

Request for Records Disposition Authority

Records Schedule Number DAA-0015-2018-0001
 Schedule Status Approved

Agency or Establishment Department of Veterans Affairs
 Record Group / Scheduling Group Records of the Department of Veterans Affairs
 Records Schedule applies to Department-wide
 Schedule Subject Health Eligibility Center (HEC)
 Internal agency concurrences will be provided No

Background Information The Health Eligibility Center is VHA's authoritative source for enrollment and eligibility activities which supports the delivery of VA health care benefits. The Health Eligibility Center (HEC) supports VA's health care enrollment system by providing centralized eligibility verification and determination services. HEC also supports, coordinates and implements VHA's financial assessment process for determining veterans' copay responsibilities for medical care and pharmaceutical services.

The Health Eligibility Center provides national leadership for advancing business practices that support patient care by managing eligibility and enrollment activities for VA's health care benefits package and services.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
3	0	3	0

GAO Approval

Outline of Records Schedule Items for DAA-0015-2018-0001

Sequence Number	
1	Health Eligibility Center (HEC) Case Files Disposition Authority Number: DAA-0015-2018-0001-0001
2	Tapes Received from Social Security Administration (SSA) and the Internal Revenue Service (IRS) Disposition Authority Number: DAA-0015-2018-0001-0002
3	Summary Reports and other Output Records Disposition Authority Number: DAA-0015-2018-0001-0003

Records Schedule Items

Sequence Number	
1	<p data-bbox="365 425 933 457">Health Eligibility Center (HEC) Case Files</p> <p data-bbox="365 478 1144 510">Disposition Authority Number DAA-0015-2018-0001-0001</p> <p data-bbox="365 532 1461 925">Paper and electronic records of veterans who have applied for medical benefits at VA health care facilities, including data on the veterans' spouses. The records contain identifying information including name, address, date of birth, social Security number, current eligibility category, family information, including spouse and dependent(s) name, address, Social Security Number (SSN); employment information on veteran and spouse including occupation, employer(s) name(s) and address(es); financial information including family income, assets, expenses, debts; and third-party health plan contract information including health insurance carrier name and address, policy number and time period covered by the policy; facility location(s) where treatment is provided, type of treatment provided, i.e., inpatient or outpatient, and length of stay or number of visits.</p> <p data-bbox="365 946 917 978">Final Disposition Temporary</p> <p data-bbox="365 1000 852 1032">Item Status Active</p> <p data-bbox="365 1053 820 1085">Is this item media neutral? Yes</p> <p data-bbox="365 1106 812 1223">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p data-bbox="365 1244 1347 1298">GRS or Superseded Authority Citation N1-15-98-3, Item 1 and N1-15-98-3, Item 2</p> <p data-bbox="365 1330 673 1361">Disposition Instruction</p> <p data-bbox="365 1393 1096 1425">Cutoff Instruction Cutoff at end of FY year.</p> <p data-bbox="365 1447 1485 1627">Retention Period Destroy 7 year(s) after The income year for which the means test verification was conducted, when all phases of the Veteran's appeal rights have ended. If an appeal is filed retain records until all phases of the appeal have ended.</p> <p data-bbox="365 1659 673 1691">Additional Information</p> <p data-bbox="365 1713 950 1744">GAO Approval Not Required</p>
2	<p data-bbox="365 1766 1380 1840">Tapes Received from Social Security Administration (SSA) and the Internal Revenue Service (IRS)</p> <p data-bbox="365 1851 1144 1883">Disposition Authority Number DAA-0015-2018-0001-0002</p>

Documents generated as a result of income verification by computer match with records from Internal Revenue Service (IRS) and the Social Security Administration (SSA) and during the notification, verification and due process (Appeal process) periods including initial verification letters, income verification forms, income difference/final letters, non-response/final letters, final confirmation letters, confirmation/due process letters, non-response confirmation letters, clarification letters, and all subpoena documentation.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

GRS or Superseded Authority Citation N1-15-98-3, Item 3

Disposition Instruction

Retention Period Destroy 30 days after the data has been validated as being a true copy of the original data.

Additional Information

GAO Approval Not Required

Summary Reports and other Output Records

Disposition Authority Number DAA-0015-2018-0001-0003

All forms of individual correspondence generated during the process or provided to HEC by match participants include, but is not limited to, copies of death certificates; discharge certification; DD 214, notice of Separation; disability award letter; IRS documents (i.e., forms 1040's W-2's, etc.); State Welfare and food Stamp applications; VA and other pension applications; VA form 10-10, Application for Medical Benefits, and 10-10F, Financial Worksheet; workers compensation forms; and various annual earnings statements as well as pay stubs.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

GRS or Superseded Authority Citation N1-15-98-3, Item 4

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Disposition Instruction

Retention Period

Destroy when no longer needed

Additional Information

GAO Approval

Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
11/16/2017	Certify	Martin Hill	Program Management Office	Office of Information and Technology - Enterprise Records Service
04/17/2018	Submit for Concurrence	David Weber	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
05/02/2018	Concur	Sebastian Welch	Supervisory Archives Specialist	National Archives and Records Administration - ACR4
05/02/2018	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
05/04/2018	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist