REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)
WASHINGTON, DC 20408

1 FROM (Agency or establishment)
Interstate Commerce Commission

2 MAJOR SUBDIVISION
Office of the Secretary

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER
E. C. Fernandez

5 TELEPHONE
202-927-5642

6 AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

☐ is not required; ☐ is attached; or ☐ has been requested.

DATE
10/24/95

SIGNATURE OF AGENCY REPRESENTATIVE

TITLE
Associate Secretary, Section Records

Public Dockets

Records documenting Commission proceedings and actions taken on applications for rights and benefits, rulemakings, and complaints. A docket is established for each Commission proceeding and is the official record of the proceeding. A docket often contains an application or complaint, protests, hearing transcripts and briefs, memoranda, reports, exceptions, petitions, correspondence, and decisions. There are several types of dockets, including:

1. Ex Parte Dockets (EP) and Ex Parte Motor Carrier Dockets (EPHC) - Proceedings of general interest to the transportation industry and those involving rules and regulations.

   A. Significant Ex Parte Dockets. These are dockets that have been determined by the ICC to (1) cover the most important, unique, controversial, and/or historic cases decided by the agency, and (2) contain substantive documentation of research value that does not appear in the ICC Decisions. The ICC will select these dockets and identify them by
accession and box numbers if they have already been retired to WNRC.

PERMANENT. Transfer to the National Archives on approval of schedule.

Estimated total volume = 100 cubic feet.

B. All Other Ex Parte Dockets.

Destroy 25 years after the year in which the ICC issues a final decision in the case.

2. Dockets within the following categories:

   Formal Dockets (NOR - Rail and NOM - Motor) - Concern
   Commission investigation of compliance of rail carriers with
   provisions of Part I of the Act, rates, fares, charges, classifica-
   tions, regulations, and practices of carriers, investigation of
   changes in schedules, and general rate matters.

   General Finance Dockets (FD) - Concern the issuance of
   securities, persons holdings positions as officers or directors of
   more than one rail carrier, reorganization plans, appointments of
   trustee, proxy authorizations, and deposit agreements filed under
   the Uniform Bankruptcy Act, the extension of railroad lines,
   modifications of a railroad's financial status to make investments
   in securities safe, railroad pooling or division of traffic, the
   purchase, control, merger, control and merger, lease, or consoli-
   dations of operating rights by railroads or water carriers, and the
   transfer of certificates or permits by water carrier or freight
   forwarders.

   Rail Abandonment Dockets (AB) - Concern abandonment of
   railroad lines and services.

   Motor Carrier Finance (MC-F & MC-FC) - Concern requests
   to purchase, control and merge, lease, consolidate operating
   rights and properties, or requests for temporary authority to
   operate under such unifications. Includes MC-FC cases involving
   carriers of less than twenty vehicles or one million dollars in
   gross revenue, and MC-F cases involving all other motor
   carriers.
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<th>ITEM NO</th>
<th>DESCRIPTION OF ITEM AND PROPOSED DISPOSITION</th>
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<td></td>
<td>Control and Answer (MCC, WCC, FCC) - Concern inquiries into the activities of motor carriers and freight forwarders as to conformity with operating rights and the voluntary or involuntary revocation of operating rights. Rail complaints are filed under the Formal Dockets (first entry under item 2). A. Significant dockets from the categories listed above. These are dockets that have been determined by the ICC to (1) cover the most important, unique, controversial, and/or historic cases decided by the agency, and (2) contain substantive documentation of research value that does not appear in the ICC decisions. The ICC will select these dockets and identify them by accession and box numbers if they have already been retired to WNRC. PERMANENT. Transfer to the National Archives on approval of schedule. Estimated total volume - 100 cubic feet B. All other dockets from the categories listed above. Destroy 15 years after the year in which the ICC makes a final decision in the case.</td>
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