Records Schedule Number: DAA-GRS-2016-0006 Status: APPROVED

Date Approved: 06/27/2016 Last Modified: 11/08/2024

General Information

Agency or Establishment	General Records Schedules (National Archives and Records Administration)	
Record/Scheduling Group	GRS - General Records Schedules	
Records Schedule Applies To	Government-wide All agencies except:	
Schedule Subject	Revision to Employee Ethics Records	
Additional Schedule Information	This schedule item supersedes General Records Schedule (GRS) 2.8, item 010, General ethics program records (DAA-GRS-2014-0005-0001).	
Is There a Classified Version of This Schedule?	No	
Is consultation and coordination with Tribal Governments required?	Predate requirement	

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Item Count

Total number of disposition items: 1

Number of Temporary disposition items: 1 Number of Permanent disposition items: 0

Number of Items with Disposition Not Approved: 0

Number of Inactive disposition items: 0

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Outline of Records Schedule Items for DAA-GRS-2016-0006

Item #	Title	Disposition
0001	General ethics program records.	Temporary

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Records Schedule Items

DAA-GRS-2016-0006-0001	STATUS: Active		
ITEM GENERAL INFORMATION			
Item Title	General ethics program records.		
Item Description	Records created and maintained to coordinate and manage an agency's ethics program. Records relate to the development,		
	review, implementation, and interpretation of proposed or		
	established executive branch standards of ethical conduct and		
	other ethics regulations; conflict of interest and other ethics-		
	related statutes and Executive Orders; and any agency		
	supplemental standards of ethical conduct and other agency		
	ethics-related regulations and directives. Includes:		
	• Records documenting the review of proposed or established		
	ethics-related statutes and regulations by ethics program officials,		
	including copies of proposed legislation, comments, and all related records.		
	 Determinations, including advice and counseling to individual		
	employees, and supporting records.		
	 Records relating to requests under agency supplemental 		
	standards of ethical conduct for prior approval of outside		
	employment and activities.		
Is this item media neutral?	Yes		
Is this item a Big Bucket?			
MANUAL CITATION			
Agency Code	GRS 2.8, item 010		
	ION AUTHORITIES AND GRS DEVIATIONS		
Does this item supersede existing	Yes		
disposition authorities?			
	Superseded Items		
Superseded Item	Item Superseded Explanation		
DAA CBG 2014 0005 0001	in Part?		
DAA-GRS-2014-0005-0001 Is this item a deviation from the	No No		
GRS?	No		
DISPOSITION INSTRUCTION			
Final Disposition	Temporary		
I mai Disposition	10mpoint j		

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Retention Period	Other: Destroy 6 years following the conclusion of an ethics regulatory review, provision of advice to an employee, making a determination regarding outside employment or after such determination is no longer in effect or applicable, or when no longer needed for an active investigation; whichever is later, but longer retention is authorized if required for business use.
ADDITIONAL INFORMATION	
Are any of the records covered by	
this item national security	
classified?	
GAO Approval Required	No

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Signatory Information

Action	User	Date
Approve	David Ferriero	06/27/2016

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