

WITHDRAWN - RETURNED WITHOUT ACTION

Request for Records Disposition Authority

Records Schedule Number DAA-0180-2016-0001
Schedule Status Returned Without Action

Agency or Establishment Commodity Futures Trading Commission
Record Group / Scheduling Group Records of the Commodity Futures Trading Commission
Records Schedule applies to Agency-wide
Schedule Subject Office of Proceedings, Docket File Tracking Systems
Internal agency concurrences will be provided No

Background Information This schedule item is resubmitted for approval. It was originally Item 0003, Docket File Tracking Systems in DAA-0180-2015-0001, which was approved on 12/9/2015, but Item 0003 was approved without the superseded authorities. This schedule item resubmits Item 0003 with the appropriate superseded authorities.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
0	0	0	1

GAO Approval

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NATIONAL ARCHIVES AND RECORDS ADMINISTRATION
Request for Records Disposition Authority

Records Schedule: **DAA-0180-2016-0001**

Outline of Records Schedule Items for DAA-0180-2016-0001

Sequence Number	
1	Docket File Tracking Systems Disposition Authority Number: DAA-0180-2016-0001-0001

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NATIONAL ARCHIVES AND RECORDS ADMINISTRATION
Request for Records Disposition Authority

Records Schedule: DAA-0180-2016-0001

Records Schedule Items

Sequence Number					
1	<p>Docket File Tracking Systems</p> <p>Disposition Authority Number DAA-0180-2016-0001-0001</p> <p>Records consist of many different docket file tracking systems that have been used over the years in the Office of Proceedings, including but not limited to the Administrative Enforcement Case Tracking System (AECTS), the Reparations Case Tracking System (RepCase), CFTC Docs II, and starting in FY10 and beyond, summary information maintained in Practice Manager.</p> <p>Final Disposition Temporary</p> <p>Item Status Withdrawn</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p>Do any of the records covered by this item exist as structured electronic data? Yes</p> <table border="1"><thead><tr><th>Manual Citation</th><th>Manual Title</th></tr></thead><tbody><tr><td>Record Code 427</td><td>Docket File Tracking Systems</td></tr></tbody></table> <p>GRS or Superseded Authority Citation N1-180-00-1 Item 411d1 N1-180-00-1 Item 411d2 DAA-0180-2015-0001-0003</p> <p>Disposition Instruction</p> <p>Retention Period Destroy when no longer needed for business.</p> <p>Additional Information</p> <p>GAO Approval Not Required</p>	Manual Citation	Manual Title	Record Code 427	Docket File Tracking Systems
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Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
12/17/2015	Certify	Crystal Zeh	Records Management Officer	Office of the Executive Director - Executive Secretariat Branch
05/26/2016	Return Without Action	Elizabeth Greenberg-Taubel	Appraisal Archivist	National Archives and Records Administration - Records Management Services

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