

## Request for Records Disposition Authority

Records Schedule Number      **DAA-0134-2013-0025**  
Schedule Status                 **Approved**

Agency or Establishment        **Surface Transportation Board**  
Record Group / Scheduling Group **Records of the Interstate Commerce Commission**  
Records Schedule applies to    **Major Subdivision**  
Major Subdivision                **Office of Proceedings**  
Schedule Subject                 **Finance Dockets (FD)**  
Internal agency concurrences will be provided    **No**

### Background Information

### Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	1	0	0

### GAO Approval

## Outline of Records Schedule Items for DAA-0134-2013-0025

Sequence Number	
1	Finance Dockets (FD) Disposition Authority Number: DAA-0134-2013-0025-0001

## Records Schedule Items

Sequence Number	
1	<p data-bbox="365 414 673 446"><b>Finance Dockets (FD)</b></p> <p data-bbox="365 457 1136 500">Disposition Authority Number      <b>DAA-0134-2013-0025-0001</b></p> <p data-bbox="365 510 1476 1181"><b>FINANCE DOCKETS (FD), PUBLIC DOCKETS:</b> These dockets consists of applications and subsequent proceedings that concern the issuance of securities by rail carriers and persons holding positions as officers or directors of more than one rail carrier. These dockets also relate to reorganization plans, appointments of trustees, proxy authorizations, and deposit agreements filed under the Uniform Bankruptcy Act, the guarantee by the Commission/Board of loans granted by lending institutions to railroads, the extension of railroad lines or the abandonment of lines and services, and modifications of a railroad's financial status to make the investment in securities safe. Other Finance Dockets concern railroad pooling or division of traffic, the purchase, control, merger, lease, or consolidation of operating rights by railroads. The dockets include applications or petitions by railroad companies, decisions and orders of the Commission/Board, briefs, testimony, exhibits which include maps, charts, and photographs, loan applications and agreements, mortgages, contracts, and leases. The Finance Docket contains detailed information concerning the organization functions and operations of railroad companies. The authority issued, where applicable, in the form of certificates, licenses, or permits, may describe the extent of routes, stops, and jurisdictions, and types of property to be transported.</p> <p data-bbox="365 1191 917 1223">Final Disposition                      <b>Permanent</b></p> <p data-bbox="365 1244 852 1276">Item Status                                <b>Active</b></p> <p data-bbox="365 1298 803 1330">Is this item media neutral?            <b>No</b></p> <p data-bbox="365 1351 1380 1457">Explanation of limitation              <b>DAA-0134-2013-0025 provides for disposition of permanent records maintained in electronic structured data.</b></p> <p data-bbox="365 1478 820 1596">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?            <b>Yes</b></p> <p data-bbox="365 1617 820 1702">Do any of the records covered by this item exist as structured electronic data?                            <b>Yes</b></p> <p data-bbox="365 1723 998 1776">GRS or Superseded Authority Citation      <b>N1-134-08-1 / 13</b></p> <p data-bbox="365 1808 673 1840">Disposition Instruction</p> <p data-bbox="365 1862 1177 1893">Cutoff Instruction                        <b>Cutoff at end of calendar year.</b></p>

Transfer to the National Archives for Accessioning      **Transfer electronic records in 5 year blocks when the most recent record is 15 years old.**

**Additional Information**

First year of records accumulation      **2008**

What will be the date span of the initial transfer of records to the National Archives?      **From 2008 To 2013**

How frequently will your agency transfer these records to the National Archives?      **Every 5 Years**

	Estimated Current Volume	Annual Accumulation
<b>Electronic/Digital</b>	<b>10 GB</b>	<b>1 GB</b>
<b>Paper</b>		
<b>Microform</b>		
<b>Hardcopy or Analog Special Media</b>		

### Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

### Signatory Information

Date	Action	By	Title	Organization
03/29/2013	Return to Submitter	Michelle Thomas	Management Analyst Officer	Surface Transportation Board - OPAGAC
04/02/2013	Certify	Michelle Thomas	Management Analyst Officer	Surface Transportation Board - OPAGAC
04/25/2019	Submit for Concurrence	Steven Rhodes	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
05/01/2019	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
05/02/2019	Concur	Laurence Brewer	Chief Records Officer	National Records and Archives Administration - National Records and Archives Administration
05/06/2019	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist