

## Request for Records Disposition Authority

Records Schedule Number           DAA-0173-2021-0021

Schedule Status                     Approved

  

Agency or Establishment           Federal Communications Commission

Record Group / Scheduling Group   Records of the Federal Communications Commission

Records Schedule applies to       Major Subdivision

Major Subdivision                  Public Safety & Homeland Security (PSHSB)

Schedule Subject                    Public Safety Operations Mobile Device Tracking by USCG

Internal agency concurrences will be provided   No

Background Information

The National Telecommunications and Information Administration (NTIA) is the federal agency, responsible for, inter alia, managing and regulating the Federal government’s use of radio frequency spectrum resources. The FCC is an independent Federal regulatory agency charged with regulating interstate and international communications by radio, television, wire, satellite, and cable. Its jurisdiction covers the 50 states and territories, the District of Columbia, and U.S. Possessions. The U.S. Coast Guard (USCG) is one of the six armed services. Its Public Safety missions frequently require the use of a wide range of radio-communications spectrum and communications technologies. The USCG in the recent past has employed commercial “off the shelf” (COTS) mobile radio service devices aboard USCG aircraft by disabling the “airplane mode” for Public Safety operations in specific geographic areas, for limited periods of time during hurricane-related disaster relief efforts. These operations were subject to specific authorizations granted by NTIA, with the concurrence of the FCC, and coordination with local commercial mobile radio service providers. To the best of USCG’s understanding, these Public Safety operations have not caused harmful interference to any terrestrial commercial mobile radio services or to any adjacent band operations.

### Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
2	0	2	0

### GAO Approval

0001, 0002

## Outline of Records Schedule Items for DAA-0173-2021-0021

Sequence Number	
1	Notice of Weekly Public Safety Operations Disposition Authority Number: DAA-0173-2021-0021-0001
2	FCC mobile licensee approval(s) Disposition Authority Number: DAA-0173-2021-0021-0002

## Records Schedule Items

Sequence Number	
1	<p data-bbox="345 380 943 411"><b>Notice of Weekly Public Safety Operations</b></p> <p data-bbox="345 432 1149 464">Disposition Authority Number      DAA-0173-2021-0021-0001</p> <p data-bbox="345 485 1521 1094">Weekly notices from the U.S. Coast Guard (USCG) sent to the Federal Communications Commission (FCC) and the National Telecommunications and Information Administration (NTIA) as part of the agreement to allow the USCG to employ certain classes of mobile devices for airborne Public Safety operations without having to repeatedly obtain individual NTIA authorizations and FCC concurrence for each Public Safety mission. Such Public Safety operations will occur at no higher than 1500 feet above mean ground or sea elevation and may occur throughout all the major waterways of all USCG districts. The notices will be provided on a weekly basis and include all operations that occurred in the preceding week. The notices will be provided specifically to the FCC Operations Center, and include the following: a. Date and time (GMT) operations commenced; b. Date and time (GMT) operations ended; c. Geographic location of operations; and d. USCG contact information in order to promptly address and remedy any allegations of harmful interference. Additionally, the USCG will notify FCC and NTIA in the event of a report of harmful radio interference from an authorized commercial mobile radio service provider with 24 hours.</p> <p data-bbox="345 1115 915 1146">Final Disposition                      Temporary</p> <p data-bbox="345 1167 850 1199">Item Status                              Active</p> <p data-bbox="345 1220 818 1251">Is this item media neutral?          Yes</p> <p data-bbox="345 1272 802 1398">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?      No</p> <p data-bbox="345 1440 659 1472"><b>Disposition Instruction</b></p> <p data-bbox="345 1493 1479 1524">Cutoff Instruction                      Cut-off weekly on Friday's at the close of business.</p> <p data-bbox="345 1545 1192 1577">Retention Period                        Destroy 6 months after cut-off.</p> <p data-bbox="345 1619 656 1650"><b>Additional Information</b></p> <p data-bbox="345 1671 1094 1703">GAO Approval                            Required and Received</p>
2	<p data-bbox="345 1734 808 1766"><b>FCC mobile licensee approval(s)</b></p> <p data-bbox="345 1787 1154 1818">Disposition Authority Number      DAA-0173-2021-0021-0002</p> <p data-bbox="345 1839 1521 1955">Records of consent for the USCG Public Safety operations obtained from an appropriate representative of each FCC mobile licensee providing service in or near the area of expected USCG operations in the frequencies on which the USCG</p>

devices are capable of operating during such operations. Such consent may be on a blanket basis. Consent may be verbal or in writing; in either case, USCG must maintain a written record and be prepared to make it available to (NTIA and) and FCC if requested. The reports will be faxed, emailed or called into the FCC's Operations Center (SCIF), shared on a need to know basis, and kept in a Special Systems binder until ready for disposal.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

#### Disposition Instruction

Cutoff Instruction Cut-off weekly on Friday's at the close of business.

Retention Period Destroy 6 months after cut-off.

#### Additional Information

GAO Approval Required and Received

## Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

## Signatory Information

Date	Action	By	Title	Organization
07/27/2021	Certify	Antonia McGowan	Agency Records Officer	Office of the Managing Director - Performance Evaluation and Records Management
11/04/2021	Return for Revision	Ann Gillette	Appraisal Archivist	National Archives and Records Administration - ACNR Records Management Services
11/05/2021	Submit For Certification	Antonia McGowan	Agency Records Officer	Office of the Managing Director - Performance Evaluation and Records Management
11/05/2021	Certify	Antonia McGowan	Agency Records Officer	Office of the Managing Director - Performance Evaluation and Records Management
01/13/2022	Submit for Concurrence	Ann Gillette	Appraisal Archivist	National Archives and Records Administration - ACNR Records Management Services
01/25/2022	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
01/27/2022	Concur	Laurence Brewer	Chief Records Officer	National Records and Archives Administration - National Records and Archives Administration
01/31/2022	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist