

REQUEST FOR RECORDS DISPOSITION AUTHORITY		JOB NUMBER NI-570-05-2	
To: NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		Date received 8/16/2005 (1-17-2006)	
1 FROM (Agency or establishment) U S Department of Transportation		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U S C 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
2 MAJOR SUBDIVISION Research and Innovative Technology Administration			
3 MINOR SUBDIVISION			
4. NAME OF PERSON WITH WHOM TO CONFER	5 TELEPHONE NUMBER	DATE 11/2/06	ARCHIVIST OF THE UNITED STATES <i>[Signature]</i>
6 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _____ page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, <input type="checkbox"/> is not required <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested			
DATE 4/11/06	SIGNATURE OF AGENCY REPRESENTATIVE <i>[Signature]</i>		TITLE Administrative Specialist
7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
1	<u>Agency-wide Directives</u> This record series consists of all records of each internal directive which are retained as basic documentation of agency policy, programs, and procedures. Records consist of official policy decisions, delegations of authority, memos which set policy or issue guidance, operating guidance, procedures manuals, other procedural materials, technical resource documents, regulatory interpretation and implementation documents The Directive case file will contain approved directive, copies of appropriate coordination, drafts, and other appropriate material relating to the directive. This schedule applies to all the described records regardless of physical media. If permanent records are on CD-ROM, they must conform to existing standards for transfer to the National Archives a. Record copy (record copy set includes any background materials and drafts)		

SA 2/3/06 copies sent to Agency, NWMG, NWM E, NWMW

Disposition: **Permanent** Close file when issued or superseded. Cut-off at the end of the calendar year. Determine the medium of the recordkeeping copy.

a(1). If paper: Keep files in the office up to 4 years after closure, then retire to the FRC. Transfer to NARA in 20 year blocks when the most recent records is 20 years old.

a(2). If electronic: Keep files in the office up to 4 years after closure, then transfer to NARA in accordance with 36 CFR 1228.270

b. Reference set or office copy

Disposition. **Disposable** Close file when issued Destroy when no longer needed or superseded.

c Unpublished directives and guidance

Disposition. **Disposable.** Close file upon decision to not issue. Keep files in the office for 3 years after closure, then retire to the FRC. Destroy 10 years after closure.

If the recordkeeping copy is in electronic form, keep files in accordance with 36 CFR 1234 sec: 30-32 for the entire 10 year retention period.

d(1). Electronic mail and word processing system copies – copies that have no further administrative value after the recordkeeping copy is made. Includes copies maintained by individuals in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives that are used only to produce the recordkeeping copy.

Disposition: **Disposable.** Destroy/delete within 180 days after the recordkeeping copy has been produced.

d(2) Electronic mail and word processing system copies – copies used for dissemination, revision, or updating that are maintained in addition to the recordkeeping copy.

Disposition: **Disposable.** Destroy/delete when dissemination, revision, or updating is completed.