

Request for Records Disposition Authority

Records Schedule Number **DAA-0266-2016-0001**
Schedule Status **Approved**

Agency or Establishment **Securities and Exchange Commission**
Record Group / Scheduling Group **Records of the Securities and Exchange Commission**
Records Schedule applies to **Major Subdivision**
Major Subdivision **Division of Corporation Finance**
Schedule Subject **Confidential Treatment Request (CTR) Materials**
Internal agency concurrences will be provided **No**

Background Information **CTR Supplemental Materials. Materials, other than unredacted exhibits, submitted with confidential treatment requests pursuant to 17 CFR 230.406 or 17 CFR 240.24b-2 and staff work products created during the processing of the requests.**

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0266-2016-0001

Sequence Number

1

Confidential Treatment Request (CTR) Supplemental Materials Disposition Authority Number: DAA-0266-2016-0001-0001
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Records Schedule Items

Sequence Number	
1	<p data-bbox="365 410 1230 449">Confidential Treatment Request (CTR) Supplemental Materials</p> <p data-bbox="365 463 1149 495">Disposition Authority Number: DAA-0266-2016-0001-0001</p> <p data-bbox="365 517 1448 625">Materials, other than unredacted exhibits, submitted with confidential treatment requests pursuant to 17 CFR 230.406 or 17 CFR 240.24b-2 and staff work products created during the processing of the requests.</p> <p data-bbox="365 644 927 676">Final Disposition: Temporary</p> <p data-bbox="365 695 862 727">Item Status: Active</p> <p data-bbox="365 746 829 778">Is this item media neutral? Yes</p> <p data-bbox="365 798 829 921">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p data-bbox="365 940 829 1027">Do any of the records covered by this item exist as structured electronic data? Yes</p> <p data-bbox="365 1046 1154 1112">GRS or Superseded Authority Citation: DAA-0266-2014-0001-0002</p> <p data-bbox="365 1144 678 1176">Disposition Instruction</p> <p data-bbox="365 1195 1466 1272">Cutoff Instruction: Cutoff at the end of the calendar year after the last expiration date on the order.</p> <p data-bbox="365 1291 1406 1368">Retention Period: Destroy/delete after cutoff, or 3 years after the issuance date of the order, whichever is later.</p> <p data-bbox="365 1400 678 1432">Additional Information</p> <p data-bbox="365 1451 959 1483">GAO Approval: Not Required</p>

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
10/16/2015	Certify	Curt Francisco	Records Officer	Office of Support - Office of Records Management Services
12/21/2015	Submit for Concur rence	Lloyd Beers	Appraisal Archivist	National Archives and Records Administration - ACNR Records Management Services
01/04/2016	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Services
01/06/2016	Concur	Laurence Brewer	Director, National R ecords Management Program	National Archives and Records Administration - National Records Management Program
01/08/2016	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist