

**REQUEST FOR AUTHORITY  
TO DISPOSE OF RECORDS**

(See Instructions on Reverse)

TO GENERAL SERVICES ADMINISTRATION  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408

1. FROM (AGENCY OR ESTABLISHMENT)

**Interstate Commerce Commission**

2. MAJOR SUBDIVISION

**Office of the Secretary**

3. MINOR SUBDIVISION

**Docket Branch**

4. NAME OF PERSON WITH WHOM TO CONFER

**E. C. Fernandez**

5. TEL EXT

**275-7591**

6. CERTIFICATE OF AGENCY REPRESENTATIVE

LEAVE BLANK	
DATE RECEIVED	JOB NO
<b>8-13-82</b>	<b>NCL-134-82-2</b>
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "with drawn" in column 10	
<b>10-28-82</b> (Date)	<i>[Signature]</i> Archivist of the United States

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records, that the records proposed for disposal in this Request of \_\_\_\_\_ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified

*8/13/82*

Date

*[Signature]*

(Signature of Agency Representative)

*Secretary of Commission*

(Title)

7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
	<p><u>PUBLIC DOCKETS</u></p> <p>Records documenting Commission proceedings and actions taken on applications for rights and benefits, rulemakings, and complaints. A docket is established for each Commission proceeding; and is the official record of the proceeding. Records included in a docket are: an application or complaint; protests; hearing transcripts and briefs; memoranda; reports; exceptions; petitions; correspondence; and decisions. There are several types of dockets including:</p> <p>(a) <u>Ex Parte Dockets (EP)</u>, and <u>Ex Parte Motor Carrier (EMC)</u> - Proceedings of general interest to the transportation industry and those involving rules and regulations.</p> <p>(b) <u>Formal Dockets (FR)</u> - <u>Rail</u>, <u>Motor</u> - Concern Commission investigation of compliance of rail carriers with provisions of Part I of the Act, rates, fares, charges, classifications, regulations, and practices of carriers, investigation of changes in schedules, and general rate matters.</p> <p>(c) <u>General Finance Dockets (GF)</u> - Concern the issuance of securities, persons holding positions as officers or directors of more than one rail carrier, reorganization plans, appointments of trustees, proxy authorization, and deposit agreements filed under the</p>		<i>1 item</i>

**MASS DATA CHANGE SHEET NOT REQUIRED**  
*Closed out 11/1/82 - cm*  
*ADDED TO ANF + Agency*

## Request for Records Disposition Authority - Continuation

JOB NO

PAGE OF

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7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
	<p>Uniform Bankruptcy Act. The extension of railroad lines, modification of a railroad's financial status to make investments in securities safe, railroad pooling or division of traffic; the purchase, control, merger, control and merger, lease, or consolidation of operating rights by railroads or water carriers; and the transfer of certificates or permits by water carrier or freight forwarders.</p> <p>(d) <u>Rail Abandonment Dockets (AB)</u> - Concern abandonment of railroad lines and services.</p> <p>(e) <u>Motor Carrier Finance (MC-F &amp; MC-FC)</u> - Concern requests to purchase, control and merge, lease, or consolidate operating rights and properties, or requests for temporary authority to operate under such unifications. Includes MC-FC cases involving carriers of less than twenty vehicles or one million dollars in gross revenue, and MC-F cases involving all other motor carriers.</p> <p>(f) <u>Complaint and Answer (MCC, WCC, FCC)</u> - Concern inquiries into the activities of motor carriers and freight forwarders as to conformity with operating rights and the voluntary or involuntary revocation of operating rights. Rail complaints are filed in the Formal Docket series (b).</p> <p>(g) <u>Operating Rights Dockets</u> - Contain applications for permanent operating authority and information on the organization, functions, and operations of firms to which certificates of convenience and necessity, permits, or licenses were issued designating the extent of routes, stops, and jurisdictions, and the types of property to be transported. Includes Freight Forwarder (FF), Water Carrier (W), Motor Carrier (MC), and Broker.</p> <p>1. Beginning in 1976, convert original records to microform in accordance with CFR-101-11.506-1(a) (1). Destroy original records after verification of microform. <del>Destroy microform 10 years after final decision. Retain as permanent records microfilm copies of outstanding operating authority certificates, licenses and permits.</del> Inspect records according to 41CFR-101-11,506-2. First inspection will occur in January 1983.</p>		

added by  
TLM after  
telephone  
conversation  
with ECF

115-203

Four copies, including original, to be submitted to the National Archives

STANDARD FORM 115-A  
Revised July 1974  
Prescribed by General Services  
Administration  
FPMR (41 CFR) 101-11.4