

INACTIVE – ALL ITEMS SUPERSEDED

Request for Records Disposition Authority

Records Schedule Number DAA-0266-2018-0004
Schedule Status Modified Approved Version

Agency or Establishment Securities and Exchange Commission
Record Group / Scheduling Group Records of the Securities and Exchange Commission
Records Schedule applies to Major Subdivision
Major Subdivision The Division of Corporation Finance
Schedule Subject Registration Statement and Periodic Report Internal Work Products
Internal agency concurrences will be provided No

Background Information The Division of Corporation Finance's mission is to see that investors are provided with material information in order to make informed investment decisions - both when a company initially offers its stock to the public and on a regular basis as it continues to give information to the marketplace. The Division also provides guidance to companies on SEC rules and forms and proposes new and revised rules to the Commission.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

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Outline of Records Schedule Items for DAA-0266-2018-0004

Sequence Number	
1	Registration Statement and Periodic Report Internal Work Products Disposition Authority Number: DAA-0266-2018-0004-0001

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Records Schedule Items

Sequence Number	
1	<p>Registration Statement and Periodic Report Internal Work Products</p> <p>Disposition Authority Number DAA-0266-2018-0004-0001</p> <p>These records are created by the Division of Corporation Finance (CorpFin) staff during the course of the day-to-day business reviewing, supporting and maintaining registration statements, periodic reports, and other related filings. These files include, but are not limited to, internal background, support, and supplemental materials; screening sheets; company and review profiles; and other documentation used to track and maintain these filings.</p> <p>Final Disposition Temporary</p> <p>Item Status Inactive</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p>Do any of the records covered by this item exist as structured electronic data? Yes</p> <p>Inactive Status Explanation This item is inactive because it was superseded by New Disposition Authority Number: DAA-0266-2022-0001-0001</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cut off at the end of the calendar year.</p> <p>Retention Period Destroy 5 year(s) after cutoff</p> <p>Additional Information</p> <p>GAO Approval Not Required</p>

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Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
01/26/2018	Certify	Curt Francisco	Records Officer	Office of Support - Office of Records Management Services
06/26/2018	Submit for Concurrency	Lloyd Beers	Appraisal Archivist	National Archives and Records Administration - ACNR Records Management Services
06/29/2018	Concur	Rachel BanTonkin	Supervisory Archives Specialist	National Archives and Records Administration - ACR1
07/03/2018	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
07/14/2018	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist

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