

## Request for Records Disposition Authority

Records Schedule Number           DAA-0173-2021-0021

Schedule Status                    Approved

  

Agency or Establishment           Federal Communications Commission

Record Group / Scheduling Group   Records of the Federal Communications Commission

Records Schedule applies to       Major Subdivision

Major Subdivision                 Public Safety & Homeland Security (PSHSB)

Schedule Subject                  Public Safety Operations Mobile Device Tracking by USCG

Internal agency concurrences will be provided   No

Background Information

The National Telecommunications and Information Administration (NTIA) is the federal agency, responsible for, inter alia, managing and regulating the Federal government’s use of radio frequency spectrum resources. The FCC is an independent Federal regulatory agency charged with regulating interstate and international communications by radio, television, wire, satellite, and cable. Its jurisdiction covers the 50 states and territories, the District of Columbia, and U.S. Possessions. The U.S. Coast Guard (USCG) is one of the six armed services. Its Public Safety missions frequently require the use of a wide range of radio-communications spectrum and communications technologies. The USCG in the recent past has employed commercial “off the shelf” (COTS) mobile radio service devices aboard USCG aircraft by disabling the “airplane mode” for Public Safety operations in specific geographic areas, for limited periods of time during hurricane-related disaster relief efforts. These operations were subject to specific authorizations granted by NTIA, with the concurrence of the FCC, and coordination with local commercial mobile radio service providers. To the best of USCG’s understanding, these Public Safety operations have not caused harmful interference to any terrestrial commercial mobile radio services or to any adjacent band operations.

### Item Count

| Number of Total Disposition Items | Number of Permanent Disposition Items | Number of Temporary Disposition Items | Number of Withdrawn Disposition Items |
|-----------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| 2                                 | 0                                     | 2                                     | 0                                     |

### GAO Approval

0001, 0002

## Outline of Records Schedule Items for DAA-0173-2021-0021

| Sequence Number |  |
|-----------------|--|
| 1               | Notice of Weekly Public Safety Operations<br>Disposition Authority Number: DAA-0173-2021-0021-0001 |
| 2               | FCC mobile licensee approval(s)<br>Disposition Authority Number: DAA-0173-2021-0021-0002           |

## Records Schedule Items

| Sequence Number |   |
|-----------------|---|
| 1               | <p data-bbox="345 380 945 415"><b>Notice of Weekly Public Safety Operations</b></p> <p data-bbox="345 432 1149 468">Disposition Authority Number      DAA-0173-2021-0021-0001</p> <p data-bbox="345 489 1523 1094">Weekly notices from the U.S. Coast Guard (USCG) sent to the Federal Communications Commission (FCC) and the National Telecommunications and Information Administration (NTIA) as part of the agreement to allow the USCG to employ certain classes of mobile devices for airborne Public Safety operations without having to repeatedly obtain individual NTIA authorizations and FCC concurrence for each Public Safety mission. Such Public Safety operations will occur at no higher than 1500 feet above mean ground or sea elevation and may occur throughout all the major waterways of all USCG districts. The notices will be provided on a weekly basis and include all operations that occurred in the preceding week. The notices will be provided specifically to the FCC Operations Center, and include the following: a. Date and time (GMT) operations commenced; b. Date and time (GMT) operations ended; c. Geographic location of operations; and d. USCG contact information in order to promptly address and remedy any allegations of harmful interference. Additionally, the USCG will notify FCC and NTIA in the event of a report of harmful radio interference from an authorized commercial mobile radio service provider with 24 hours.</p> <p data-bbox="345 1115 915 1150">Final Disposition                      Temporary</p> <p data-bbox="345 1171 850 1207">Item Status                              Active</p> <p data-bbox="345 1228 818 1264">Is this item media neutral?        Yes</p> <p data-bbox="345 1285 802 1404">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?      No</p> <p data-bbox="345 1446 659 1482"><b>Disposition Instruction</b></p> <p data-bbox="345 1503 1479 1539">Cutoff Instruction                      Cut-off weekly on Friday's at the close of business.</p> <p data-bbox="345 1560 1192 1596">Retention Period                      Destroy 6 months after cut-off.</p> <p data-bbox="345 1635 656 1671"><b>Additional Information</b></p> <p data-bbox="345 1692 1094 1728">GAO Approval                          Required and Received</p> |
| 2               | <p data-bbox="345 1745 808 1780"><b>FCC mobile licensee approval(s)</b></p> <p data-bbox="345 1801 1154 1837">Disposition Authority Number      DAA-0173-2021-0021-0002</p> <p data-bbox="345 1858 1523 1955">Records of consent for the USCG Public Safety operations obtained from an appropriate representative of each FCC mobile licensee providing service in or near the area of expected USCG operations in the frequencies on which the USCG</p>   |

devices are capable of operating during such operations. Such consent may be on a blanket basis. Consent may be verbal or in writing; in either case, USCG must maintain a written record and be prepared to make it available to (NTIA and) and FCC if requested. The reports will be faxed, emailed or called into the FCC's Operations Center (SCIF), shared on a need to know basis, and kept in a Special Systems binder until ready for disposal.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

#### Disposition Instruction

Cutoff Instruction Cut-off weekly on Friday's at the close of business.

Retention Period Destroy 6 months after cut-off.

#### Additional Information

GAO Approval Required and Received

## Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

## Signatory Information

| Date       | Action                   | By               | Title                                   | Organization  |
|------------|--------------------------|------------------|---|---|
| 07/27/2021 | Certify                  | Antonia McGowan  | Agency Records Officer                  | Office of the Managing Director - Performance Evaluation and Records Management             |
| 11/04/2021 | Return for Revision      | Ann Gillette     | Appraisal Archivist                     | National Archives and Records Administration - ACNR Records Management Services             |
| 11/05/2021 | Submit For Certification | Antonia McGowan  | Agency Records Officer                  | Office of the Managing Director - Performance Evaluation and Records Management             |
| 11/05/2021 | Certify                  | Antonia McGowan  | Agency Records Officer                  | Office of the Managing Director - Performance Evaluation and Records Management             |
| 01/13/2022 | Submit for Concurrence   | Ann Gillette     | Appraisal Archivist                     | National Archives and Records Administration - ACNR Records Management Services             |
| 01/25/2022 | Concur                   | Margaret Hawkins | Director of Records Management Services | National Records Management Program - ACNR Records Management Services                      |
| 01/27/2022 | Concur                   | Laurence Brewer  | Chief Records Officer                   | National Records and Archives Administration - National Records and Archives Administration |
| 01/31/2022 | Approve                  | David Ferriero   | Archivist of the United States          | Office of the Archivist - Office of the Archivist   |