

**REQUEST FOR RECORDS DISPOSITION AUTHORITY**  
(See Instructions on reverse)

TO **GENERAL SERVICES ADMINISTRATION,  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1 FROM (AGENCY OR ESTABLISHMENT)  
**Securities and Exchange Commission**

2 MAJOR SUBDIVISION  
**Office of Applications and Reports Services**

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER  
**Charles A. Moore**

5 TEL EXT  
**272-7216**

LEAVE BLANK

JOB NO  
**NC1-266-83-3**

DATE RECEIVED  
**11-1-82**

NOTIFICATION TO AGENCY

In accordance with the provisions of 44 U.S.C. 3303a the disposal request including amendments is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10

**WITHDRAWN**

Date \_\_\_\_\_ Archivist of the United States \_\_\_\_\_

6 CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records, that the records proposed for disposal in this Request of 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified

A Request for immediate disposal.

B Request for disposal after a specified period of time or request for permanent retention.

C DATE <b>10/26/82</b>	D SIGNATURE OF AGENCY REPRESENTATIVE <i>Charles A. Moore</i>	E TITLE <b>Charles A. Moore Records Officer</b>
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7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
1.	<p>The Securities and Exchange Commission was created under authority of the Securities Exchange Act of 1934 (48 Stat. 881; 15 U.S.C. 78a to 78jj), and was organized on July 2, 1934. The general objective of the statutes administered by the Securities and Exchange Commission is to provide the fullest possible disclosure to the investing public, and protect the interests of the public and investors against malpractice in the securities and financial markets.</p> <p>After an on-site inspection on August 30, 1982 by the SEC's Records Officer, and in consultation with the Commission's Seattle Regional Office, it was determined that certain modifications could be made in the present retention periods. While these records have been classified under NC-266-76-1, they contain files or material no longer required, or the program has been completed, and, accordingly, the disposal dates can be adjusted as indicated:</p> <p>Investment Company Files (1973) ACCESSION NO. 266-73A1152 Present Disposal Date: 199 Proposed Disposal Date: 183</p> <p align="center">(THESE FILES ARE LOCATED IN THE SEATTLE FEDERAL ARCHIVES AND RECORDS CENTER)</p>		WITHDRAWN

Request for Records Disposition Authority - Continuation		JOB NO	PAGE OF 2 of 2
7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
2.	Miscellaneous Company Records (1973) ACCESSION NO. 266-73A1391 Present Disposal Date: 199 Proposed Disposal Date: 183		WITHDRAWN
3.	Corporate Investment Records (1974) ACCESSION NO. 266-75A0776 Present Disposal Date: 100 Proposed Disposal Date: 184		WITHDRAWN
4.	Miscellaneous Company Records (FY 1974) ACCESSION NO. 266-75A0829 Present Disposal Date: 799 Proposed Disposal Date: 184		WITHDRAWN
5.	Inspection(s) Investment Companies (FY 1975) ACCESSION NO. 266-76A0401 Present Disposal Date: 700 Proposed Disposal Date: 185		WITHDRAWN
6.	Investment Companies Files (1976) ACCESSION NO. 266-77-0001 Present Disposal Date: 101 Proposed Disposal Date: 186		WITHDRAWN