

# Request for Records Disposition Authority

(See Instructions on reverse)

To: **National Archives and Records Administration (NIR)**  
**Washington, DC 20408**

1 From (Agency or establishment)

**Federal Trade Commission**

2 Major Subdivision

3 Minor Subdivision

4 Name of Person with whom to confer

**Bonnie Curtin**

5. Telephone (include area code)

**202-326-2507**

**-Leave Blank (NARA Use Only)**

Job Number

**N1-122-09-1**

Date Received

**9/30/09**

### Notification to Agency

In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10

Date

Archivist of the United States

*[Signature]*

### 6 Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached \_\_\_\_\_ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies:

is not required       is attached       has been requested

Signature of Agency Representative

*[Signature]*

Title

**Records Officer**

Date (mm/dd/yyyy)

**09/18/09**

Item Number

8 Description of Item and Proposed Disposition

9. GRS or Superseded Job Citation

10. Action taken (NARA Use Only)

**Please see attached.**

## **Schedule 1: FTC Administrative Records**

The Administrative Schedule covers common administrative program management functions carried out across the agency that support FTC's mission and routine operations, but do not directly document the performance of mission functions. Administrative records relate to functions such as: budget and finance, communications, records and information technology and services, payroll and benefits, personnel, procurement and supply, public and congressional relations, security and protective services, and travel. This records schedule covers administrative support records appropriate for preservation that are represented and not represented by the General Records Schedules (GRS) and covers such records in all formats.

As these records are created and maintained in different media and formats, this records schedule is written to authorize the disposition of the records in any media (media neutral). FTC selects media for the copy of records and maintains temporary records throughout their life cycle.

### **Item 1.1 Administrative Support**

Records represented and not represented by the GRS, with exclusions and exceptions noted. These records include:

- Accounting and Finance
- Administrative—General
- Building Management—General (excluding building construction and renovation records identified in item 3.4a, such as architectural renderings, as-built drawings, and photographs)
- Equal Employment Opportunity
- Freedom of Information/Public Access
- Human Capital Management (excluding Official Personnel Folders – see item 1.7)
- Information Assets/Records
- Information Services/Libraries
- Information Systems/Technology
- Mail and Couriers
- Pay and Benefits
- Personnel (excluding Official Personnel Files, see item 1.7)
- Procurement
- Property Management
- Public Affairs—General (excluding information identified in item 3.4a, such as news releases, Chairman's and commissioners' speeches, formal still photographs and other significant public affairs issuances that document FTCs policy and mission)
- Publication, Graphic & Video Services—General (excluding information identified in item 3.4a, such as audiovisual productions, pamphlets, posters, and other publications produced by or for the Commission that document or illustrate FTC policy and mission)
- Special Investigations—General (Excluding 10-year, 20-year, and permanent information identified under Schedule 2 or 3)
- Telecommunications

- Training
- Travel
- Vehicle Management

**1.1a** Records for which both General Counsel and Chief Financial Officer provide concurrence that records are no longer needed for legal or audit purposes.

Disposition: **TEMPORARY**. Cut off annually. Destroy or delete 3 years after cutoff, with concurrence of both General Counsel and Financial Affairs.

**1.1b** Records for which concurrence of General Counsel and Chief Financial Officer for destruction after 3 years is not obtained.

Disposition: **TEMPORARY**. Cut off annually. Destroy or delete 7 years after cutoff.

## **Item 1.2 Budget Administration**

Records relating to the FTC budget, including policies and decisions affecting agency expenditures. The records comprise correspondence, memorandums, reports, budget projects and planning documents, subject files, changes (including reprogramming and supplemental budgets), status reports on appropriation accounts or apportionment, and other materials. (Excludes FTC budget submission and testimony, permanent under item 3.4a.)

Disposition: **TEMPORARY**. Cut off annually. Destroy or delete between 7 and 20 years after cutoff.

## **Item 1.3 Safety**

General subject files maintained in the Security and Safety unit(s) or in component units that contain information concerning the general policies, procedures, program management, and operation of the unit or of specific programs and those records that document routine facility and equipment safety inspections, investigations that involve health and safety, personal injury, property damage, potential safety hazards, and complaints from FTC personnel that do not result in a formal investigation and reports. Files include all functions: safety, personnel security, physical security, fire protection and prevention, computer and information security, emergency preparedness, and safety and security training. These records include, but are not limited to, correspondence, memoranda, briefing files, periodic reports, problem reports and inspection surveys, property and accident investigation case files and FTC personnel's complaints about potential safety hazards, special studies, interagency agreements, and liaison information, and program policies and guidance.

Disposition: **TEMPORARY**. Cut off annually. Destroy or delete 15 years after cutoff.

## **Item 1.4 Health Safety**

Records pertaining to indoor air quality monitoring and oversight of activities relating to asbestos and hazardous materials, including abatement and demolitions, as well as documents pertaining to personnel protection, fitness testing, and certifications on wearing protective equipment. This category also includes fire, safety, health, and industrial hygiene contract files. These records include, but are not limited to, monitoring and oversight records, investigation records, hazardous waste manifests, medical exams, and medical records.

Disposition: **TEMPORARY**. Cut off on final action. Destroy or delete after 75 years after cutoff.

#### **Item 1.5 Security**

Security debriefing statements (or equivalent) for employees, consultants, contractors, and non-FTC employees issued FTC national security clearances at a secret or higher level.

Disposition: **TEMPORARY**. Cut off on separation of employee or termination of contract. Destroy or delete 6 years after cutoff.

#### **Item 1.6 Personnel Appeals Board**

Docketed case files consisting of original complaints or appeal records. Records may include correspondence, exhibits, notes or minutes of meetings, statements of witnesses, reports of interviews, investigation reports, hearing examiner findings, recommendations, and Board decisions.

Disposition: **TEMPORARY**. Cut off on final action. Destroy or delete 30 years after cutoff.

#### **Item 1.7 ~~Official Personnel Folders~~**

Disposition: **TEMPORARY**. Transfer with the employee to next duty station if staff member remains within Federal service. Transfer to the St. Louis Records Center if staff member retires or otherwise leaves Federal service. (GRS 1, item 1, ~~Official Personnel Folders~~, and GRS 1, item 2, Service Record Cards).

## Schedule 2: FTC Mission Records

The Federal Trade Commission (FTC) is an independent agency that enforces a variety of federal antitrust and consumer protection laws. The Commission seeks to ensure that the Nation's markets function competitively and are vigorous, efficient, and free of undue restrictions. The Commission also works to enhance the smooth operation of the marketplace by eliminating acts or practices that are unfair or deceptive. Finally, the Commission undertakes economic analysis to support its law enforcement efforts and to contribute to the policy deliberations of the Congress, the Executive Branch, other independent agencies, and state and local governments when requested. FTC policies and procedures define the records that make up the Mission Schedule. They include records appropriate for preservation, usually classified by a matter code, for inquiries, investigations, adjudicative and non-adjudicative procedures, compulsory processes, advocacy filings, amicus briefs, cooperative agreements, rulemaking, consumer and business education, and communications with Congress, as well as tools and systems to support and track mission processes and products.

As these records are created and maintained in different media and formats, this records schedule is written to authorize the disposition of the records in any media (media neutral) except where specifically noted. FTC selects media for the copy of records and maintains temporary records throughout their life cycle.

### Item 2.1 Mission Support

Documentation and systems that support processes and products for consumer and business education, advocacy, and enforcement. Records include all relevant information that is appropriate for preservation to develop, maintain, conduct, and provide support in the form of studies, workshops, analytical systems, tracking and control tools, and other products.

- 2.1a** Records in electronic systems that serve as finding aids to mission records identified as permanent elsewhere in this schedule, or document significant activities or processes within FTC mission functions, and documentation of those systems.

Disposition: **PERMANENT**. Transfer system content/snapshot and appropriate documentation to NARA every 5 years, and within 1 year after system is decommissioned.

- 2.1b** Records in any and all media that document day-to-day execution and management of FTC mission functions.

Disposition: **TEMPORARY**. Cut off on final action. Destroy or delete 3 years after cutoff, or when no longer needed for reference purposes, whichever is later.

### Item 2.2 Mission Matter Case Management

Matter case file records that include all relevant material obtained or developed in connection with managing and conducting an assignment, including complaints, correspondence, memorandums, requests from Congress, investigation plans, background checks, reports, evidence and documentation to support findings, actions taken, steps and analyses performed, conditions encountered, conclusions reached, recommendations made, follow-up activities, and related records in auxiliary structured data systems. Excludes background and working files as non-record. Records include, but are not limited to:

**2.2a** Historically-significant cases/matters, completed projects, system data sets, and products. In general, this includes all External Court Case (X) files; all Rulemaking (R) files; all Guide (G) files; and Consent (C), Docket (D), Advocacy (V), and Project (P) files exhibiting the following criteria:

- Established a primary source of information on an issue of far-reaching national or international importance;
- Collected information on topics that are less far-reaching but significant to America's heritage or culture;
- Had a significant impact on FTC, pioneered FTC's entry into an issue of national or international importance, or laid the groundwork for applying new and/or advanced mission methodologies;
- Resulted in extensive national or international media attention; or
- Resulted in the approval of new legislation by Congress, or substantive changes to existing legislation.

Disposition: **PERMANENT**. Cut off on final action. Transfer paper records to NARA 15 years after cutoff. Transfer electronic records 5 years after cutoff or sooner when feasible.

**2.2b** All other cases/matters, completed projects, system data sets, and products, excluding final issuance of published decision, report, or similar issuance. In general, this includes all Investigation (7-digit) files; and Consent (C), Docket (D), Advocacy (V), and Project (P) files not exhibiting the criteria specified in 2.2a.

Disposition: **TEMPORARY**. Cut off on final action. Destroy or delete 5 years after cutoff.

**2.2c** Final issuance of published decision, report, or similar issuance of cases/matters described in items 2.2a and 2.2b

Disposition: **PERMANENT**. Cut off on final action. Transfer paper records to NARA 15 years after cutoff. Transfer electronic records 5 years after cutoff or sooner when feasible.

## Schedule 3: Policy and Special Collections Records

Policy records provide documentation of agency policies and policy development, agency origin and organization (including delegations of authority), and policy related decisions and activities of the Chairman, Commissioners, and senior executives. The Policy Schedule covers FTC Minutes of Commission meetings, directives, legislative histories, publications, final products and special collections. Policy issuances include FTC annual reports, testimonies, manuals, organizational charts and directories, etc.

As these records are created and maintained in different media and formats, this records schedule is written to authorize the disposition of the records in any media (media neutral). FTC selects media for the record copy of records and maintains temporary records throughout their life cycle.

### Item 3.1 Legal Opinions; Regulatory and Oversight Records.

Disposition: **TEMPORARY**. Cut off when no longer in effect. Destroy or delete 10 years after cutoff.

### Item 3.2 Congressional Relations

Records documenting interaction with Congress, such as correspondence with members and committees, staff details to Congress, schedules of hearings and testimony, appearances at Congressional Hearings, and records in systems that track significant Congressional contact and activities. (Excludes submissions to Congress and Congressional testimony, permanent under item 3.4a.)

Disposition: **TEMPORARY**. Cut off annually. Destroy or delete 10 years after cutoff

### Item 3.3 Agency Groups, including Committees

~~3.3a Records Created by Advisory Commissions, Committees, Councils, Boards and Other Groups established under the Federal Advisory Committee Act (FACA) and internal rulemaking committees and groups~~

~~Disposition: **PERMANENT**. Transfer to NARA on termination of the Commission or other group. Earlier periodic transfers authorized for commissions and groups operating for 3 years or longer. (GRS 26 item 2)~~

3.3b Records of committees and non-rulemaking work groups, conferences, and task forces or other advisory, governing, or policy bodies related to FTC's mission and also for mission support.

**3.3b1** Meeting minutes, summaries, agendas, and transcripts, reports, studies, and publications; membership records; correspondence, mailing, and distribution records, operational descriptions, statements made to committees, schedules, hearing reports, press releases, legislative calendars, responses to committee requests; and other administrative committee records, but excludes background and working materials as non-record. Categories include internal and intra-agency committees such as those that address Congressional topics; controls for organizational quality involving financial management, technology, and, information; organizing of events; facilities; and overseeing volunteer activities or employee recreational activities.

Disposition: **TEMPORARY**. Cut off annually. Destroy or delete 3 years after cutoff, or when no longer needed for business purposes, whichever is later.

**3.3b2** Publications and high-level correspondence or issuances.

Disposition: **PERMANENT**. Cut off annually. Transfer paper records to NARA 15 years after cutoff. Transfer electronic records 5 years after cutoff or sooner when feasible.

#### **Item 3.4 Policy and Organization Records**

**3.4a** Historically-significant records and special collections documenting the Commission's establishment, regulations, policy and organization including related deliberations, findings, and recommendations such as:

- Original charter, renewal and amended charters, organization charts and directories, functional statements, directives or memorandums to staff concerning their responsibilities, and other materials that document the organization and functions of the Commission and its components
- FTC submissions and testimonies to Congress and other legislative bodies
- Directives and Manuals
- Activity documentation for Chairman such as calendars, appointment books, schedules, logs, diaries, and other records tracking meetings, appointments, telephone calls, trips, visits, and other activities while serving in an official capacity - excluding materials determined to be personal.
- Meeting documentation - such as agendas, briefing books, minutes, testimony, and transcripts of meetings and hearings as well as audiotapes and/or videotapes of meetings and hearings which were not fully transcribed
- Reports and studies - accompanied when applicable by questionnaires, surveys and other raw data accumulated in connection with research and other projects where the information has been consolidated or aggregated in analyses, reports, or studies
- Graphic and audiovisual productions, pamphlets, posters, and other publications produced by or for the Commission; a master set of news releases; Chairman's



and commissioners' speeches; formal still pictures (photographs); and other significant public affairs issuances that document or illustrate FTC's policy and mission

- Historically significant correspondence, subject and other files - maintained by key FTC staff, such as the Chairman, Chief of Staff, and legal counsel, documenting the functions of the FTC
- FTC Histories
- Building Management—historically significant building construction and renovation information, including but not limited to as-built drawings, architectural renderings, and photographic records.
- FTC budget submission and testimony

Disposition: **PERMANENT**. Cut off annually. Transfer paper records to NARA 15 years after cutoff. Transfer electronic records 5 years after cutoff or sooner when feasible.

