

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-180-07-002

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

Superseded by DAA-0180-2012-0003-0006

Date Reported: 6/9/2021

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORDS DISPOSITION AUTHORITY		JOB NUMBER N1-180-07-2	
To: NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		Date received 8/20/07	
1. FROM (Agency or establishment) Commodity Futures Trading Commission (CFTC)		NOTIFICATION TO AGENCY	
1. MAJOR SUBDIVISION Division of Enforcement		In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
2. MINOR SUBDIVISION			
3. NAME OF PERSON WITH WHOM TO CONFER Hilary Schultz	4. TELEPHONE NUMBER 202-418-5219	DATE 10/25	ARCHIVIST OF THE UNITED STATES <i>Allen W. ...</i>
5. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>1</u> page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,			
<input checked="" type="checkbox"/> is not required <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested.			
DATE 8-20-07	SIGNATURE OF AGENCY REPRESENTATIVE <i>Hilary Schultz</i>		TITLE Special Assistant
7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	(SEE ATTACHED)		
<i>SA 10/29/07. Copies sent to Agency, NARA</i>			

The proposed disposition instructions for the following record series apply to records in all media and formats.

Item 408b Enforcement Case Files

b. In closed enforcement cases, (1) transcripts of testimony taken during the investigation and all exhibits to such transcripts; (2) all "official" documents generated during the course of the investigation such as opening and closing reports, formal orders of investigation, subpoenas, requests for confidential treatment, Wells submissions and FOIA requests; (3) other inter-or-intra agency memos and correspondence, including important notes or documents prepared by staff which staff believes should be retained; (4) documents that have been introduced as evidence in an injunctive or administrative proceeding; (5) other documents identified by staff as important to retain; (6) case material which must be held due to FOIA or Privacy Act concerns.

Disposition: Temporary. Transfer to off-site storage 90 days after close of investigation. **Destroy/delete** 15 years after close of investigation.

N1-180-00-01