

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK (NARA use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER <i>N1-266-93-1</i>	DATE RECEIVED <i>1-5-94</i>
1. FROM (Agency or establishment) Securities and Exchange Commission		NOTIFICATION TO AGENCY	
2. MAJOR SUBDIVISION		In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10	
3. MINOR SUBDIVISION			
4 NAME OF PERSON WITH WHOM TO CONFER <i>SUZANNE L. McHUGH</i>	5 TELEPHONE <i>202 272-3700</i>	DATE <i>7-1-94</i>	ARCHIVIST OF THE UNITED STATES <i>Cindy Huskamy Peterson</i>

6 AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _____ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

DATE <i>12/23/93</i>	SIGNATURE OF AGENCY REPRESENTATIVE <i>Suzanne R. McNugh</i>	TITLE <i>RECORDS OFFICER</i>
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7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
1.	<p><u>Records Relating to the Study of Investment Trusts and Companies, 1935-1938.</u> 46 Cubic Feet. Arranged by Docket Number. WNRC Accession Number 266-54G0271, boxes 176-221.</p> <p>This series consists of records created and received by the SEC's examination of various investment companies and trusts. Records include transcripts of public hearings; exhibits from various the companies and trusts, which include copies of financial statements, constitutions and by-laws, telegrams, correspondence, annual reports, and minutes of meetings from the boards of directors. Also, there is routine correspondence between the SEC and various companies and trusts, as well as recommendations by the SEC to the companies surveyed.</p> <p><u>Disposition.</u> TEMPORARY. Destroy immediately upon approval of this schedule. <i>in FY. 1996. 2018.</i></p> <p style="text-align: right;"><i>MC 3/17/98</i></p> <p><i>Copies sent to Agency, NNT, NIA, NCF, NIA</i></p>		