

Request for Records Disposition Authority

Records Schedule Number **DAA-0180-2022-0001**
Schedule Status **Approved**

Agency or Establishment **Commodity Futures Trading Commission**
Record Group / Scheduling Group **Records of the Commodity Futures Trading Commission**
Records Schedule applies to **Agency-wide**
Schedule Subject **Hedge exemption requests**
Internal agency concurrences will be provided **No**

Background Information

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0180-2022-0001

Sequence Number

1	Hedge Exemption Requests Disposition Authority Number: DAA-0180-2022-0001-0001
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Records Schedule Items

Sequence Number	
1	<p data-bbox="345 380 743 411">Hedge Exemption Requests</p> <p data-bbox="345 432 1149 464">Disposition Authority Number DAA-0180-2022-0001-0001</p> <p data-bbox="345 489 1474 709">Records in this series document the Commission’s approval or disapproval of requests from the regulated community to exceed the trading and position limits currently in effect for the purposes of hedging including hedge exemptions at the owner-level, and hedge exemptions at the trader-level. Records may include the request from the owner or trader, CFTC’s responses and required or related documentation.</p> <p data-bbox="345 732 915 764">Final Disposition Temporary</p> <p data-bbox="345 787 849 819">Item Status Active</p> <p data-bbox="345 842 818 873">Is this item media neutral? Yes</p> <p data-bbox="345 896 818 1022">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p data-bbox="345 1045 818 1129">Do any of the records covered by this item exist as structured electronic data? Yes</p> <p data-bbox="345 1152 1036 1299">GRS or Superseded Authority Citation N1-180-09-003 / 11 N1-180-09-003 / 12 N1-180-09-003 / 22 N1-180-09-003 / 23</p> <p data-bbox="345 1339 659 1371">Disposition Instruction</p> <p data-bbox="345 1394 1498 1478">Retention Period Destroy when data from traders or traders under the owner has not been received for 10 years</p> <p data-bbox="345 1509 656 1541">Additional Information</p> <p data-bbox="345 1564 948 1596">GAO Approval Not Required</p>

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
01/05/2022	Certify	Crystal Zeh	Records Management Officer	Office of the Executive Director - Executive Secretariat Branch
05/10/2022	Submit for Concurrence	Esther Hidalgo	Archives Specialist	National Archives and Records Administration - ACR1 AC Appraisal Team 1
06/09/2022	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
06/10/2022	Concur	Laurence Brewer	Chief Records Officer	National Records and Archives Administration - National Records and Archives Administration
06/14/2022	Approve	Debra Wall	Deputy Archivist	National Archives and Records Administration - ND Archives I Office