

Request for Records Disposition Authority

Records Schedule Number DAA-0082-2018-0001
 Schedule Status Approved

 Agency or Establishment Federal Reserve System
 Record Group / Scheduling Group Records of the Federal Reserve System
 Records Schedule applies to Major Subdivision
 Major Subdivision Supervision and Regulation
 Schedule Subject Cyber Event Repository
 Internal agency concurrences will be provided No

Background Information

The Interagency Guidance on Response Programs for Unauthorized Access to Customer Information and Customer Notice (Response Guidance), published March 29, 2005, describes response programs, including customer notification procedures, that a financial institution should develop and implement to address unauthorized access to or use of customer information that could result in substantial harm or inconvenience to a customer. The Response Guidance directs a financial institution to include as part of its response program procedures to notify its primary federal regulator as soon as possible when the institution becomes aware of an incident involving unauthorized access to or use of certain sensitive customer information. The Board's SR 05-23 / CA 05-10 Letter establishes the Federal Reserve's expectations for Federal Reserve-supervised financial institutions and supervisory personnel with respect to the Response Guidance. The letter directs financial institutions supervised by the Federal Reserve to promptly notify their Reserve Bank supervisory central point of contact regarding security breaches involving sensitive customer information.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0082-2018-0001

Sequence Number	
1	Cyber Event Repository Disposition Authority Number: DAA-0082-2018-0001-0001

Records Schedule Items

Sequence Number	
1	<p data-bbox="363 421 688 449">Cyber Event Repository</p> <p data-bbox="363 470 1138 497">Disposition Authority Number DAA-0082-2018-0001-0001</p> <p data-bbox="363 523 1485 704">Reserve Bank staff create an entry in the Cyber Event Repository for each unique incident notification provided by a financial institution. The system is designed with a number of data requirements for each incident entry. These include, but are not limited to, financial institution name and contact information, event date, and event description.</p> <p data-bbox="363 725 915 753">Final Disposition Temporary</p> <p data-bbox="363 774 850 802">Item Status Active</p> <p data-bbox="363 823 818 851">Is this item media neutral? Yes</p> <p data-bbox="363 872 818 1002">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p data-bbox="363 1023 818 1108">Do any of the records covered by this item exist as structured electronic data? Yes</p> <p data-bbox="363 1144 667 1172">Disposition Instruction</p> <p data-bbox="363 1204 1435 1310">Retention Period Retain each incident entry 15 years after incident closure, then destroy when no longer needed for administrative or reference purposes.</p> <p data-bbox="363 1347 662 1374">Additional Information</p> <p data-bbox="363 1406 948 1434">GAO Approval Not Required</p>

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
05/08/2018	Certify	Stephen Cooper	Records Program Manager	NA - NA
08/14/2018	Submit for Concurrence	Andreea Vlaicu	Archives Specialist	National Archives and Records Administration - Records Management Operations Appraisal Team 1
08/21/2018	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
08/21/2018	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
08/22/2018	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist