

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b>		LEAVE BLANK (NARA use only)	
		JOB NUMBER <b>NI-173-08-7</b>	
To NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001		Date Received <b>2/4/08</b>	
1 FROM (Agency or establishment) <b>Federal Communications Commission</b>		NOTIFICATION TO AGENCY	
2 MAJOR SUB DIVISION <b>Public Safety and Homeland Security</b>		In accordance with the provisions of 44 U S C 3303a, the disposition request, including amendments is approved	
3 MINOR SUB DIVISION <b>Policy Division</b>		except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
4 NAME OF PERSON WITH WHOM TO CONFER Shoko B Hair	5 TELEPHONE (202) 418-1379	DATE <b>1/29/08</b>	ARCHIVIST OF THE UNITED STATES <i>Ala W...</i>
6 <b>AGENCY CERTIFICATION</b> I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for Disposal on the attached <u>2</u> page(s) are not needed now for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, <p><input checked="" type="checkbox"/> is not required      <input type="checkbox"/> is attached, or      <input type="checkbox"/> has been requested</p>			
DATE January 29, 2008	SIGNATURE OF AGENCY REPRESENTATIVE <i>Shoko B Hair</i>		TITLE Records Officer
7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	See attachment.		

## **Public Safety and Homeland Security – Policy Division**

### **Communications Assistance for Law Enforcement (CALEA)**

#### **Item 1: System Security Policies and Procedures Filings**

Telecommunications carriers, including facilities-based broadband Internet access providers and providers of interconnected Voice over Internet Protocol (VOIP), must establish and submit to the Commission their policies and procedures for ensuring that any interception of communications or access to call-identifying information effected within its switching premises can be activated only in accordance with lawful authorization and with the affirmative intervention of an individual officer or employee of the carrier, acting in accordance with regulations prescribed by the Commission. The Commission reviews each carrier's policies and procedures to determine whether these are in compliance with the rules established under CALEA. These files consist of the incoming policies and procedures filings and the Commission's response.

Disposition: Temporary. Cut off closed files at the end of the fiscal year.  
Destroy 10 years after cutoff.

#### **Item 2: Section 107(c) Petitions**

CALEA section 107(c) permits a carrier to apply to the Commission for an extension of the Commission's deadline to come into compliance with the CALEA section 103 capability requirements, limited to no more than two years from the date that the extension is granted. Section 107(c) extension of time will only be available for "any equipment, facility, or service" installed or deployed before October 25, 1998.

Disposition: Temporary. Cut off closed files at the end of the fiscal year.  
Destroy 10 years after cutoff.

#### **Item 3: Section 109(b) Petitions**

CALEA section 109(b) permits a carrier to file a petition with the Commission and an application with the Department of Justice (DOJ) to request that DOJ pay the costs of the carrier's CALEA compliance (cost shifting relief) with respect to any equipment, facility, or service installed or deployed after January 1, 1995.

Disposition: Temporary. Cut off closed files at the end of the fiscal year.  
Destroy 10 years after cutoff.

#### **Item 4: FCC Form 445 – Monitoring Reports**

FCC Form 445 is used to monitor the progress of telecommunications carriers that provide facilities-based broadband Internet access or interconnected VOIP services in complying with CALE and the Commission's requirement for such facilities and services. FCC Form 445 is a one-time filing requirement.

Disposition: Temporary. Cut off closed files at the end of the fiscal year.  
Destroy three years after cutoff.

**CALEA Tracking System**

The purpose of this system is to keep track of companies that must comply with the CALEA obligations under the Federal Communications Commission's jurisdiction: CALEA sections 103, 104, 47 USC §§1002 and 1004.

**Item 5: Input/Source Records.** Records keyed directly into the system.

**Item 6: Master Data File.** The system contains pending Section 107(c) and 109(b) petitions, and system security integrity plans. The system is arranged by FCC's 499A and FCC registration numbers.

Disposition: Temporary. Destroy when ten years old.

~~**Item 7: Output/Reports.** Reports provide statistics on filers for use by FCC Management, such as regional, state, and local filings in the aggregate. Reports also provide contact information summaries sent to the FBI's CALEA Implementation Unit via encrypted electronic files, for use by law enforcement agencies.~~

~~Disposition: Temporary. Destroy when ten years old.~~

GRS 20, Item 5

~~**Item 8: System Documentation.** Includes internal user manuals, external user manuals, system documentation, internal user documentation, tip sheets, system specifications and file specifications with corresponding instructions.~~

~~Disposition: Temporary. Destroy when updated or no longer needed, whichever is later.~~

GRS 20, Item 11