

Request for Records Disposition Authority

Records Schedule Number DAA-0173-2021-0020

Schedule Status Approved

Agency or Establishment Federal Communications Commission

Record Group / Scheduling Group Records of the Federal Communications Commission

Records Schedule applies to Major Subdivision

Major Subdivision Wireline Competition Bureau

Minor Subdivision Competition Policy Division

Schedule Subject Robocall Mitigation Database

Internal agency concurrences will be provided No

Background Information

The Robocall Mitigation Database was developed to allow voice service providers to file certifications with the Commission regarding their efforts to stem the origination of illegal robocalls on their networks. Providers must certify that their traffic is either signed with STIR/SHAKEN caller ID authentication technology, or subject to a robocall mitigation program. For those certifying that their traffic is subject to a mitigation program, they must include in their filed certifications the specific reasonable steps taken to avoid originating illegal robocall traffic and a commitment to cooperate with the Commission, law enforcement, and the industry traceback consortium to investigate and stop illegal robocallers using their services.

Providers can file certifications and accompanying information in the database using a portal on the Commission's website. This additional information includes business, location, mitigation, and point of contact information for providers, and it is available publicly, except for information that is designated confidential and filed using the appropriate procedures. Not only is filing in the database required, but it is important to providers, since intermediate and terminating voice service providers cannot accept traffic directly from a voice service provider not listed in the database.

Item Count

| Number of Total Disposition Items | Number of Permanent Disposition Items | Number of Temporary Disposition Items | Number of Withdrawn Disposition Items |
|-----------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| 1 | 0 | 1 | 0 |

GAO Approval

Outline of Records Schedule Items for DAA-0173-2021-0020

| Sequence Number |
|-----------------|
|-----------------|

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|---|---|
| 1 | Robocall Mitigation Database files Disposition Authority Number: DAA-0173-2021-0020-0001 |
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Records Schedule Items

| Sequence Number | | | | | |
|--|---|-----------------|--------------|--|------------------------|
| 1 | <p>Robocall Mitigation Database files</p> <p>Disposition Authority Number DAA-0173-2021-0020-0001</p> <p>The database collects certifications from filers in which they detail their robocall mitigation efforts. It also collects background and contact information for entities that file. This includes the filer’s business name and address, its previous business names, its d/b/a names, whether the filer is foreign, and contact information for a robocall mitigation contact within the entity. Based on what efforts a filer certifies to, it may also be required to submit a robocall mitigation plan in which it describes the specific, reasonable steps it is taking to mitigate illegal robocalls. The records/ filings submitted are stored in a public database and the information in them can be viewed on the Internet, in a downloadable. CSV file, or in an internal portal only accessible to Commission staff. Additionally, if filers adhere to the proper confidentiality procedures, certain information will be redacted in the filings and thus unavailable to the public. The redacted information is specific to the filer, but in many cases will include specific technical and business steps taken to mitigate illegal robocalls which are competitively sensitive, or which could be used to circumvent filers’ robocall mitigation procedures. Finally, the internal view of the database collects information on how a filing has changed after a filer revises its filing. This archived information will not be available to the public.</p> <p>Final Disposition Temporary</p> <p>Item Status Active</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p>Do any of the records covered by this item exist as structured electronic data? Yes</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Manual Citation</th> <th style="width: 50%;">Manual Title</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Statutory authority for this information collection is contained in 47 U.S.C. §§ 227b, 251(e), and 227(e) of the Communications Act of 1934.</td> <td style="padding: 5px;">The Communications Act</td> </tr> </tbody> </table> <p>Disposition Instruction</p> | Manual Citation | Manual Title | Statutory authority for this information collection is contained in 47 U.S.C. §§ 227b, 251(e), and 227(e) of the Communications Act of 1934. | The Communications Act |
| Manual Citation | Manual Title | | | | |
| Statutory authority for this information collection is contained in 47 U.S.C. §§ 227b, 251(e), and 227(e) of the Communications Act of 1934. | The Communications Act | | | | |

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|------------------------|---|
| Cutoff Instruction | Cut off when database is retired or superseded |
| Retention Period | Destroy no sooner than 30 years, but no later than 50 years, after cutoff |
| Additional Information | |
| GAO Approval | Not Required |

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

| Date | Action | By | Title | Organization |
|------------|--------------------------|-----------------|------------------------|---|
| 05/12/2021 | Certify | Antonia McGowan | Agency Records Officer | Office of the Managing Director - Performance Evaluation and Records Management |
| 11/02/2021 | Return for Revision | Ann Gillette | Appraisal Archivist | National Archives and Records Administration - ACNR Records Management Services |
| 05/27/2022 | Submit For Certification | Antonia McGowan | Agency Records Officer | Office of the Managing Director - Performance Evaluation and Records Management |
| 05/27/2022 | Certify | Antonia McGowan | Agency Records Officer | Office of the Managing Director - Performance Evaluation and Records Management |
| 11/03/2022 | Return for Revision | Ann Gillette | Appraisal Archivist | National Archives and Records Administration - ACNR Records Management Services |
| 11/08/2022 | Submit For Certification | Antonia McGowan | Agency Records Officer | Office of the Managing Director - Performance Evaluation and Records Management |
| 11/08/2022 | Certify | Antonia McGowan | Agency Records Officer | Office of the Managing Director - Performance Evaluation and Records Management |
| 01/23/2023 | Submit for Concurrence | Ann Gillette | Appraisal Archivist | National Archives and Records Administration - ACNR Records Management Services |

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|------------|---------|------------------|---|---|
| 01/24/2023 | Concur | Margaret Hawkins | Director of Records Management Services | National Records Management Program - ACNR Records Management Services |
| 01/25/2023 | Concur | Laurence Brewer | Chief Records Officer | National Records and Archives Administration - National Records and Archives Administration |
| 01/28/2023 | Approve | Debra Wall | Deputy Archivist | National Archives and Records Administration - ND Archives I Office |