Description:

In Fiscal Year 2013, the GRS Team began a five year project to update and revise the General Records Schedules (GRS) under OMB/NARA M-12-18, Managing Government Records Directive. The old GRS was completely superseded.

See https://www.archives.gov/records-mgmt/grs for the revised GRS, crosswalks, faqs, tools, and other resources.
## REQUEST FOR RECORDS DISPOSITION AUTHORITY

(See Instructions on reverse)

| To: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NAR) |
| Washington, D.C. 20408 |

1. FROM (Agency or establishment)
   - National Archives and Records Administration
2. MAJOR SUBDIVISION
   - Office of Records Administration
3. MINOR SUBDIVISION
   - Records Appraisal and Disposition Division
4. NAME OF PERSON WITH WHOM TO CONFER
   - Rosalye Settles
5. TELEPHONE
   - 301-713-7110

6. AGENCY CERTIFICATION
   I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached [number] page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

   - is not required;  
   - is attached; or  
   - has been requested.

7. ITEM NO.

8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION

   Revision of General Records Schedule (GRS) 1, Federal Employees Pay Comparability Act (FEPCA)

   See Attached
Records relating to initiatives under the Federal Employees Pay Comparability Act (FEPCA)

Records consisting of written narratives and computerized transaction registers documenting the use of retention, relocation and recruitment bonuses, allowances and supervisory differentials under FEPCA. Also included are case files consisting of requests for and approvals of recruitment and relocation bonuses and retention allowances.

Disposition: Temporary. Destroy 3 years following the date of approval or upon completion of the relevant service agreement or allowance, whichever is later.
§ 575.108

ernment employee under 5 U.S.C. 5514 and subpart K of part 550 of this chapter.

(d) Paragraph (a) of this section does not apply when an employee fails to complete a period of employment established under a service agreement because the employee is involuntarily separated.

(e) A right of recovery of an employee's debt under 5 U.S.C. 5514 may be waived in whole or in part by the head of the agency if he or she determines that recovery would be against equity and good conscience or against the public interest.

§ 575.108 Internal evaluation.

(a) Each agency shall evaluate the use of recruitment bonuses to ensure that its recruitment bonus plan conforms to the requirements established under this subpart and that the payment of recruitment bonuses conforms to the criteria established under this subpart.

(b) Before January 1st of each year, each agency shall prepare a written report on its use of recruitment bonuses during the previous fiscal year. Each report shall include the number of employees to whom a recruitment bonus was offered during the fiscal year, the percentage of salary offered, the number of employees who accepted the offer of a recruitment bonus during the fiscal year, and an evaluation of the overall effect of the payment of recruitment bonuses on the ability of the agency to fill positions with high quality candidates. Each agency shall make its annual report available for review upon request by OPM.


§ 575.109 Records and reports.

(a) Each agency shall keep a record of each determination required by §575.104(c) of this part and make such records available for review upon request by OPM. Each agency shall promptly submit a report of each such determination as a part of its regular submission to OPM's Central Personnel Data File.

(b) So that OPM can evaluate agencies' use of this authority and provide the Congress and others with information regarding the use of recruitment bonuses, each agency shall maintain such other records and submit to OPM such other reports and data as OPM shall require.

Subpart B—Relocation Bonuses

§ 575.201 Purpose.

This subpart provides regulations to implement 5 U.S.C. 5753, which authorizes payment of a relocation bonus of up to 25 percent of basic pay to an employee who must relocate to accept a position in a different commuting area, provided there is a determination that, in the absence of such a bonus, difficulty would be encountered in filling the position with a high quality candidate.

§ 575.202 Delegation of authority.

(a) Except as provided in paragraph (b) of this section, the head of an agency (or, with respect to positions not under the General Schedule, the head of an Executive agency) may pay a relocation bonus to an employee appointed to—

(1) A General Schedule position paid under 5 U.S.C. 5332;

(2) A senior-level or scientific or professional position paid under 5 U.S.C 5316;

(3) A Senior Executive Service position paid under 5 U.S.C 5376;

(4) A position as a law enforcement officer, as defined in §550.103 of this chapter.

(5) A position under the Executive Schedule established under subchapter II of chapter 53 of title 5, United States Code, or a position the rate of pay for which is fixed by law at a rate equal to a rate for the Executive Schedule; or

(6) An executive branch position filled by Presidential appointment (with or without the advice and consent of the Senate).

(b) The delegation of authority under paragraph (a) of this section shall not apply to the payment of a relocation bonus to—

(1) The head of an agency, including an agency headed by a collegial body composed of two or more individual members; or
§ 575.209

and an evaluation of the overall effect of the payment of relocation bonuses on the ability of the agency to fill positions with high quality candidates. Each agency shall make its annual report available for review upon request by OPM.


§ 575.209 Records and reports.

(a) Each agency shall keep a record of each determination required by § 575.204(c) of this part and make such records available for review upon request by OPM. Each agency shall promptly submit a report of each such determination as a part of its regular submission to OPM’s Central Personnel Data File.

(b) So that OPM can evaluate agencies’ use of this authority and provide the Congress and others with information regarding the use of relocation bonuses, each agency shall maintain such other records and submit to OPM such other reports and data as OPM shall require.

Subpart C—Retention Allowances

§ 575.301 Purpose.

This subpart provides regulations to implement 5 U.S.C. 5754, which authorizes payment of a retention allowance of up to 25 percent of basic pay to a current employee if the unusually high or unique qualifications of the employee or a special need of the agency for the employee’s services makes it essential to retain the employee, and the agency determines that the employee would be likely to leave in the absence of a retention allowance.

§ 575.302 Delegation of authority.

(a) Except as provided in paragraph (b) of this section, the head of an agency (or, with respect to positions not under the General Schedule, the head of an Executive agency) may pay a retention allowance to an employee who holds—

(1) A General Schedule position paid under 5 U.S.C. 5332;

(2) A senior-level or scientific or professional position paid under 5 U.S.C. 5376;

(3) A Senior Executive Service position paid under 5 U.S.C. 5383;

(4) A position as a law enforcement officer, as defined in §531.301 of this chapter;

(5) A position under the Executive Schedule established under subchapter II of chapter 53 of title 5, United States Code, or a position the rate of pay for which is fixed by law at a rate equal to a rate for the Executive Schedule; or

(6) An executive branch position filled by Presidential appointment (with or without the advice and consent of the Senate).

(b) The delegation of authority under paragraph (a) of this section shall not apply to the payment of a retention allowance to the head of an agency, including an agency headed by a collegial body composed of two or more individual members.

(c) The head of an Executive agency may request that OPM authorize the payment of a retention allowance to an employee of his or her agency not otherwise covered by 5 U.S.C. 5754 or this subpart.

(d) When OPM finds that an agency is not paying retention allowances in conformance with the agency’s retention allowance plan and the criteria established under §575.305 of this part or otherwise determines that the agency is not using this authority selectively and judiciously, it may—

(1) Direct the agency to revoke or suspend the authority granted to any organizational component of the agency and with respect to any category or categories of employees and require that prior approval be secured at headquarters level before paying a retention allowance to such employees; or

(2) Revoke or suspend the authority granted to the head of the agency by paragraph (a) of this section for all or any part of the agency and with respect to any category or categories of employees and require that prior OPM approval be secured before paying a retention allowance to such employees.


§ 575.303 Definitions.

In this subpart: Agency has the meaning given that term in 5 U.S.C. 5102.
of employees who accepted the offer of a retention allowance, and an evalua-

tion of the overall effect of the pay-

ment of retention allowances on the

ability of the agency to retain high

quality employees. Each agency shall

make its annual report available for

review upon request by OPM.

[56 FR 12838, Mar. 28, 1991, as amended at 57

FR 37399, Aug. 19, 1992]

§ 575.309 Records and reports.

(a) Each agency shall keep a record

of each determination required by

§ 575.305(c) of this part and make such

records available for review upon re-

quest by OPM. Each agency shall

promptly submit a report of each such
determination as a part of its regular

submission to OPM's Central Personnel

Data File.

(b) So that OPM can evaluate agen-
cies' use of this authority and provide

the Congress and others with informa-
tion regarding the use of retention al-

lowances, each agency shall maintain

such other records and submit to OPM

such other reports and data as OPM

shall require.

