

Request for Records Disposition Authority

Records Schedule Number **DAA-0266-2016-0001**
Schedule Status **Approved**

Agency or Establishment **Securities and Exchange Commission**
Record Group / Scheduling Group **Records of the Securities and Exchange Commission**
Records Schedule applies to **Major Subdivision**
Major Subdivision **Division of Corporation Finance**
Schedule Subject **Confidential Treatment Request (CTR) Materials**
Internal agency concurrences will be provided **No**

Background Information **CTR Supplemental Materials. Materials, other than unredacted exhibits, submitted with confidential treatment requests pursuant to 17 CFR 230.406 or 17 CFR 240.24b-2 and staff work products created during the processing of the requests.**

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0266-2016-0001

Sequence Number

1

Confidential Treatment Request (CTR) Supplemental Materials Disposition Authority Number: DAA-0266-2016-0001-0001
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Records Schedule Items

Sequence Number	
1	<p data-bbox="373 414 1226 446">Confidential Treatment Request (CTR) Supplemental Materials</p> <p data-bbox="373 468 1144 500">Disposition Authority Number: DAA-0266-2016-0001-0001</p> <p data-bbox="373 521 1445 627">Materials, other than unredacted exhibits, submitted with confidential treatment requests pursuant to 17 CFR 230.406 or 17 CFR 240.24b-2 and staff work products created during the processing of the requests.</p> <p data-bbox="373 649 917 680">Final Disposition: Temporary</p> <p data-bbox="373 702 852 734">Item Status: Active</p> <p data-bbox="373 755 828 787">Is this item media neutral? Yes</p> <p data-bbox="373 808 828 925">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p data-bbox="373 946 828 1032">Do any of the records covered by this item exist as structured electronic data? Yes</p> <p data-bbox="373 1053 1144 1117">GRS or Superseded Authority Citation: DAA-0266-2014-0001-0002</p> <p data-bbox="373 1149 673 1181">Disposition Instruction</p> <p data-bbox="373 1202 1461 1276">Cutoff Instruction: Cutoff at the end of the calendar year after the last expiration date on the order.</p> <p data-bbox="373 1298 1396 1372">Retention Period: Destroy/delete after cutoff, or 3 years after the issuance date of the order, whichever is later.</p> <p data-bbox="373 1404 673 1436">Additional Information</p> <p data-bbox="373 1457 950 1489">GAO Approval: Not Required</p>

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
10/16/2015	Certify	Curt Francisco	Records Officer	Office of Support - Office of Records Management Services
12/21/2015	Submit for Concur rence	Lloyd Beers	Appraisal Archivist	National Archives and Records Administration - ACNR Records Management Services
01/04/2016	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Services
01/06/2016	Concur	Laurence Brewer	Director, National R ecords Management Program	National Archives and Records Administration - National Records Management Program
01/08/2016	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist