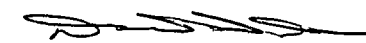
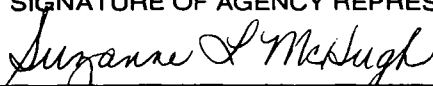


| | |
|---|-----------------------------|
| REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse) | |
| TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408 | |
| 1. FROM (Agency or establishment) Securities and Exchange Commission | |
| 2. MAJOR SUBDIVISION Office of Filings, Information & Consumer Services | |
| 3. MINOR SUBDIVISION | |
| 4 NAME OF PERSON WITH WHOM TO CONFER Suzanne L. McHugh | 5 TELEPHONE 202 272-7210 |

| | |
|---|---|
| LEAVE BLANK (NARA use only) | |
| JOB NUMBER N1-266-90-1 | |
| DATE RECEIVED | |
| NOTIFICATION TO AGENCY | |
| In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10 | |
| DATE 7/13/92 | ARCHIVIST OF THE UNITED STATES  |

6 AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 1 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

| | | |
|-----------------|---|---|
| DATE 1/31/92 | SIGNATURE OF AGENCY REPRESENTATIVE  | TITLE Suzanne L. McHugh Records Officer |
|-----------------|---|---|

| 7 ITEM NO. | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION | 9. GRS OR SUPERSEDED JOB CITATION | 10. ACTION TAKEN (NARA USE ONLY) |
|------------------|---|---|--|
| | <p>THE SECURITIES AND EXCHANGE COMMISSION WAS CREATED UNDER AUTHORITY OF THE SECURITIES ACT OF 1934 (49 STAT 881; 15 U.S.C. 78a TO 78jj), AND WAS ORGANIZED ON JULY 2, 1934. THE GENERAL OBJECTIVE OF THE STATUTES ADMINISTERED BY THE SECURITIES AND EXCHANGE COMMISSION IS TO PROVIDE THE FULLEST POSSIBLE DISCLOSURE TO THE INVESTING PUBLIC AND INVESTORS AGAINST MALPRACTICE IN THE SECURITIES AND FINANCIAL MARKETS.</p> <p>SEE ITEMS ON ATTACHED PAGE.</p> | | |

Copies sent to agency, NCF, NN-W, NAT, NIA 7/17/92

^{Subject}

1. SEC Chairman's Case Files NC1-266-83-6 Item 2

Subject files maintained by individuals during the time they serve as Chairman of the SEC Arranged by the name of the Chairman, ~~and arranged~~ ^{thereunder} alphabetically by the title of the subject file.

Disposition: PERMANENT. Avg. annual accum = 20 cu ft.
Cut off file after Chairman tenure in office.
Transfer to FRC. ~~Destroy~~ Transfer to the National Archives when most recent records are 20 years old.
~~3 years after transfer.~~

2. SEC Chairman's Chronological Files NC1-266-83-6 Item 3

Letters signed by SEC Officials answering letters addressed to the Chairman, and letters signed by the Chairman. Avg. annual accum = 15 cu ft.

Disposition: Cut off file after Chairman's tenure in office. Transfer to FRC. Destroy 3 years after transfer.

*Records officer,
Sue McHugh, agreed
to changes 02-03-92*

3. SEC Chairman's General Subject File

Incoming and outgoing correspondence and internal communications relating to specific projects or situations. Avg. annual accum. = 15 cu ft.

Disposition: Cut off file after Chairman's tenure in office. Transfer to FRC. Destroy 3 years after transfer.