

27 Jul 82 AM

REQUEST FOR RECORDS L I POSITION AUTHORITY
(See Instructions on reverse)

LEAVE BLANK	
JOB NO	NCI-266-82-3
DATE RECEIVED	July 26, 1982
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C. 3303a the disposal request including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10	
Date	July 6, 82
Archivist of the United States	Peter H. Ware

TO GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408

1 FROM (AGENCY OR ESTABLISHMENT)
Securities and Exchange Commission

2 MAJOR SUBDIVISION
Office of Applications and Reports Services

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER
Charles A. Moore
(After 7/30/82)

5 TEL EXT
523-5550
(272-7216)

6 CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records, that the records proposed for disposal in this Request of 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified

- A Request for immediate disposal
- B Request for disposal after a specified period of time or request for permanent retention.

C DATE	D SIGNATURE OF AGENCY REPRESENTATIVE	E TITLE
7/16/82	<i>Charles A. Moore</i>	Charles A. Moore Records Officer

7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
1.	<p>The Securities and Exchange Commission was created under authority of the Securities Exchange Act of 1934 (48 Stat. 881; 15 U.S.C. 78a to 78jj), and was organized on July 2, 1934. The general objective of the statutes administered by the Securities and Exchange Commission is to provide the fullest possible disclosure to the investing public, and protect the interests of the public and investors against malpractice in the securities and financial markets.</p> <p>The files listed are not covered by any existing disposition schedules.</p> <p>SEC File No. 81-1, -3</p> <p>Exemptions from registration under section 12(g) of the Securities Exchange Act of 1934. [17 CFR 240.12h-2)</p> <p>Destroy when 10 years old.</p>		

2 items

Mass Data Change Sheet Not Required
Closed out: 12-14-82:CM
[unclear] to NCI - [unclear] to NIE

REQUEST FOR AUTHORITY TO DISPOSE OF RECORDS—Continuation Sheet

7. ITEM NO.	8 DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO	10 ACTION TAKEN
2.	<p><i>11/10/82 CAW</i> SEC File No. 500-1, 500-1-MZ, 500-3, 500-5</p> <p>Suspension of trading of securities other than on a national securities exchange [48 Stat. 881, 15 U.S.C., section 15(c) (5)]</p> <p>Destroy when 10 years old.</p>		