

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK (NARA use only)	
TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER N1-134-83-1	
1. FROM (Agency or establishment) Interstate Commerce Commission		DATE RECEIVED 10-31-95	
2. MAJOR SUBDIVISION Office of the Secretary		NOTIFICATION TO AGENCY*	
3. MINOR SUBDIVISION		In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
4. NAME OF PERSON WITH WHOM TO CONFER E. C. Fernandez			
6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>3</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, <input checked="" type="checkbox"/> is not required; <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested.		DATE 2-6-96	ARCHIVIST OF THE UNITED STATES <i>[Signature]</i>
DATE 10/24/95	SIGNATURE OF AGENCY REPRESENTATIVE <i>[Signature]</i>	TITLE Associate Secretary, Section Records	
7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
1.	<p align="center"><b>Public Dockets</b></p> <p>Records documenting Commission proceedings and actions taken on applications for rights and benefits, rulemakings, and complaints. A docket is established for each Commission proceeding and is the official record of the proceeding. A docket often contains an application or complaint, protests, hearing transcripts and briefs, memoranda, reports, exceptions, petitions, correspondence, and decisions. There are several types of dockets, including:</p> <p><b>Ex Parte Dockets (EP) and Ex Parte Motor Carrier Dockets (EPHC)</b> - Proceedings of general interest to the transportation industry and those involving rules and regulations.</p> <p>A. <u>Significant Ex Parte Dockets.</u> These are dockets that have been determined by the ICC to (1) cover the most important, unique, controversial, and/or historic cases decided by the agency, and (2) contain substantive documentation of research value that does not appear in the <u>ICC Decisions</u>. The ICC will select these dockets and identify them by</p>		

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2.	<p>accession and box numbers if they have already been retired to WNRC.</p> <p>PERMANENT. Transfer to the National Archives on approval of schedule.</p> <p>Estimated total volume = 100 cubic feet.</p> <p><b>B. <u>All Other Ex Parte Dockets.</u></b></p> <p>Destroy 25 years after the year in which the ICC issues a final decision in the case.</p> <p><b>Dockets within the following categories:</b></p> <p><u>Formal Dockets (NOR - Rail and NOM - Motor)</u> - Concern Commission investigation of compliance of rail carriers with provisions of Part I of the Act, rates, fares, charges, classifications, regulations, and practices of carriers, investigation of changes in schedules, and general rate matters.</p> <p><u>General Finance Dockets (FD)</u> - Concern the issuance of securities, persons holdings positions as officers or directors of more than one rail carrier, reorganization plans, appointments of trustee, proxy authorizations, and deposit agreements filed under the Uniform Bankruptcy Act, the extension of railroad lines, modifications of a railroad's financial status to make investments in securities safe, railroad pooling or division of traffic, the purchase, control, merger, control and merger, lease, or consolidations of operating rights by railroads or water carriers, and the transfer of certificates or permits by water carrier or freight forwarders.</p> <p><u>Rail Abandonment Dockets (AB)</u> - Concern abandonment of railroad lines and services.</p> <p><u>Motor Carrier Finance (MC-F &amp; MC-FC)</u> - Concern requests to purchase, control and merge, lease, consolidate operating rights and properties, or requests for temporary authority to operate under such unifications. Includes MC-FC cases involving carriers of less than twenty vehicles or one million dollars in gross revenue, and MC-F cases involving all other motor carriers.</p>		

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	<p><u>Control and Answer (MCC, WCC, FCC)</u> - Concern inquiries into the activities of motor carriers and freight forwarders as to conformity with operating rights and the voluntary or involuntary revocation of operating rights. Rail complaints are filed under the Formal Dockets (first entry under item 2).</p> <p>A. <u>Significant dockets from the categories listed above.</u> These are dockets that have been determined by the ICC to (1) cover the most important, unique, controversial, and/or historic cases decided by the agency, and (2) contain substantive documentation of research value that does not appear in the ICC decisions. The ICC will select these dockets and identify them by accession and box numbers if they have already been retired to WNRC.</p> <p>PERMANENT. Transfer to the National Archives on approval of schedule.</p> <p>Estimated total volume - 100 cubic feet</p> <p>B. <u>All other dockets from the categories listed above.</u></p> <p>Destroy 15 years after the year in which the ICC makes a final decision in the case.</p>		