

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-180-88-003

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 12/27/2021

ACTIVE ITEMS

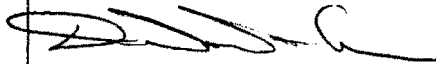
These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Items 501-08a2 and 501-08b remain active.

SUPERSEDED AND OBSOLETE ITEMS

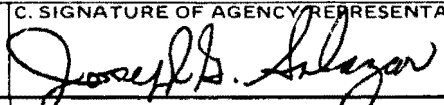
The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 501-08a was superseded by N1-180-00-001, item 506

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK	
TO: GENERAL SERVICES ADMINISTRATION NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408		JOB NO.	<i>NI-180-88-3</i>
1. FROM <i>(Agency or establishment)</i>		DATE RECEIVED	<i>6-29-88</i>
2. MAJOR SUBDIVISION COMMODITY FUTURES TRADING COMMISSION		NOTIFICATION TO AGENCY	
3. MINOR SUBDIVISION DIVISION OF TRADING AND MARKETS		In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. If no records are proposed for disposal, the signature of the Archivist is not required.	
4. NAME OF PERSON WITH WHOM TO CONFER		5. TELEPHONE EXT.	ARCHIVIST OF THE UNITED STATES
JOSEPH G. SALAZAR		254-9735	<i>10/12/81</i> 
6. CERTIFICATE OF AGENCY REPRESENTATIVE			

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 1 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

A. GAO concurrence: is attached; or is unnecessary.

B. DATE	C. SIGNATURE OF AGENCY REPRESENTATIVE	D. TITLE
<i>06/27/88</i>		Records Officer

7. ITEM NO.	8. DESCRIPTION OF ITEM <i>(With Inclusive Dates or Retention Periods)</i>	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN <i>(NARS USE ONLY)</i>
1.	<p>501-08 <u>Registrant Financial Reports Subordination Agreements and Disclosure Documents.</u></p> <p>Consists of financial reports filed by FCMS, IBs, LTMS, Commodity Pools; subordination agreements filed by FCMS and IBs; and disclosure documents filed by CPOs and CTAs.</p> <p><u>DISPOSITION:</u></p> <p>a. Financial reports and disclosure documents.</p> <p>1. Files not subject of formal investigation or litigation: Destroy when 3 years old.</p> <p>2. Files subject of formal investigation or litigation: Destroy when no longer needed.</p> <p>b. Subordinate agreements: Destroy 2 years after termination of agreement.</p>		