

**REQUEST FOR RECORDS DISPOSITION AUTHORITY**  
(See Instructions on reverse)

LEAVE BLANK

JOB NO.

NI-180-88-3

DATE RECEIVED

6-29-88

TO: GENERAL SERVICES ADMINISTRATION  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408

1. FROM (Agency or establishment)

NOTIFICATION TO AGENCY

COMMODITY FUTURES TRADING COMMISSION

2. MAJOR SUBDIVISION

DIVISION OF TRADING AND MARKETS

3. MINOR SUBDIVISION

OFFICE OF ADMINISTRATIVE SERVICES

In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. If no records are proposed for disposal, the signature of the Archivist is not required.

4. NAME OF PERSON WITH WHOM TO CONFER

5. TELEPHONE EXT.

DATE

ARCHIVIST OF THE UNITED STATES

JOSEPH G. SALAZAR

254-9735

10/12/88



6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 1 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

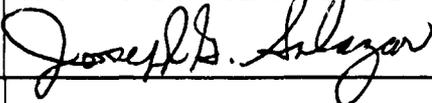
A. GAO concurrence:  is attached; or  is unnecessary.

B. DATE

C. SIGNATURE OF AGENCY REPRESENTATIVE

D. TITLE

06/27/88



Records Officer

7. ITEM NO.

8. DESCRIPTION OF ITEM  
(With Inclusive Dates or Retention Periods)

9. GRS OR SUPERSEDED JOB CITATION

10. ACTION TAKEN (NARS USE ONLY)

1.

501-08 Registrant Financial Reports Subordination Agreements and Disclosure Documents.

Consists of financial reports filed by FCMs, IBs, LTMs, Commodity Pools; subordination agreements filed by FCMs and IBs; and disclosure documents filed by CPOs and CTAs.

DISPOSITION:

- a. Financial reports and disclosure documents.
  - 1. Files not subject of formal investigation or litigation: Destroy when 3 years old.
  - 2. Files subject of formal investigation or litigation: Destroy when no longer needed.
- b. Subordinate agreements: Destroy 2 years after termination of agreement.