Subpart D—Supervisory

Differentials

SOURCE: 56 FR 20338, May 3, 1991, unless

otherwise noted.

§ 575.401 Purpose.

This subpart provides regulations to

implement 5 U.S.C. 5755, which author-

izes payment of a supervisory differen-
tial to an employee under the General

Schedule who has supervisory respon-
sibility for one or more civilian em-

ployees not under the General Schedule

if one or more of the subordinate civil-

ian employees would, in the absence of

such a differential, be paid more than

the supervisory employee.

§ 575.402 Delegation of authority.

(a) The head of an agency may pay a

supervisory differential to a supervisor

who is—

(1) In a General Schedule position
paid under 5 U.S.C. 5332; and

(2) Responsible for providing direct,
technical supervision over the work of

one or more civilian employees whose

positions are not under the General

Schedule if the continuing pay (as de-
termined under § 575.405(d) of this part)
of one or more of the subordinates
would, in the absence of such a differen-
tial, be more than the continuing pay (as determined under § 575.405(c) of
this part) of the supervisor.

(b) A supervisory differential may

not be paid on the basis of supervising

a civilian employee whose rate of basic

pay exceeds the maximum rate of basic

pay established for grade GS-15 on the

pay schedule applicable to the GS su-

pervisor, including a schedule for any

applicable locality-based comparability

payment under 5 U.S.C. 5304; an in-
terim geographic adjustment or special

law enforcement adjusted rate or pay

under section 302 or 404 of the Federal

Employees Pay Comparability Act of

1990 (Pub. L. 101-509), respectively; or a

schedule for any applicable special rate


[56 FR 20338, May 3, 1991, as amended at 57


§ 575.403 Definitions

In this subpart:

Agency has the meaning given that

Continuing pay means the aggregate

of all continuing payments and annual

premium pay received by an employee

at any one time.

Continuing payment means basic pay

and any other form of pay that is paid

in the same manner and at the same

time as basic pay—i.e., for periods dur-
ing which an employee receives basic

cpay.
Employee has the meaning given that

Head of agency means the head of an

agency or an official who has been del-
egated the authority to act for the

head of the agency in the matter con-
cerned.

Rate of basic pay means the rate of

pay fixed by law or administrative ac-
tion for the position held by an em-
ployee, before deductions and exclusive
of additional pay of any kind, such as
locality-based comparability payments
under 5 U.S.C. 5304; or interim geo-

graphic adjustments or special pay ad-
justments for law enforcement officers

under section 302 or 404 of the Federal
SUBCHAPTER IV—MISCELLANEOUS PROVISIONS

§ 5751. Travel expenses of witnesses

(a) Under such regulations as the Attorney General may prescribe, an employee as defined by section 2105 of this title (except an individual whose pay is disbursed by the Secretary of the Senate or the Clerk of the House of Representatives) summoned, or assigned by his agency, to testify or produce official records on behalf of the United States is entitled to travel expenses under subchapter I of this chapter. If the case involves the activity in connection with which he is employed, the travel expenses are paid from the appropriation otherwise available for travel expenses of the employee under proper certification by a certifying official of the agency concerned. If the case does not involve its activity, the employing agency may advance or pay the travel expenses of the employee, and later obtain reimbursement from the agency properly chargeable with the travel expenses.

(b) An employee as defined by section 2105 of this title (except an individual whose pay is disbursed by the Secretary of the Senate or the Clerk of the House of Representatives) summoned, or assigned by his agency, to testify in his official capacity or produce official records, on behalf of a party other than the United States, is entitled to travel expenses under subchapter I of this chapter, except to the extent that travel expenses are paid to the employee for his appearance by the court, authority, or party which caused him to be summoned.

§ 5752. Travel expenses of Senior Executive Service candidates

Employing agencies may pay candidates for Senior Executive Service positions travel expenses incurred incident to preemployment interviews requested by the employing agency.

§ 5753. Recruitment and relocation bonuses

(a) The Office of Personnel Management may authorize the head of an agency to pay a bonus to an employee who is newly appointed to a position under the General Schedule, or to an employee under the General Schedule or under any other pay authority in the executive, legislative, or judicial branch who must relocate to accept a position under the General Schedule, if the Office determines that the agency would be likely, in the absence of such a bonus, to encounter difficulty in filling the position.

