

REQUEST FOR RECORDS DISPOSITION AUTHORITY		NI-047-07-1	
To: NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		Date received 11/27/06	
1 FROM Social Security Administration		NOTIFICATION TO AGENCY	
MAJOR SUBDIVISION Office of Disability and Income Security Programs (ODISP)		In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
MINOR SUBDIVISION			
4. NAME OF PERSON WITH WHOM TO CONFER Regina Martin, SSA Records Officer	TELEPHONE NUMBER 410-965-5555 410-966-1704 (fax)	DATE 11/20/02	ARCHIVIST OF THE UNITED STATES <i>[Signature]</i>
<p>AGENCY CERTIFICATION</p> <p>I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>4</u> page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,</p> <p><input checked="" type="checkbox"/> is not required      <input type="checkbox"/> is attached      <input type="checkbox"/> has been requested</p>			
DATE 11/17/06	SIGNATURE OF AGENCY REPRESENTATIVE <i>Regina S. Martin</i>		TITLE Records Officer
7. ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	SEE ATTACHED SHEETS  <b>Medicare Claim File</b>  <b>Office of Origin:</b> Office of Disability and Income Security Programs, (ODISP) Social Security Administration 6401 Security Boulevard Baltimore, MD 21235-6401  <b>Scheduling Authority:</b> Social Security Administration Deputy Commissioner for Finance, Assessment & Management Center for History, Library & Records Management Regina Martin, SSA Records Officer Room 1500 Annex Building 6401 Security Boulevard Baltimore, MD 21235-6401 410-965-5555		

The schedule provides authoritative instructions for the retention and destruction of material related to Title XVIII of the Social Security Act regardless of media of the materials. A Medicare claim file record refers to “Medicare only claims” (no Social Security benefit entitlement), or “Medicare Part D claims” processed by SSA. It grants authority for the disposition of existing and future claim file records.

**ITEM 1  
INPUTS**

*Temporary*

**Delete/destroy** after recordkeeping copy has been placed in the claim file and verified.

N1-47-05-2

**CLAIM FILE MATERIAL**

**ITEM 2**

Medicare Only Claims for Hospital Insurance (Part A) and/or Medical Insurance (Part B)  
Entitlement based on disability – person attains age of 65 years or the medical reexamination date is scheduled to occur after attainment of full retirement age.

*Temporary*

**Delete/destroy** the claim file record 7 years after the date of latest allowance award of Part A contained in the record if the criteria noted above are met.

*[NOTE: The claim file record for a person placed in suspense will not be destroyed until the suspense is lifted. Delete/destroy the claim file record 7 years after the date the suspense is lifted if the rules above apply.]*

N1-47-05-2

**ITEM 3**

Medicare Only Claims for Hospital Insurance (Part A) and/or Medical Insurance (Part B)  
Entitlement based on age

*Temporary*

**Destroy/delete** the claim file 6 years after the date of the latest allowance award contained in the record. *[NOTE: The claim file record for person placed in suspense will not be destroyed until the suspense is lifted*

*Delete/destroy the claim file record 6 years after the date the suspense is lifted if the rules above apply.]*

N1-47-05-2

**ITEM 4**

Medicare Only Claims for Hospital Insurance (Part A) and/or Medical Insurance (Part B)  
Entitlement based on End-Stage Renal Disease (ESRD)

*Temporary*

**Destroy/delete** the claim file 6 years after the date of the latest allowance contained on the record.

N1-47-05-2

**ITEM 5**

Medicare Only Claims for Hospital Insurance (Part A) and/or Medical Insurance (Part B)  
Entitlement denied or terminated for medical reasons

*Temporary*

**Delete/destroy** the claim file record 5 years after the Agency’s final denial or termination decision.

N1-47-05-2

**ITEM 6**

Medicare Only Claims for Hospital Insurance (Part A) and/or Medical Insurance (Part B)  
Entitlement denied or terminated for non-medical reasons

*Temporary*

**Delete/destroy** the claim file record 2 years after the Agency's final denial or termination decision.

N1-47-05-2

**ITEM 7**

Medicare Only Claims for Hospital Insurance (Part A) and/or Medical Insurance (Part B)  
Entitlement denied or terminated for ESRD

*Temporary*

**Delete/destroy** the claim file record 2 years after termination of ESRC coverage.

N1-47-05-2

**ITEM 8**

Medicare Prescription Drug (Part D) Subsidy  
Entitled for assistance

*Temporary*

**Delete/destroy** 6 years after the date of the final determination (initial, appeal or redetermination, as appropriate).

N1-47-05-2

**ITEM 9**

Medicare Prescription Drug (Part D) Subsidy  
Entitlement for assistance denied (terminated or claim cancelled)

*Temporary*

**Delete/destroy** 2 years after the Agency's final denial decision (claim denied), after the claim is cancelled (cancelled claim), or after the Agency's final termination decision (terminated claim).

N1-47-05-2

**ITEM 10**

**GENERAL**

*Temporary*

**Delete/destroy** all post entitlement claim file records 6 years after the action is processed to completion.

**OUTPUTS**

~~Data Feeds to other SSA System(s)~~

~~According to terms of established agreements of parties sharing data, verify feed and follow disposition instructions for related system(s).~~

**ITEM 11**

Ad Hoc Reports

*Temporary*

**Delete/destroy** when no longer needed to conduct business.

N1-47-05-2

Correspondence, Notices and Receipts

File with or link to individual claim file, follow disposition instructions of related claim file record.

## **ITEM 12**

### **SYSTEMS DOCUMENTATION**

Those documents that provide a narrative description of the electronic system; physical and technical characteristics of the records, including a record layout that describes each field including its name, size, starting or relative position; and a description of the form of the data (such as alphabetic, zoned decimal, packed decimal, or numeric); or a data dictionary or the equivalent information associated with a database management system, including a description of the relationship between data elements in databases; and any other technical information needed to read or process the records. Systems documentation may include such items as manuals and software update documentation.

#### ***Temporary***

Cut off when the system is superseded and/or replaced - **Delete/destroy** 2 years after cutoff or when no longer needed for reference, whichever is later

*GRS 20, Item 11a*

## **F. ELECTRONIC MAIL AND WORD PROCESSING SYSTEM COPIES**

Electronic copies of records that are created on electronic mail and word processing systems and used solely to generate a recordkeeping copy of the records covered by other items in this schedule. Also includes electronic copies of records created on electronic mail and word processing systems that are maintained for updating, revision, or dissemination.

## **ITEM 13**

Copies that have no further administrative value after the recordkeeping copy is made. Includes copies maintained by individuals in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives that are used only to produce the recordkeeping copy.

#### ***Temporary***

**Delete/destroy** within 180 days after the recordkeeping copy has been produced.

## **ITEM 14**

Copies used for dissemination, revision, or updating that are maintained in addition to the recordkeeping copy.

#### ***Temporary***

**Delete/destroy** when dissemination, revision, or updating is completed.

*GRS 20, Item 3*

Agency Concurrence:

I have reviewed the records and their respective proposed dispositions. I am of the opinion that the records will be maintained for a period of time sufficient to protect the legal and financial rights of the government and of the people directly affected by the Agency's activities

Thomas W. Crowley  
SSA General Counsel  
~~ACTING~~

9/6/2006  
Date

[Signature]  
Representative for ODISP

9/2/06  
Date

[Signature]  
Representative for Operations

9/8/06  
Date

[Signature]  
Representative for Systems

8/23/06  
Date

[Signature]  
SSA Records Officer  
OPLM, CHLRM

11/17/06  
Date