*Note:* Upon transfer to NARA, retain non-record reference copy if data/documentation is still needed for business purposes.

**3.4b** All other policy and organizational records including those that relate to day-to-day activities and/or do not contain unique information of historical value for policy and organization, such as:

- Correspondence, reference and working files of high level Commission staff and groups, and auxiliary work in subordinate units for policy and promotion of the FTC mission (excluding files covered by Item 3.4a)
- Audiotapes and videotapes of Commission meetings and hearings that have been fully transcribed
- Graphic and audiovisual productions, pamphlets, posters, and other publications that document FTC's administrative functions
- Informal still photographs and images of Commission members and staff, meetings, hearings, and other organizational events
- Other routine records including public requests for information and records relating to logistical aspects of Commission meetings and hearings, etc.

Disposition: **TEMPORARY**. Cut off annually. Destroy or delete 3 years after cutoff, or when no longer needed for business purposes, whichever is later.

### **Item 3.5 Inspector General**

These include the Inspector General Office's internal audit, investigation, inspection, and evaluation records. These records include, but are not limited to, the final audit or evaluation report, related work papers, and rebuttals and other comments on the reports. Also included are investigative case files that resulted in a referral to an FTC program office or another agency and includes significant enforcement actions. Records include, but are not limited to, informal case

files (preliminary inquiries and miscellaneous complaints not warranting a full investigation); requests from Congress, testimony before Congress, correspondence, memorandums, reports, peer review materials, and relevant related records appropriate for preservation, that may include auxiliary structured data systems.

**3.5a** Case and audit files made significant because the case attracts national media attention, results in a Congressional investigation, or results in substantive change in FTC policy or procedures.

**3.5a1** Final reports.

Disposition: **PERMANENT**. Cut off on final action. Transfer paper records to NARA 15 years after cutoff. Transfer electronic records 5 years after cutoff or sooner when feasible.

**3.5a2** All other records, including but not limited to working papers and peer review materials.

Disposition: **TEMPORARY**. Cut off on final action. Destroy or delete no less than 7 years after cutoff, but no longer than 20 years after cut off.

**3.5b** All other investigative and informal case and audit files in their entirety.

Disposition: **TEMPORARY**. Cut off on final action. Destroy or delete no less than 7 years after cutoff, but no longer than 20 years after cut off.

**3.5c** Reports to Congress, Inspector General's testimony before Congress, and OIG operating files.

Disposition: **PERMANENT**. Cut off on final action. Transfer paper records to NARA 15 years after cutoff. Transfer electronic records 5 years after cutoff or sooner when feasible.

**3.5d** Confidential Informant Files. Records identifying the identity of individuals providing the OIG with information and who have requested confidential treatment to the extent practicable under the Inspector General Act of 1978, as amended.

Disposition: **TEMPORARY**. Cut off on final action. Retain on site for 30 years then destroy.

OLD AUTHORITY	NEW N1-122-09-1 AUTHORITY	OLD RETENTION PERIOD	NEW RETENTION PERIOD
NN-167-108 item 1b	Superseded by N1-122-96-4 item 4, q.v.	Permanent	N/A
NN-167-108 item 2b	2.2a	Permanent	Permanent
NC1-122-79-1 item 1.1	1.1a	Superseded or obsolete	3 yrs
NC1-122-79-1 item 1.2	1.1a	1 yr	3 yrs
NC1-122-79-1 item 1.3	Dropped; non-record by new item 2.2	No longer needed	---
NC1-122-79-1 item 1.4	Dropped	No longer needed	---
NC1-122-79-1 item 1.5	Dropped	No longer needed	---
NC1-122-79-1 item 2.1	Dropped	6 months or no longer needed	---
NC1-122-79-1 item 2.2	3.4.a	Permanent	Permanent
NC1-122-79-1 item 4.1A	Dropped	2 yrs	---
NC1-122-79-1 item 4.1B	Dropped	No longer needed	---
NC1-122-79-1 item 4.2A	2.2b	25 yrs	5 yrs
NC1-122-79-1 item 4.2B	2.2a	Permanent	Permanent
NC1-122-79-1 item 5.1	Dropped? Records no longer created?	15 yrs	---
NC1-122-79-1 item 5.2	Dropped? Records no longer created?	15 yrs	---
NC1-122-79-1 item 6.1A1	1.1b	2 yrs	7 yrs
NC1-122-79-1 item 6.1A2a	1.1b	2 yrs	7 yrs
NC1-122-79-1 item 6.1A2b	1.1b	4 yrs / 3 yrs after final adjudication but altered by GRS in 1987 to 6 yrs / 3 yrs after final adjudication	7 yrs
NC1-122-79-1 item 6.1A3a	1.1b	5 yrs but altered by GRS in 1987 to 6 yrs	7 yrs
NC1-122-79-1 item 6.1A3b	1.1b	4 yrs / 3 yrs after final adjudication but altered by GRS in 1987 to 6 yrs / 3 yrs after final adjudication	7 yrs
NC1-122-79-1 item 6.1B	1.1b	With related FOIA request or per records' original authority	Original records remain where they began; copies of those records are kept by FOIA office and destroyed with FOIA request records.
NC1-122-79-1 item 6.2A	1.1b	5 yrs	7 yrs
NC1-122-79-1 item 6.2B	1.1b	5 yrs	7 yrs
NC1-122-79-1 item 6.3	1.1a or 1.1b	2 yrs	3 yrs — or — 7 yrs
NC1-122-79-1 item 6.4A1	1.1b	2 yrs	7 yrs
NC1-122-79-1 item 6.4A2a	1.1b	2 yrs	7 yrs
NC1-122-79-1 item 6.4A2b	1.1b	4 yrs / 3 yrs after final adjudication	7 yrs
NC1-122-79-1 item 6.4A3a	1.1b	5 yrs	7 yrs
NC1-122-79-1 item 6.4A3b	1.1b	4 yrs / 3 yrs after final adjudication	7 yrs

NC1-122-79-1 item 6.4B	1.1b	With related FOIA request or per records' original authority	Original records remain where they began; copies of those records are kept by FOIA office and destroyed with FOIA request records.
NC1-122-79-1 item 6.5A	1.1b	4 yrs or with related records	7 yrs
NC1-122-79-1 item 6.5B	1.1b	4 yrs or 3 yrs after final adjudication or with related records	7 yrs
NC1-122-79-1 item 6.5C	1.1b	3 yrs after final adjudication or with related records	7 yrs
NC1-122-79-1 item 6.6	1.1b	5 yrs after final adjudication or with related records	7 yrs
NC1-122-79-1 item 6.7A	1.1b	5 yrs	7 yrs
NC1-122-79-1 item 6.7B	1.1b	5 yrs	7 yrs
NC1-122-79-1 item 6.8	1.1a or 1.1b	2 yrs	3 yrs — or — 7 yrs
NC1-122-79-1 item 7.1	1.1a or 1.1b	6 months	3 yrs — or — 7 yrs
NC1-122-79-1 item 7.2A	1.1a or 1.1b	1 yr	3 yrs — or — 7 yrs
NC1-122-79-1 item 7.2B	1.1a or 1.1b	1 yr	3 yrs — or — 7 yrs
NC1-122-79-1 item 9.1A	3.4.a	Permanent with related records	Permanent
NC1-122-79-1 item 9.1B	Dropped [non-record]	1 yr	---
NC1-122-79-1 item 9.1C	Dropped [non-record]	No longer needed	---
NC1-122-79-1 item 9.2	3.4.a	Permanent	Permanent
NC1-122-79-1 item 10.1A	2.2b	25 yrs	5 yrs
NC1-122-79-1 item 10.1B	2.2a	Permanent with related records	Permanent
NC1-122-79-1 item 11.1A1	1.1a or 1.1b	When related records are destroyed or no longer needed	3 yrs — or — 7 yrs
NC1-122-79-1 item 11.1A2	Dropped [non-record]	No longer needed	---
NC1-122-79-1 item 11.1A3	Dropped; non-record by new item 2.2	6 months or 3 yrs	---
NC1-122-79-1 item 11.1B	1.1a or 1.1b	6 yrs	3 yrs — or — 7 yrs
NC1-122-79-1 item 11.2A	3.4.a	Permanent	Permanent
NC1-122-79-1 item 11.2B	Dropped	5 yrs	---
NC1-122-79-1 item 11.2C	Dropped; non-record by new item 2.2	Superseded or obsolete	---
NC1-122-79-1 item 11.3A	3.4.a	Permanent	Permanent
NC1-122-79-1 item 11.3B	Dropped	No longer needed	---
NC1-122-79-1 item 11.4A1a	Superseded by N1-122-96-1, q.v.	25 yrs	N/A
NC1-122-79-1 item 11.4A1b	Superseded by N1-122-96-1, q.v.	P+0	N/A
NC1-122-79-1 item 11.4A1c	Superseded by N1-122-96-1, q.v.	5 yrs	N/A
NC1-122-79-1 item 11.4A1d	Superseded by N1-122-96-1, q.v.	5 yrs	N/A
NC1-122-79-1 item 11.4A2	Superseded by N1-122-96-1, q.v.	Incorporate into other files	N/A
NC1-122-79-1 item 11.4B	Superseded by N1-122-96-1, q.v.	5 yrs	N/A
NC1-122-79-1 item 11.5A1	Superseded by N1-122-96-4, q.v.	25 yrs	N/A
NC1-122-79-1 item 11.5A2	Superseded by N1-122-96-4, q.v.	Permanent	N/A

NC1-122-79-1 item 11.5B	Superseded by N1-122-96-4, q.v.	5 yrs	N/A
NC1-122-79-1 item 11.6A1	Superseded by N1-122-96-4, q.v.	25 yrs	N/A
NC1-122-79-1 item 11.6A2	Superseded by N1-122-96-4, q.v.	Permanent	N/A
NC1-122-79-1 item 11.6B	Superseded by N1-122-96-4, q.v.	5 yrs	N/A
NC1-122-79-1 item 11.7A1	Superseded by N1-122-96-3, q.v.	25 yrs	N/A
NC1-122-79-1 item 11.7A2	Superseded by N1-122-96-3, q.v.	Permanent	N/A
NC1-122-79-1 item 11.7B	Superseded by N1-122-96-3, q.v.	5 yrs	N/A
NC1-122-79-1 item 11.8A1	Superseded by N1-122-96-3, q.v.	25 yrs	N/A
NC1-122-79-1 item 11.8A2	Superseded by N1-122-96-3, q.v.	Permanent	N/A
NC1-122-79-1 item 11.8B	Superseded by N1-122-96-3, q.v.	5 yrs	N/A
NC1-122-79-1 item 11.9A1	2.2a	25 yrs	Permanent
NC1-122-79-1 item 11.9A2	2.2a	Permanent	Permanent
NC1-122-79-1 item 11.9B	Dropped?	5 yrs	---
NC1-122-79-1 item 11.10A	2.2b	25 yrs	5 yrs
NC1-122-79-1 item 11.10B	2.2a	Permanent	Permanent
NC1-122-79-1 item 11.11A	2.2b	25 yrs	5 yrs
NC1-122-79-1 item 11.11B	2.2a	Permanent	Permanent
NC1-122-79-1 item 11.12A1	2.2b	25 yrs	5 yrs
NC1-122-79-1 item 11.12A2	2.2a	Permanent	Permanent
NC1-122-79-1 item 11.12B	Dropped?	5 yrs	---
NC1-122-79-1 item 11.13	3.4.a	Permanent	Permanent
NC1-122-79-1 item 11.14A	2.2b	25 yrs	5 yrs
NC1-122-79-1 item 11.14B	2.2a	Permanent	Permanent
NC1-122-79-1 item 14.1A1	1.1a	1 yr	3 yrs
NC1-122-79-1 item 14.1A2	1.1a	Obsolete	3 yrs
NC1-122-79-1 item 14.1B1	1.1a	1 yr	3 yrs
NC1-122-79-1 item 14.1B2	1.1a	5 yrs	3 yrs
NC1-122-79-1 item 14.2	Dropped	1 yr	---
NC1-122-79-1 item 14.3	Dropped	No longer needed	---
NC1-122-79-1 item 14.4	Superseded by NC1-122-81-1 item 5B	P+20	---
NC1-122-79-1 item 15.1	Dropped; non-record by definition	Obsolete or no longer needed	---
NC1-122-81-1 item 1	1.1a or 1.1b	Superseded or obsolete	3 yrs — or — 7 yrs
NC1-122-81-1 item 2	Dropped?	5 yrs	---
NC1-122-81-1 item 3	1.1a or 1.1b	5 yrs	3 yrs — or — 7 yrs
NC1-122-81-1 item 4	1.1a or 1.1b	5 yrs	3 yrs — or — 7 yrs
NC1-122-81-1 item 5A	Dropped; non-record by definition	No longer needed	---
NC1-122-81-1 item 5B	3.4.a	Permanent	Permanent
NC1-122-81-1 item 6	1.1a	2 yrs	3 yrs
NC1-122-81-1 item 7	3.4.a	P+20 (microfilm only)	Permanent
NC1-122-81-1 item 8	1.1a	2 yrs	3 yrs

NC1-122-81-1 item 9	1.1a	2 yrs	3 yrs
NC1-122-81-1 item 10A	1.1a	0	3 yrs
NC1-122-81-1 item 10B	1.1a	2 yrs	3 yrs
NC1-122-81-1 item 11A	3.4.a	P+20	Permanent
NC1-122-81-1 item 11B	1.1a or 1.1b	Upon employee separation	3 yrs — or — 7 yrs
NC1-122-81-1 item 12	3.4.a	P+20	Permanent
NC1-122-81-1 item 13	Dropped; non-record by definition	No longer needed	---
NC1-122-81-1 item 14	1.1a or 1.1b	5 yrs	3 yrs — or — 7 yrs
NC1-122-81-1 item 15	1.1a or 1.1b	5 yrs	3 yrs — or — 7 yrs
NC1-122-81-1 item 16	1.1a	3 months	3 yrs
NC1-122-81-1 item 17	1.1a	2 yrs	3 yrs
NC1-122-81-1 item 18A	3.4.a	P+20	Permanent
NC1-122-81-1 item 18B	3.4.a	Permanent: Offer to NARA in 1996	N/A
NC1-122-82-1 item 1	None; old authority was one-time disposal	0	N/A
N1-122-91-1 item 1	None; old authority was one-time disposal	Permanent	N/A
N1-122-92-1 item 1.1	3.1	7 yrs	10 yrs
N1-122-92-1 item 1.2	3.1	15 yrs	10 yrs
N1-122-92-1 item 1.3	Dropped?	1 yr	---
N1-122-92-1 item 1.4	3.1	15 yrs	10 yrs
N1-122-92-1 item 1.5	3.1	10 yrs	10 yrs
N1-122-92-1 item 1.6	3.1	1 yr	10 yrs
N1-122-92-1 item 1.7	3.1	5 yrs	10 yrs
N1-122-92-1 item 1.8	3.1	1 yr	10 yrs
N1-122-92-1 item 1.9	3.1	1 yr	10 yrs
N1-122-92-1 item 1.10	3.1	5 yrs	10 yrs
N1-122-92-1 item 1.11	Dropped?	No longer needed	---
N1-122-92-1 item 1.12	Dropped?	No longer needed	---
N1-122-92-1 item 2.1	Dropped?	60 days	---
N1-122-92-1 item 2.2	Dropped?	180 days	---
N1-122-92-1 item 2.3	3.1	5 yrs	10 yrs
N1-122-92-1 item 3.1	3.3.b	15 yrs	3 yrs
N1-122-92-1 item 4.1	3.1	No longer needed (5 yr minimum)	10 yrs
N1-122-92-1 item 4.2	Nothing in new schedule covers electronic system documentation (GRS 20/11)	Superseded or obsolete	---
N1-122-92-1 item 5.1	1.1	1 yr	3 yrs — or — 7 yrs

N1-122-92-1 item 5.2	3.1	5 yrs	10 yrs
N1-122-92-1 item 5.3	3.1	15 yrs	10 yrs
N1-122-95-1 item 1	None; old authority was one-time disposal	0	N/A
N1-122-95-1 item 2	None; old authority was one-time disposal	0	N/A
N1-122-95-1 item 3	None; old authority was one-time disposal	0	N/A
N1-122-95-1 item 4	None; old authority was one-time disposal	0	N/A
N1-122-95-1 item 5	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 1a	None; old authority was one-time disposal	Permanent	N/A
N1-122-95-2 item 1b	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 1c	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 1d	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 2a	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 2b	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 2c	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 2d	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 2e	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 3a	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 3b	None; old authority was one-time disposal	0	N/A
N1-122-95-2 item 4	None; old authority was one-time disposal	0	N/A

N1-122-95-3 item 1a	None; old authority was one-time disposal	Permanent	N/A
N1-122-95-3 item 1b	None; old authority was one-time disposal	0	N/A
N1-122-95-3 item 2a	None; old authority was one-time disposal	0	N/A
N1-122-95-3 item 2b	None; old authority was one-time disposal	0	N/A



MASS DATA CHANGE WORKSHEET				TYPE OF SCHEDULE: <input checked="" type="checkbox"/> Revision <input type="checkbox"/> New <input type="checkbox"/> NC Job			
RG	OLD AUTHORITY	NEW AUTHORITY	OLD RETENTION PERIOD	NEW RETENTION PERIOD	NET DIFF. (# OF YEARS)	MASS CHANGE	MANUAL CHANGE
122	NN 573 11	I have not been able to locate this original SF-115 so cannot advise as to new authority and retention.					
122	NN167/108/2B	N1/122/09/1/2/2A	Permanent	P+10	0	X	
122	N1-122-91/1/1	None; old authority was one-time disposal and should remain in effect. Records are permanent and should be accessioned by NARA under this authority.	Permanent	N/A	N/A		
122	N1/122/92/1/1/2	N1/122/09/3/1	15 yrs	10 yrs	-5	X	
122	N1/122/92/1/1/2 M	N1/122/09/3/1	15 yrs	10 yrs	-5	X	
122	N1/122/92/1/3/1	N1/122/09/3/3/3B1	15 yrs	3 yrs	-12	X	
122	N1/122/96/4/4 *	N1/122/09/1/2/2A or N1/122/09/1/2/2B	Permanent	5 yrs or Permanent	-infinity or 0		X
122	N1/122/96/4/4 M *	N1/122/09/1/2/2A or N1/122/09/1/2/2B	Permanent	5 yrs or Permanent	-infinity or 0		X
122	122/79/1/10/1B	N1/122/09/1/2/2A	Permanent with related records	P+10	0		X
122	122/79/1/11/10A	N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	
122	122/79/1/11/11	This authority is incomplete, lacking an A or B suffix that would determine whether records are permanent or temporary. The 63 c.f. in four WNRC transfers under this authority are marked "Screen" Records must be reviewed to determine whether they belong under N1/122/09/1/2/2A or N1/122/09/1/2/2B.	25 yrs or P+25	5 yrs or P+10	-20 or 0		X
122	122/79/1/11/12A	This authority is incomplete, lacking a 1 or 2 suffix that would determine whether records are permanent or temporary. The one transfer of 88 c.f. at WNRC under this authority is marked "Screen." Records must be reviewed to determine whether they belong under N1/122/09/1/2/2A or N1/122/09/1/2/2B.	25 yrs or P+25	5 yrs or P+10	-20 or 0		X
122	122/79/1/11/13	N1/122/09/1/3/4A	P+25	P+10	0		X
122	122/79/1/11/14	This incomplete authority lacks a terminal A or B to determine permanent v temporary Six transfers at WNRC are marked disposable; five others are marked for screening and date back to 1914. These transfers must be reviewed individually and scheduled under either N1/122/09/1/2/2A or N1/122/09/1/2/2B	25 yrs or P+25	5 yrs or P+10	-20 or 0		X
122	122/79/1/11/14A	N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	
122	122/79/1/11/14B	N1/122/09/1/2/2A	P+25	P+10	0		X
122	122/79/1/11/4A1	Superseded by N1-122-96-1, item 1A or 1B. Both crosswalk to N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	

MASS DATA CHANGE WORKSHEET				TYPE OF SCHEDULE: <input checked="" type="checkbox"/> Revision <input type="checkbox"/> New <input type="checkbox"/> NC Job			
RG	OLD AUTHORITY	NEW AUTHORITY	OLD RETENTION PERIOD	NEW RETENTION PERIOD	NET DIFF. (# OF YEARS)	MASS CHANGE	MANUAL CHANGE
122	122/79/1/11/4A1A	Superseded by N1-122-96-1 item 1A which crosswalks to N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	
122	122/79/1/11/4A1B	Superseded by N1-122-96-1 item 1A which crosswalks to N1/122/09/1/2/2B	P+0	5 yrs	- infinity		X
122	122/79/1/11/4A1C	Superseded by N1-122-96-1 item 1C which crosswalks to: N1/122/09/1/2/2B	5 yrs	5 yrs	0	X	
122	122/79/1/11/5A1	Superseded by N1-122-96-4, item 4 which crosswalks to N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	
122	122/79/1/11/5A1 M	Superseded by N1-122-96-4, item 4 which crosswalks to: N1/122/09/1/2/2B M	25 yrs	5 yrs	-20	X	
122	122/79/1/11/6A1	Superseded by N1-122-96-4, item 1 or item 2. Both crosswalk to N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	
122	122/79/1/11/7A1	Superseded by N1-122-96-3, item 1C which crosswalks to: N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	
122	122/79/1/11/7A2	Superseded by N1-122-96-3, item 1C. Since the 1979 authority was permanent, new authority is. N1/122/09/1/2/2A	P+25	P+10	0		X
122	122/79/1/11/8A1	Superseded by N1-122-96-3, item 1A or 1B. Both crosswalk to N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	
122	122/79/1/11/8A1 M	Superseded by N1-122-96-3, item 1A or 1B Both crosswalk to: N1/122/09/1/2/2B M	25 yrs	5 yrs	-20	X	
122	122/79/1/11/8A2	Superseded by N1-122-96-3, item 1A. Since the 1979 authority was permanent, new authority is N1/122/09/1/2/2A	P+25	P+10	0		X
122	122/79/1/11/9A1	N1/122/09/1/2/2A	25 yrs	P+10	+ infinity		X
122	122/79/1/11/9A2	N1/122/09/1/2/2A	P+25	P+10	0		X
122	122/79/1/18A	This authority does not exist. According to the series description for the one transfer under this item at WNRC, it is a mistype for 122/79/1/11/8A1, which crosswalks to N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	
122	122/79/1/4/2A	N1/122/09/1/2/2B	25 yrs	5 yrs	-20	X	

\* These authorities are on the last NARS-5 DAU (2008), but are not connected with any RG 122 records at WNRC. Either the authorities were never used, or records retired under them have since been permanently withdrawn.