(b)(1)(A) The amount of a bonus under this section shall be determined by regulations of the Office, but may not exceed 25 percent of the annual rate of basic pay of the position to which the employee is being appointed or relocated.

(B) For purposes of computing a percentage of a rate of basic pay under subparagraph (A), the rate of basic pay used shall be deter-
Sec. 5754

...minded without taking into account any comparability payment under section 5304.

(2) Payment of a bonus under this section shall be contingent upon the employee entering into an agreement with the agency to complete a period of employment with the agency, with the required period determined pursuant to regulations of the Office. If the employee voluntarily fails to complete such period of service or is separated from the service before completion of such period of service for cause on charges of misconduct or delinquency, the employee shall repay the bonus on a pro rata basis.

(3) A bonus under this section shall be paid as a lump sum, and may not be considered to be part of the basic pay of an employee.

(4) Under regulations of the Office, a recruitment bonus may be paid to a newly-hired employee before the employee enters on duty.

(c) For the purposes of this section—

(1) the terms “agency” and “employee” have the meanings given them by section 5102; and

(2) any reference to “a position under the General Schedule” or “an employee under the General Schedule” shall be considered to be a reference to any position or employee to which subchapter III of chapter 53 applies.

(d) The Office shall prescribe such regulations as it considers necessary for the administration of subsections (a) through (c).

(e) At the request of the head of an Executive agency, the President may authorize the application of the preceding provisions of this section with respect to 1 or more categories of employees within such agency who would not otherwise be covered by this section (including authority under subsection (d) to prescribe any necessary regulations).

§ 5754. Retention allowances

(a) The Office of Personnel Management may authorize the head of an agency to pay an allowance to an employee under the General Schedule if—

(1) the unusually high or unique qualifications of the employee or a special need of the agency for the employee's services makes it essential to retain the employee; and

(2) the agency determines that the employee would be likely to leave in the absence of a retention allowance.

(b)(1) A retention allowance, which shall be stated as a percentage of the rate of basic pay (excluding any comparability payments under section 5304) of the employee, may not exceed 25 percent of such rate of basic pay.

(2) A retention allowance may not be considered to be part of the basic pay of an employee, and the reduction or elimination of a retention allowance may not be appealed. The preceding sentence shall not be construed to extinguish or lessen any right or remedy under subchapter II of chapter 12 or under any of the laws referred to in section 2302(d).

(3) A retention allowance shall be paid at the same time and in the same manner as the employee's basic pay is paid.

(c) For the purpose of this section—
Sec. 5755

§ 5755. Supervisory differentials

(a)(1) The Office of Personnel Management may authorize the head of an agency to pay a differential to an employee under the General Schedule who has supervisory responsibility for 1 or more employees not under the General Schedule, if 1 or more of the subordinate employees would, in the absence of such a differential, be paid more than the supervisory employee.

(2) For the purposes of comparing the pay of a supervisory employee under the General Schedule with the pay of a subordinate employee not under the General Schedule, comparability payments under section 5304, differentials, and allowances that are not a part of basic pay may be taken into consideration, as provided by regulations of the Office.

(b)(1) A supervisory differential, which shall be stated as a percentage of the supervisory employee's rate of basic pay (excluding any comparability payments under section 5304) or as a dollar amount, may not cause the supervisory employee's pay to exceed the pay of the highest paid subordinate employee by more than 3 percent.

(2) A supervisory differential may not be considered to be part of the basic pay of an employee, and the reduction or elimination of a supervisory differential may not be appealed. The preceding sentence shall not be construed to extinguish or lessen any right or remedy under subchapter II of chapter 12 or under any of the laws referred to in section 2302(d).

(3) A supervisory differential shall be paid in the same manner and at the same time as the employee's basic pay is paid.

(c) For the purpose of this section—

(1) the terms 'agency' and 'employee' have the meanings given them by section 5102; and

(2) any reference to 'an employee under the General Schedule' shall be considered to be a reference to any employee holding a position to which subchapter III of chapter 53 applies.

(d) The Office shall prescribe such regulations as it considers necessary for the administration of this section.