Schedule Number: N2-266-93-001

This schedule provided disposal authority for records previously accessioned into the holdings of the National Archives.

Per NARA Directive 1540 – *Reappraisal and Deaccessioning of Archival Federal Records*:
An internal disposal is the permanent removal of a discrete set of an accessioned series of records from NARA's physical and legal custody, from any number of physical locations (up to and including all physical locations), because they have been reappraised as temporary, without affecting the existing disposition authority for any remaining records. This removal can result in the records being destroyed, permanently returned to an agency, or donated to another organization.

It is assumed that the schedule was implemented after the record scheduling process was completed (concurrence by the agency, notice to the public via the Federal Register, and approval by the Archivist of the United States).

Date Reported: 11/18/2020

REQUEST FOR RECORDS DISPOSITION AUTHORITY

(See Instructions on reverse)

TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)
WASHINGTON, DC 20408

1 FROM (Agency or establishment)
National Archives & Records Administration

2 MAJOR SUBDIVISION
Office of Special & Regional Archives

3 MINOR SUBDIVISION
Center for Electronic Records

4 NAME OF PERSON WITH WHOM TO CONFER	5 TELEPHONE
Theodore J. Hull	(202) 501-5579

6 AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _____ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

☐ is not required, ☐ is attached, or ☐ has been requested

DATE	SIGNATURE OF AGENCY REPRESENTATIVE	TITLE
10·15·92	*Kenneth Thibodeau*	Director, Center for Electronic Records

7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	Institutional Investor Study (IIS), 1969-1971	NN371-208 NN371-209	
	This collection of data was initially received from the SEC in June 1971 (Final Master File). Also received were public use files for some of the individual surveys conducted for the IIS. Data from the stack file were extracted by staff of the National Archives and preservation copied. The original 'Final Master' file is now recommended for disposal due to excessive data checks.		
	In January 1980, a comprehensive set of IIS files were received by NARA transferred from storage at the Federal Reserve Board, along with associated documentation. Duplicates of files already included with the 1971 transfer were necessarily included in the 1980 transfer of IIS data files.		
	During FY1992, staff in the Center for Electronic Records reappraised this collection and have recommended the attached datafiles/tapes for disposal due to excessive datachecks and/or inadequate documentation. In addition, duplicates of some files have been recommended for disposal, with one version retained as the record copy and preservation copied. The FY1992 reappraisal has insured that as complete a collection of IIS data files as possible are preserved.		
	Disposition: Destroy immediately.		

Copies sent to agency, NN-W, NNT 6/10/93

115-109

NSN 7540-00-634-4064
PREVIOUS EDITION NOT USABLE

STANDARD FORM 115 (REV 3-91)
Prescribed by NARA
36 CFR 1228

Worksheet for IIS Data Files
January 31, 1992
Ted Hull

Data files recommended for internal disposal.

Data Files	Job #	VolSer	Comments
IIS FRB CH1415TO	91-1378	M01884 B01884	No documentation, Recd'n = Destroy
IIS FRB FISSA100	91-1380	M01886 B01886	No documentation, Recd'n = Destroy
IIS FRB IBAID69	91-1382	M01888 B01888	Does not match record layout, Recd'n=Destroy
IIS FRB SET3939	91-1390	M01899 B01899	No documentation, Recd'n = Destroy
IIS FRB PTIIINT	91-1391	M01911 B01911	No documentation, Recd'n = Destroy
IIS FRB VICKLSTA	91-1393	M01913 B01913	No documentation, Recd'n = Destroy
IIS FRB EXTPRREL	91-1396	M01920 B01920	Excessive datachecks, Recd'n=Destroy
IIS FRB VICWTICK	91-1397	M01923 B01923	No documentation, Recd'n = Destroy
IIS FRB MASACUST	91-1399	M01930 B01930	Does not match record layout, Recd'n=Destroy
IIS FRB TABLE1	91-1400	M01936 B01936	No documentation, Recd'n = Destroy
IIS FRB FILETOV	91-1402	M01940 B01940	No documentation, Recd'n = Destroy
IIS FRB EXSKFR3A	91-1404	M01937 B01937	No documentation, Recd'n = Destroy
IIS FRB PTIIPBID	91-1405	M01946 B01946	No documentation, Recd'n = Destroy
IIS FRB IBACHP14	91-1409	M01995 B01995	No documentation, Recd'n = Destroy
IIS FRB I-1PUBF1	91-1412	M01910 B01910	No documentation, Recd'n = Destroy
IIS FRB I-3FINAL	91-1419	M01935 B01935	Does not match record layout, Recd'n=Destroy
IIS FRB I-11	91-1531	M01947 B01947	No documentation Recd'n = Destroy
IIS FRB I-13132NY	91-1535	M01938 B01938	No documentation, Recd'n = Destroy
IIS FRB I-14	91-1538	M01933 B01933	Does not match record layout, Recd'n=Destroy
IIS FRB I-19	91-1545	M01932 B01932	Does not match record layout, Recd'n=Destroy
IIS FRB I-19	91-1617	M01945 B01945	No documentation Recd'n = Destroy
IIS FRB I-20	91-1618	M01898 B01898	Does not match record layout, Recd'n=Destroy
IIS FRB I-20	91-1619	M01902 B01902	Incomplete data file Recd'n = Destroy

Data Files	Job #	VolSer	Comments
IIS FRB I-20	91-1620	M01921 B01921	Does not match record layout, Recd'n=Destroy
IIS FRB I-21	91-1622	M01918 B01918	No documentation, Recd'n = Destroy
IIS FRB I-22	91-1624	M01917 B01917	No documentation, Recd'n = Destroy
IIS FRB I-24	91-1626	M01922 B01922	No documentation, Recd'n = Destroy
IIS FRB I-25	91-1628	M01919 B01919	No documentation, Recd'n = Destroy
IIS FRB I-26	91-1630	M01926 B01926	No documentation, Recd'n = Destroy
IIS FRB I-50	91-1635	M01931 B01931	Does not match record layout, Recd'n=Destroy
IIS FRB I-51	91-1637	M01925 B01925	Does not match record layout, Recd'n=Destroy
IIS FRB I-64	91-1644	M01924 B01924	Excessive datachecks, Recd'n = Destroy
IIS FRB I-70	91-1647	M01907 B01907	Duplicate of other file, Recd'n = Destroy
IIS FRB I-71	91-1649	M01895 B01895	No documentation, Recd'n = Destroy
IIS FRB I-71	91-1650	M01906 B01955	No doc for 712, 713, & 714 records, Recd'n=Destroy
IIS FRB I-72	91-1652	M01905 B01905	Incomplete data file, Recd'n = Destroy
IIS FRB I-72SUM	91-1654	M01901 B01901	Empty File, Recd'n = Destroy
IIS SEC FINAL MSTR	91-1689	MULTI-REEL	Excessive datachecks Recd'n=Destroy
IIS SEC I-1	91-1690	M01853 B01853	Does not match record layout, Recd'n=Destroy
IIS SEC I-13	91-1694	B01855	No documentation, Recd'n = Destroy
IIS SEC I-13	91-1737	B01855	No Documentation, Recd'n = Destroy
IIS SEC I-3 1969	91-1741	B01854	No Documentation Recd'n = Destroy
IIS SEC I-4	91-1742	B01860	Contains junk records Recd'n = Destroy
IIS SEC I-7	91-1743	B01860	Contains junk records Recd'n = Destroy
IIS SEC I-8	91-1744	B01860	Contains junk records Recd'n = Destroy
IIS SEC I-12	91-1745	B01860	Contains junk records Recd'n = Destroy