

**REQUEST FOR RECORDS DISPOSITION AUTHORITY**  
(See Instructions on reverse)

*UCD 4 Jan 81*

TO: **GENERAL SERVICES ADMINISTRATION,  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1. FROM (AGENCY OR ESTABLISHMENT)  
**Securities and Exchange Commission**

2. MAJOR SUBDIVISION  
**Office of Applications & Reports Services**

3. MINOR SUBDIVISION

4. NAME OF PERSON WITH WHOM TO CONFER  
**Nathan L. Harrison**

5. TEL EXT  
**523-5583**

LEAVE BLANK	
JOB NO	<b>NCL-266-81-2</b>
DATE RECEIVED	<b>June 4, 1981</b>
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10	
<b>6-12-81</b> Date	<i>[Signature]</i> Archivist of the United States

6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 1 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

- A** Request for immediate disposal.
- B** Request for disposal after a specified period of time or request for permanent retention.

C. DATE <b>6/3/81</b>	D. SIGNATURE OF AGENCY REPRESENTATIVE <i>Charles A. Moore</i>	E. TITLE <b>Charles A. Moore Records Officer</b>
--------------------------	--	---

7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
1.	<p>SEC File No. 17-00-1, -3</p> <p>Reports on stabilizing activities (Form X-17A-1) [17 CFR 240.10b-7(1); 240.17a-2(d)] and related correspondence.</p> <p>Destroy when 6 years old..</p>	<p><b>NCL-266-77-2</b></p> <p><i>Item 35</i></p>	<p><i>1 item</i></p>

*Closed Out: 6-22-81: K.T.D.  
Copy to NCA, & Agency*