

REQUEST FOR RECORDS DISPOSITION AUTHORITY			N1-82-00-01		
To: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408			DATE RECEIVED <i>7-14-2000</i>		
1. FROM (Agency or establishment) Board of Governors of the Federal Reserve System			NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.		
2. MAJOR SUBDIVISION Office of the Secretary					
3. MINOR SUBDIVISION					
4. NAME OF PERSON WITH WHOM TO CONFER Jeanne Young		5. TELEPHONE 202-452-2033	DATE 4-25-01	ARCHIVIST OF THE UNITED STATES <i>John W. Carl</i>	
6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached ___ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO manual for Guidance of Federal Agencies, X is not required; <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested.					
DATE <i>July 6, 2000</i>		SIGNATURE OF AGENCY REPRESENTATIVE <i>Jeanne Young</i>		TITLE Secretary of the Board	
7. Item No.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION		9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)	

This schedule covers Inspector General records, internal and external audits of Board activities [audits of Reserve Bank functions are scheduled with other supervisory records], and Board administrative litigation files. The dispositions cover records in all media.

- Inspector General Investigative Case Files**
Case files are developed by the Board's Office of Inspector General during individual investigations of officers or employees of the Board or other persons involved in the Board's programs or operations who are or have been under investigation in order to determine whether such officers, employees, or other persons have been or are engaging in fraud and abuse with respect to the Board's programs or operations. Each file includes investigative reports and related documents generated during the course of or subsequent to the investigation. Each file incorporates information in electronic and hard copy case tracking systems, databases containing investigatory information, "hotline" telephone logs, and investigator work papers and memoranda and letter referrals to management and others.

SH Sent copies NWMD, NWMMW, NR

~~These files do not include investigations related to enforcement activities of the Board over supervised financial institutions; these enforcement files are scheduled with other supervisory records.~~

Superseded by:

~~DAA-0082-2015-0001 and 0002 and 0003~~

~~DATE (MM/DD/YYYY): DISPOSITION: Temporary.~~

~~Cut off files annually. Destroy 10 years after cutoff.~~

~~12/15/2016~~

2. Files not related to specific investigations.

Files containing anonymous or vague allegations not warranting an investigation and support files providing general information that may prove useful in Inspector General investigations.

Superseded by:

~~DAA-0082-2015-0001-0003~~

~~DATE (MM/DD/YYYY): DISPOSITION: Temporary.~~

~~Cut off files annually. Destroy 5 years after cutoff.~~

~~12/15/2016~~

3. Audit Workpaper Files.

Case files of audits of Board programs, operations, and procedures, and audits of contractors, containing audit reports, correspondence, memoranda, and supporting working papers.

Superseded by:

~~DAA-0082-2015-0001-0009~~

~~DATE (MM/DD/YYYY): DISPOSITION: Temporary.~~

~~Cut off files annually. Destroy 8 years after cutoff.~~

~~12/15/2016~~

4. Litigation Case Files (Administrative)

Case files related to Board internal administrative matters, containing correspondence, internal memoranda, reports, affidavits, depositions, attorney notes, exhibits, transcripts, briefs, motions, and administrative and court opinions.

DISPOSITION: Temporary.

Cut off files annually. Destroy 20 years after cutoff.

- 5. Electronic copies** of records that are created on electronic mail and word processing systems and used solely to generate a recordkeeping copy of the records covered by the other items in this schedule. These files may be maintained by individuals in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives. This item also covers electronic copies of records created on electronic mail and word processing systems that are maintained to update, revise, or disseminate records.

DISPOSITION: Temporary.

Destroy or delete after the recordkeeping copy has been produced or after dissemination, revision, or updating is completed.