592).

Disposition Authority Number -DAA-0416-2017-0001-0003

Files contain correspondence with registered Importers, manufacturers, test and/or

inspection results, and internal documentation.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered

by this item exist as structured

electronic data?

No

GRS or Superseded Authority

Citation

N1-416-11-3 Item 10.

Disposition Instruction

Cutoff Instruction Cut-off at the end of FY.

Retention Period Destroy 5 year(s) after cut-off, or when no longer

needed for business operations.

Additional Information

GAO Approval Not Required



Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	Ву	Title	Organization
11/03/2016	Certify	Carolyn Green	Records Officer Man ager	NHTSA - OCIO
06/12/2017	Submit for Concur rence	Jim Cassedy	Appraiser	National Archives and Records Administration - Records Management Services
06/22/2017	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Serivces
06/22/2017	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Serivces
06/23/2017	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist