

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-266-93-001

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

This schedule is for a one-time disposal for records transferred to the record center.

Date Reported: 2/9/2023

N1-266-93-001

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse)		LEAVE BLANK (NARA use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408 1. FROM (Agency or establishment) Securities and Exchange Commission 2. MAJOR SUBDIVISION 3. MINOR SUBDIVISION 4 NAME OF PERSON WITH WHOM TO CONFER SUZANNE L. McHUGH 5 TELEPHONE 202 272-3700		JOB NUMBER N1-266-93-1	
		DATE RECEIVED 1-5-94	
		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
DATE 7-1-94		ARCHIVIST OF THE UNITED STATES <i>Arndy Huskamy Peterson</i>	

6 AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _____ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

☒ is not required;
 ☐ is attached; or
 ☐ has been requested.

DATE 12/23/93	SIGNATURE OF AGENCY REPRESENTATIVE <i>Suzanne R. McNugh</i>	TITLE RECORDS OFFICER
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7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
1.	<p><u>Records Relating to the Study of Investment Trusts and Companies, 1935-1938. 46 Cubic Feet. Arranged by Docket Number. WNRC Accession Number 266-54G0271, boxes 176-221.</u></p> <p>This series consists of records created and received by the SEC's examination of various investment companies and trusts. Records include transcripts of public hearings; exhibits from various the companies and trusts, which include copies of financial statements, constitutions and by-laws, telegrams, correspondence, annual reports, and minutes of meetings from the boards of directors. Also, there is routine correspondence between the SEC and various companies and trusts, as well as recommendations by the SEC to the companies surveyed.</p> <p><u>Disposition.</u> TEMPORARY. Destroy immediately upon approval of this schedule. in FY. 1996. 2018.</p> <p style="text-align: right;"><i>MC</i> 3/17/98</p> <p><i>Copies sent to Agency, NNT, NIA, NCF, NIA</i></p>		