

REQUEST FOR RECORDS DISPOSITION AUTHORITY — CONTINUATION

JOB NUMBER

N1-173-97-1

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| 7. ITEM NO. | 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9. GRS OR SUPERSEDED JOB CITATION | 10. ACTION TAKEN (NARA USE ONLY) |
|-------------------|--|---|--|
| A. | <p><u>Input/Source Records</u></p> <p>1. 43-01 Quarterly Report (paper copy). Collects Parts 32, 64, 36, and 69 financial data, at the study area level, on an aggregated basis. This report includes revenues, expenses, taxes, plant in service, other investment, depreciation reserves and return data as allocated between regulated and nonregulated operations pursuant to Part 64; as allocated between the state and interstate jurisdictions pursuant to Part 36; and as apportioned among the access elements pursuant to Part 69. The quarterly report is a cumulative report for each reporting quarter of the year. The ARMIS Quarterly Report file specifies information requirements in a consistent format and is essential to the FCC to monitor revenue requirements, rate of return, jurisdictional separations and access charges.</p> <p><u>Disposition.</u> Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when Five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> <p>2. 43-02 Uniform System of Accounts Report (paper copy). Collects the operating results of the local exchange carrier's total activities, at the operating company level, for every account in the Uniform System of Accounts (USOA), as specified in Part 32 of the Commission's rules. This report consists of a balance sheet schedule and an income statement schedule. The ARMIS USOA Report File specifies information requirements in a consistent format and is essential to the FCC to monitor revenue requirements, rate of return, jurisdictional separations and access charges.</p> <p><u>Disposition.</u> Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> | | |

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| | <p><u>Destroy</u> when fifteen (15) years old.</p> <p>3. 43-03 Joint Cost Report (paper copy). Collects the allocations to regulated and nonregulated activities, at the study area level, for those accounts that are involved in the rate making process. This report consists of the total amount of regulated and nonregulated operating activities, as required by Part 64 of the Commission's rules. The ARMIS Joint Cost Report File specifies information requirements in a consistent format and is essential to the FCC to monitor revenue requirements and joint cost allocations.</p> <p><u>Disposition</u>. Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> <p>4. 43-04 Separations and Access Report (paper copy). Provides operating results of the carriers' allocation of costs which are subject to separations pursuant to separations and access rules. This file consists of subject to separation amount, state amount, interstate amount, common line amount, inside wire amount, traffic sensitive amount, equal access amount, billing and collection amount, interexchange amount, e.g.,.</p> <p><u>Disposition</u>. Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> <p>5. 495A Forecast of Investment Usage Report (paper copy). Collects forecasts of expected regulated and nonregulated investment usage. These forecast are an integral part of the cost support that accompanies the carriers' proposed access tariffs for the next calendar year. This report consist of forecasted</p> | | |

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| | <p>regulated use of investment. This file includes a public version and a business confidential version.</p> <p><u>Disposition.</u> Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> | | |
| 6. | <p>495B Actual Usage of Investment Report (paper copy). Collects the actual levels of regulated and nonregulated investment use for the previous calendar year. The actual usage in a reporting period is compared to the forecasted usage for that same period, pursuant to Part 64 of the Commission's rules. This file includes a public version and a business confidential version.</p> <p><u>Disposition.</u> Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> | | |

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| REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i> | | LEAVE BLANK (NARA use only) | |
| TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408 | | JOB NUMBER | N1-173-97-1 |
| 1. FROM (Agency or establishment) Federal Communications Commission | | DATE RECEIVED | 1-30-97 |
| 2. MAJOR SUBDIVISION Common Carrier Bureau | | NOTIFICATION TO AGENCY | |
| 3. MINOR SUBDIVISION Accounting and Audits Division | | In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. | |
| 4. NAME OF PERSON WITH WHOM TO CONFER | 5. TELEPHONE | DATE | ARCHIVIST OF THE UNITED STATES |
| Kathy Conley | 418-0216 | | |

6. AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 7 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

| | | |
|------|------------------------------------|---------------------------------|
| DATE | SIGNATURE OF AGENCY REPRESENTATIVE | TITLE |
| | <i>Judith Booley</i> | Chief Records Management Branch |

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| 1. 16. | <p style="text-align: center;">REVISION TO RECORDS CONTROL SCHEDULE FOR THE COMMON CARRIER BUREAU</p> <p>Amendment to Item #16 Job No. N1-173-90-1 dated 10/24/91</p> <p style="text-align: center;">COMPETITIVE SAFEGUARDS BRANCH</p> <p>Automated Reporting and Management Information System (ARMIS). (1988 and thereafter). ARMIS is the financial and operating information required by the FCC to administer its accounting, joint cost, jurisdictional separations, price cap disallowance, and access change rules. Class A carriers are those entities having annual revenues from regulated operations that are equal to or above the indexed revenue threshold. Class B carriers are those entities having annual revenues from regulated operations that are less than the indexed revenue threshold. The Commission rules require this information only on those carriers having annual operating revenues equal to or above the indexed revenue threshold. Section 402(c) of the Telecommunications Act of 1996 requires that the Commission adjust the revenue thresholds for inflation.</p> | | |

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| A. | <p><u>Input/Source Records</u></p> <p>1. 43-01 Quarterly Report (paper copy). Collects Parts 32, 64, 36, and 69 financial data, at the study area level, on an aggregated basis. This report includes revenues, expenses, taxes, plant in service, other investment, depreciation reserves and return data as allocated between regulated and nonregulated operations pursuant to Part 64; as allocated between the state and interstate jurisdictions pursuant to Part 36; and as apportioned among the access elements pursuant to Part 69. The quarterly report is a cumulative report for each reporting quarter of the year. The ARMIS Quarterly Report file specifies information requirements in a consistent format and is essential to the FCC to monitor revenue requirements, rate of return, jurisdictional separations and access charges.</p> <p><u>Disposition.</u> Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when Five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> <p>2. 43-01 Quarterly Report (electronic copy/diskette). Collects Parts 32, 64, 36, and 69 financial data, at the study area level, on an aggregated basis. This report includes revenues, expenses, taxes, plant in service, other investment, depreciation reserves and return data as allocated between regulated and nonregulated operations pursuant to Part 64; as allocated between the state and interstate jurisdictions pursuant to Part 36; and as apportioned among the access elements pursuant to Part 69. The quarterly report is a cumulative report for each reporting quarter of the year. The ARMIS Quarterly Report file specifies information requirements in a consistent format and is essential to the FCC to monitor revenue requirements, rate of return, jurisdictional separations and access charges.</p> <p><u>Disposition.</u> Temporary</p> | | |

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| <p>3.2</p> | <p>Transfer to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old, or when no longer needed, whichever is sooner</p> <p>43-02 Uniform System of Accounts Report (paper copy). Collects the operating results of the local exchange carrier's total activities, at the operating company level, for every account in the Uniform System of Accounts (USOA), as specified in Part 32 of the Commission's rules. This report consists of a balance sheet schedule and an income statement schedule. The ARMIS USOA Report File specifies information requirements in a consistent format and is essential to the FCC to monitor revenue requirements, rate of return, jurisdictional separations and access charges.</p> <p><u>Disposition.</u> Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> | <p>per telecon / Kathy Conley 4/3 and 4/24/97</p> | |
| | <p>4</p> <p>43-02 Uniform System of Accounts Report (electronic copy/diskette). Collects the operating results of the local exchange carrier's total activities, at the operating company level, for every account in the Uniform System of Accounts (USOA), as specified in Part 32 of the Commission's rules. This report consists of a balance sheet schedule and an income statement schedule. The ARMIS USOA Report File specifies informational requirements in a consistent format and is essential to the FCC to monitor revenue requirements, rate of return, jurisdictional separations and access charges.</p> <p><u>Disposition.</u> Temporary.</p> <p>Transfer to the Federal Records Center when five (5) years old.</p> | <p>per telecon / Kathy Conley 4/3/97</p> | |

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| 3 | <p><u>Destroy</u> when fifteen (15) years old, or when no longer needed, whichever is sooner.</p> <p>03 43-02 Joint Cost Report (paper copy). Collects the allocations to regulated and nonregulated activities, at the study area level, for those accounts that are involved in the rate making process. This report consists of the total amount of regulated and nonregulated operating activities, as required by Part 64 of the Commission's rules. The ARMIS Joint Cost Report File specifies information requirements in a consistent format and is essential to the FCC to monitor revenue requirements and joint cost allocations.</p> <p><u>Disposition</u>. Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> | <p>per telecon / Kathy Conley 4/24/97</p> | |
| 4 | <p>43-03 Joint Cost Report (electronic copy/diskette). Collects the allocations to regulated and nonregulated activities, at the study area level, for those accounts that are involved in the rate making process. This report consists of the total amount of regulated and nonregulated operating activities, as required by Part 64 of the Commission's rules. The ARMIS Joint Cost Report File specifies information requirements in a consistent format and is essential to the FCC to monitor revenue requirements and joint cost allocations.</p> <p><u>Disposition</u>. Temporary.</p> <p>Transfer to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old, or when no longer needed, whichever is sooner.</p> <p>43-04 Separations and Access Report (paper copy). Provides operating results of the carriers' allocation of costs which are subject to separations pursuant to</p> | <p>per telecons / Kathy Conley 4/3 and 4/24/97</p> | |

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| | <p>separations and access rules. This file consists of subject to separation amount, state amount, interstate amount, common line amount, inside wire amount, traffic sensitive amount, equal access amount, billing and collection amount, interexchange amount, e.g.,.</p> <p><u>Disposition.</u> Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> | | |
| 8. | <p>43-04 Separations and access Report (electronic copy/diskette). Provides operating results of the carriers' allocation of costs which are subject to separations pursuant to separations and access files. This file consists of subject of separation amount, state amount, interstate amount, common line amount, inside wire amount, traffic sensitive amount, equal access amount, billing and collection amount, interexchange amount, e.g.,.</p> <p><u>Disposition.</u> Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> | | |
| 5 8. | <p><u>Destroy</u> when fifteen (15) years old, or when no longer needed, whichever is sooner.</p> <p>495A Forecast of Investment Usage Report (paper copy). Collects forecasts of expected regulated and nonregulated investment usage. These forecast are an integral part of the cost support that accompanies the carriers' proposed access tariffs for the next calendar year. This report consist of forecasted regulated use of investment. This file includes a public version and a business confidential version.</p> <p><u>Disposition.</u> Temporary.</p> | <p>needed, per telecons/ Kathy Conley 2/3 and 4/24/97</p> | |

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| 10. | <p>495A Forecast of Investment Usage Report (electronic copy/diskette). Collects forecasts of expected regulated and nonregulated investment usage. These forecasts are an integral part of the cost support that accompanies the carrier's proposed access tariffs for the next calendar year. This report consists of forecasted regulate use of investment. This file includes a public version and a business confidential version.</p> <p><u>Disposition.</u> Temporary</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> | | |
| 6 | <p>11. 495B Actual Usage of Investment Report (paper copy). Collects the actual levels of regulated and nonregulated investment use for the previous calendar year. The actual usage in a reporting period is compared to the forecasted usage for that same period, pursuant to Part 64 of the Commission's rules. This file includes a public version and a business confidential version.</p> <p><u>Disposition.</u> Temporary.</p> <p><u>Transfer</u> to the Federal Records Center when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> | | |
| | <p>12. 495B Actual Usage of Investment Report (electronic copy/diskette). Collects the actual levels of regulated and nonregulated investment use for the previous calendar year. The actual usage in a reporting period is compared to the forecasted usage</p> | | |

per telecons / Kathy Conley 4/3 and 4/24/97

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| B. | <p>Master Files</p> <p>1. 43-01 Quarterly Report Master File. Aggregated financial data reflecting the results of accounting, rate base, and cost allocation requirements. This file consists of the total amount of regulated and nonregulated operating results, nonregulated amount, common line amount e.g.,. The fourth quarterly report is cumulative data covering the year to data.</p> <p><u>Any Privacy Restrictions:</u> There are no privacy restrictions.</p> <p><u>Current volume:</u> 1 reel. <u>Annual accumulation:</u> 1 reel.</p> <p><u>Disposition.</u> Temporary</p> <p><u>Transfer to the Federal Records Center</u> when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> <p>2. FCC 495A Forecast of Investment Usage Report Master file. Provides the forecasts and resulting investment allocations incorporated in a carrier's cost support for its access tariffs. This file consists of cost category, regulated use, nonregulated use projected gross investment, total investment, nonregulated investment, regulated investment,</p> | <p>per telecoms/Kathy Conley 4/3 and 4/24/97</p> <p>where volume of data tape reels fills an FCC carton. per telecom/Kathy Conley 4/24/97</p> | <p>47</p> |

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| C. | <p>e.g.,. This file includes a public use version and a business confidential version.</p> <p><u>Any Privacy Restrictions:</u> There are privacy restrictions.</p> <p><u>Current volume:</u> 1 reel for each version. <u>Estimated annual accumulation:</u> 1 reel for each version.</p> <p><u>Disposition:</u> Temporary.</p> <p><u>Transfer to the Federal Records Center</u> when five (5) years old.</p> <p style="margin-left: 40px;"><i>newest record is</i></p> <p><u>Destroy</u> when [^] fifteen (15) years old.</p> <p>1. 43-01 Quarterly Report File. Systems specifications, file specifications, code books, record layouts, user guides, output specifications and final reports (regardless of medium) relating to the master file.</p> <p><u>Disposition:</u> Temporary.</p> <p><u>Transfer to the Federal Records Center</u> when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> <p>2. 5. FCC 495A Report Master File. Systems specifications, file specifications, code books, record layouts, user guides, output specifications and final reports (regardless of medium) relating to the master file.</p> <p><u>Disposition:</u> Temporary.</p> <p><u>Transfer to the Federal Records Center</u> when five (5) years old.</p> <p><u>Destroy</u> when fifteen (15) years old.</p> | <p><i>volume of data tapes fills an FCC carton. per telecon/Kathy Conley 4/24/97</i></p> | |