	<u> </u>				1 4 4
REQUEST FOR RECORDS DISPOSITION AUTHORITY  (See instructions on separate page)  NATIONAL ARCHIVES and RECORD ADMINISTRATION  WASHINGTON, DC 20408				LEAVE BLANK (NARA use only)	
				JOB NUMBER	
				N1-184-06-	
				DATE RECEIVED	
1. FROM (Agency or establishment)				12/12/2005	
U.S. Railroad Retirement Board (RRB)				NOTIFICATION TO AGENCY	
2. MAJOR SUBDIVISION Office of Equal Opportunity				In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved	
Office of Equal Opportunity 3. MINOR SUBDIVISION					be marked "disposition not
3. MINON 3000 NOON				approved" or "withdrawn" in column 10.	
4. NAME OF PERSON WITH WHOM TO CONFER 5. TELEPHONE				DATE ARCHIVIST OF THE UNITED STATES	
Charles Mierzwa 312-751-3363				10/27ha Aller Went	
6. AGENCY	CERTIFICA	TION			
		am authorized to act for this agend	y in matters pertaining to	o the disposition of its	records and that the
	•	disposal on the attached2		•	
not be nee	ded after th	e retention periods specified; and	I that written concurrence	e from the General Ac	
under the p	orovisions o	of Title 8 of the GAO Manual for G	uidance of Federal Ager	ncies,	
	X	is not required;	]	has bee	en requested.
8-Dec-05		SIGNATURE OF AGENCY REPRE	SENTATIVE	TITLE	
Charles Mergua			Agency Records Officer		
7.				9. GRS OR	10. ACTION
7. ITEM	8 DES	CRIPTION OF ITEM AND PROPOSE	ED DISPOSITION	SUPERSEDED	TAKEN (NARA
NO.	5.525			JOB CITATION	USE ONLY)
	ĺ	See attached Office of Equ	ial Opportunity	NCI-184-88-1	
		Records Schedule		Items 16-1,2&3	
					1
				[	
	l				
					j

115-109

NSN 7540-00-634-4084 PREVIOUS EDITION NOT USABLE STANDARD FORM 115 (REV. 3-91)
Prescribed by NARA
36 CFR 1228

SA12/8/06 Copie Sout to Agong, NWCTF, NWML CASSED

## **RRB RECORDS SCHEDULE 16**

# Office of Equal Opportunity

The Office of Equal Opportunity (OEO) provides leadership, direction and guidance in carrying out the RRB's equal opportunity and civil rights responsibilities. OEO administers the agency wide equal employment opportunity (EEO) program by initiating policy, implementing and enforcing a variety of federal laws, executive orders and regulations that relate to EEO. This office is also responsible for providing oversight for the discrimination complaint, diversity and affirmative employment programs.

OEO coordinates the processing of complaints from RRB employees and applicants for employment involving issues of discrimination on the basis of race, color, religion, sex (including sexual orientation), national origin, age and disability. In addition, OEO is responsible for ensuring that beneficiaries are not excluded from participation in, denied the benefits of, or otherwise subjected to discrimination under any program or activity funded by the RRB

### 16-1 Office of Director Correspondence, Program and Policy Files

Correspondence, subject files and E-mails of the Director of Equal Opportunity relating to organization, functional programs, committee meeting records, policy and procedural directives, regulations, special projects and events.

**AUTHORIZED DISPOSITION:** <u>PERMANENT</u>- Accumulate into 5 year blocks. Hold block in office an additional 5 years. Transfer to NARA. Break file: End of each fiscal year.

JOB: NEW

### 16-2 Office of Director Working Files

Non-essential working documents retained for reference purposes by the Director. Included are extra copies of official file material, supporting or background documents used in developing official files, but not needed as part of the official files, material not acted upon, general administrative documents and documents that do not serve as basis for an official action

AUTHORIZED DISPOSITION: Destroy when 2 years old. Break file: End of fiscal year.

JOB: NEW

changed 1AW MIETZWA email 2/24/2006

#### 4.6-3 Discrimination Complaint Case Files

(a) Official case file containing complaints with related correspondence, reports, exhibits, withdrawal notices, copies of decisions, records of hearings and meetings, and other records as described in 29 CFR Part 1614 and Equal Employment Opportunity Commission (EEOC) Management Directive 110. Includes cases resolved within the agency, by EEOC, or by a U.S. Court.

AUTHORIZED DISPOSITION: Destroy 4 years after resolution of case.

(b) Copies of Complaint Case Files.

AUTHORIZED DISPOSITION: Destroy 1 year after resolution of case.

(c)(1) Background records not filed in an official case file including EEO counselor reports and informal discrimination complaints.

AUTHORIZED DISPOSITION: Destroy 2 years after final resolution of case.

(c)(2) Records documenting complaints that do not develop into Official Discrimination Complaint Cases.

**AUTHORIZED DISPOSITION:** Destroy when 2 years old.

<del>JOB: GRS 1-25 (a), (b) and (c)</del>

### RRB RECORDS SCHEDULE 16

# Office of Equal Opportunity

## 16-4 **EEO Annual Reports**

Agency copy of reports submitted annually to agencies that provide oversight of EEO programs. Annual Reports include Management Directive 715, Statistical Report on Discrimination Complaints, and the No Fear Act.

**AUTHORIZED DISPOSITION: PERMANENT** - Accumulate into 5 year blocks. Hold block in office an additional 5 years. Transfer to NARA. Break file: End of each fiscal year.

JOB: NEW

### 16-5 EEO-Affirmative Action Plans

Agency copy of Affirmative Action Plans. Includes Disabled Veterans Affirmative Action Plan.

AUTHORIZED DISPOSITION: Destroy 5 years from date of plan.

JOB: GRS 1-25 (h) (1)

### 16-6 Statistical Files

Employment statistical files relating to race, sex, ethnicity and disability. Files include data produced by the agency's human resources information system.

AUTHORIZED DISPOSITION: Destroy when 5 years old.

JOB: GRS 1-25 (f)

### 16-7 Electronic Mail and Word Processing Copies

Electronic copies of records that are created on electronic mail and word processing systems and <u>used</u> solely to generate a recordkeeping copy of records that are authorized for disposal under this <u>schedule</u>. Also includes electronic copies of records created on electronic mail and word processing systems that are maintained for updating, revision, or dissemination.

a) Copies that have no further administrative value after the recordkeeping copy is made. Includes copies maintained by individuals in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives that are used only to produce the recordkeeping copy.

AUTHORIZED DISPOSITION: Destroy/delete within 180 days after the recordkeeping copy is produced.

b) Copies used for dissemination, revision or updating that are maintained in addition to the recordkeeping copy.

AUTHORIZED DISPOSITION: Destroy/delete when dissemination, revision, or updating is completed.

JOB: NEW GRS 13-10