

**REQUEST FOR RECORDS DISPOSITION AUTHORITY**  
(See Instructions on reverse)

*6/26/81*  
*Heise*

TO: **GENERAL SERVICES ADMINISTRATION,  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

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JOB NO  <b>NCI-266-81-1</b>
DATE RECEIVED <b>June 26, 1981</b>
NOTIFICATION TO AGENCY
<small>In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10</small>
<b>10-1-81</b> <small>Date</small>
<i>[Signature]</i> <small>Archivist of the United States</small>

1. FROM (AGENCY OR ESTABLISHMENT)  
**Securities and Exchange Commission**

2. MAJOR SUBDIVISION  
**Office of Applications and Reports Services**

3. MINOR SUBDIVISION

4. NAME OF PERSON WITH WHOM TO CONFER  
**Charles A. Moore**

5. TEL EXT  
**523-5550**

6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 3 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

- A** Request for immediate disposal.
- B** Request for disposal after a specified period of time or request for permanent retention.

C. DATE <b>6/24/81</b>	D. SIGNATURE OF AGENCY REPRESENTATIVE <i>Charles A. Moore</i>	E. TITLE <b>Charles A. Moore Records Officer</b>
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7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
	<p>The Securities and Exchange Commission was created under authority of the Securities Exchange Act of 1934 (48 Stat. 381; 15 U.S.C. 78a to 78jj), and was organized on July 2, 1934. The general objective of the statutes administered by the Securities and Exchange Commission is to provide the fullest possible disclosure to the investing public, and protect the interests of the public and investors against malpractice in the securities and financial markets.</p> <p>The Commission, on March 2, 1977, adopted a Records Control Schedule [17 CFR 200.80f], and at the same time authorized the disposal of certain files no longer needed in the ordinary course of business. The following list consists of duplicate files (unscheduled) and miscellaneous general correspondence files which are no longer required and can be destroyed immediately. These files are located in the Fort Worth Federal Archives and Records Center.</p>		

*16 items*

## Request for Records Disposition Authority - Continuation

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
1.	SEC FILE NO. 211- (1965)  Reorganization Files (duplicate files) ACCESSION NO. 266-68A0416		
2.	SEC FILE NO. 801- (1966)  Investment Adviser (duplicate files) ACCESSION NO. 266-68BO416		
3.	SEC FILE NO. 8- (1966)  Broker-Dealer (duplicate files) ACCESSION NO. 266-68C0416		
4.	SEC FILE NO. 24- (1967)  Regulation A (duplicate files) ACCESSION NO. 266-68DO416		
5.	MISCELLANEOUS CORRESPONDENCE (1967)  ACCESSION NO. 266-68EO416		
6.	OFFICE COPY FILES (1962)  ACCESSION NO. 266-69AO728		
7.	MISCELLANEOUS CORRESPONDENCE (1970)  ACCESSION NO. 266-71A) 671		
8.	MISCELLANEOUS FILES (1972)  ACCESSION NO. 266-73AO301		
9.	MISCELLANEOUS CORRESPONDENCE (1970)  ACCESSION NO. 266-73AO313		

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7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
10.	MISCELLANEOUS CORRESPONDENCE (1974) ACCESSION NO. 266-74AO931		
11.	MISCELLANEOUS CORRESPONDENCE (1974) ACCESSION NO. 266-74BO931		
12.	MISCELLANEOUS CORRESPONDENCE (1969) ACCESSION NO. 266-75AO375		
13.	MISCELLANEOUS CORRESPONDENCE (1972) ACCESSION NO. 266-76AO476		
14.	MISCELLANEOUS CORRESPONDENCE (1972) ACCESSION NO. 266-76AO477		
15.	MISCELLANEOUS CORRESPONDENCE (1972) ACCESSION NO. 266-76BO477		
16.	MISCELLANEOUS CORRESPONDENCE (1972) ACCESSION NO. 266-76A1498		