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| REQUEST FOR RECORDS DISPOSITION AUTHORITY | | SAVE BLANK (NARA use only) | |
| | | JOB NUMBER N1-266-98-10-2 | |
| TO NATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001 | | Date received 4/5/10 | |
| 1 FROM (Agency or establishment) Securities and Exchange Commission | | NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10 | |
| 2 MAJOR SUBDIVISION Division of Corporation Finance | | | |
| 3 MINOR SUBDIVISION Office of Disclosure Support | | | |
| 4 NAME OF PERSON WITH WHOM TO CONFER Larry Mills | 5 TELEPHONE NUMBER (202) 551-7202 | DATE 10/4/11 | ARCHIVIST OF THE UNITED STATES WITHDRAWN |
| 6 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _ page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, X is not required <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested | | | |
| DATE April 5, 2010 | SIGNATURE OF AGENCY REPRESENTATIVE Larry Mills | | TITLE Records Officer |
| | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9 GRS OR SUPERSEDED JOB CITATION | 10 ACTION TAKEN (NARA USE ONLY) |
| | <p align="center"><u>CONFIDENTIAL TREATMENT MATERIALS</u></p> <p><u>Format(s)</u> Paper and Electronic</p> <p>Confidential portion(s) of registration statements, periodic reports and other materials containing contracts, patents, commercial and financial information, disclosure of which would impair the value thereof, submitted under confidential cover (17 CFR 200.83, 17 CFR 230.406, 17 CFR 240.24b-2)</p> <p><u>Permanent</u> Cut-off annually. Retain file(s) in-house for 2 years. Transfer to the Federal Records Center after 2 years for storage. Transfer to the custody of NARA when 20 years old</p> | N1-266-98-1, item 7 | |