

REQUEST FOR RECORDS DISPOSITION AUTHORITY		LEAVE BLANK (NARA use only)	
To. NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001		JOB NUMBER <i>NI-266-09-3</i>	
1 FROM (Agency or establishment) <b>Securities and Exchange Commission</b>		Date received <i>4/6/09</i>	
2 MAJOR SUBDIVISION <b>Division of Trading and Markets (TM)</b>		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
3 MINOR SUBDIVISION <b>Office of Market Continuity</b>			
4 NAME OF PERSON WITH WHOM TO CONFER <b>Keith Riley</b>	5 TELEPHONE NUMBER <b>(202) 551-5689</b>	DATE <i>7 Dec 09</i>	ARCHIVIST OF THE UNITED STATES <i>[Signature]</i>
6 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _ page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,  <input checked="" type="checkbox"/> is not required <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested			
DATE <b>April 3, 2009</b>	SIGNATURE OF AGENCY REPRESENTATIVE <i>Larry Mills</i> <b>Larry Mills</b>		TITLE <b>Records Officer</b>
	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	<p><b>CONDOR</b> – Consolidated New Database and Operational Reports Application</p> <p><b>Description</b> – An application which provides the Automation Review Policy staff of the Division of Trading and Markets with the ability to manage and/or track</p> <ul style="list-style-type: none"> <li>- Data entry of Self-Regulatory Organizations and Electronic Clearing Networks</li> <li>- Inspections/recommendations</li> <li>- Annual Reports</li> <li>- Operations, outages, and deficiencies</li> <li>- Correspondence</li> </ul> <p><b>1 Data</b></p> <p><u>Temporary</u> Cut off after SRO/ECN is no longer registered Destroy or delete 6 years after cut off</p> <p><b>2 Output:</b> Electronic or paper files generated from application used to produce publications, statistics or Ad Hoc reports/printouts <i>GRS 20, Item 12</i></p> <p><u>Temporary</u> Destroy when the agency determines that they are no longer needed for administrative, legal, audit, or other operational purposes</p> <p>Printouts or electronic files needed to provide documentation of specific activities or transactions are to be filed with their related records, and are to be retained according to the disposition instructions for the records they support</p> <p><b>3 Backup Tapes</b></p> <p><u>Temporary</u> Maintained in accordance with General Records Schedule 24, Item 4</p>		